# NEVADA STATE BOARD of DENTAL EXAMINERS

PUBLIC BOARD MEETING

OCTOBER 3, 2014

9:30 A.M.

**PUBLIC BOOK** 

### NEVADA STATE BOARD OF DENTAL EXAMINERS

6010 South Rainbow Boulevard, Ste A-1 Las Vegas, Nevada 89118

Videoconferencing was available at the <u>Board office</u>, 6010 S Rainbow Boulevard, Suite A-1, in Las Vegas and at the <u>Nevada State Board of Medical Examiners located at 1</u>105 Terminal Way, Suite 301, Reno, NV 89502

### **NOTICE OF PUBLIC MEETING**

Friday, August 1, 2014 8:14 a.m. DRAFT MINUTES

### INFECTION CONTROL RESOURCE GROUP

(Chair: Mrs. Villigan; Dr. Blasco; Dr. Champagne; Dr. Pisani; Ms. Solie; Mrs. Wark)

Please Note: The Nevada State Board of Dental Examiners may 1) address agenda items out of sequence to accommodate persons appearing before the Board or to aid the efficiency or effectiveness of the meeting; 2) combine items for consideration by the public body; 3) pull or remove items from the agenda at any time. The Board may convene in closed session to consider the character, alleged misconduct, professional competence or physical or mental health of a person. See NRS 241.030. Prior to the commencement and conclusion of a contested case or a quasi judicial proceeding that may affect the due process rights of an individual the board may refuse to consider public comment. See NRS 233B.126.

At the discretion of the Chair, public comment is welcomed by the Board, but will be heard only when that item is reached and will be limited to five minutes per person. A public comment time will also be available as the last item on the agenda. The Chair may allow additional time to be given a speaker as time allows and in his/her sole discretion. Once all items on the agenda are completed the meeting will adjourn.

Asterisks (\*) denote items on which the Board may take action. Action by the Board on an item may be to approve, deny, amend, or table.

### 1. <u>Call to Order, roll call, and establish quorum</u>

Mrs. Villigan called the meeting to order and Mrs. Shaffer-Kugel conducted the following roll call:

Mrs. Leslea Villigan	PRESENT
Dr. Byron Blasco	PRESENT
Dr. Gregory Pisani	PRESENT
Dr. Jason Champagne	PRESENT
Mrs. Lisa Wark	-EXCUSED
Ms. Caryn L Solie	PRESENT

Others Present: John Hunt, Board Legal Counsel; Debra Shaffer-Kugel, Executive Director.

Public Attendees: Elvira Kajans, RDH (via teleconference); Gail Corthell, RDH (via teleconference); Kelly Taylor, RDH; Shari Peterson, CSN/NDHA; Nelson Lasiter, DMD; Samantha Pivetz, Ferrari Public Affairs; Donna Hellwinkel, DDS; Alex Tanchek for Neena Laxalt, NDHA; James Mann, DDS; Chris Garvey, Oral Health Nevada; Syd McKenzie, Oral Health Nevada; Lynn Ann Bethel, Oral Health Nevada; Christine Openshaw, Oral Health Nevada.

Public Comment: No public comment.

Note: No vote may be taken upon a matter raised under this item of the agenda until the matter itself has been specifically included on an agenda as an item upon which action may be taken. (NRS 241.020)

- \* 3. Review, Discussion, and possible Recommendations regarding Infection Control (CDC) Guidelines and the Infection Control Audit Form (For Possible Action)
  - (a) Report from Leslea Villigan, RDH regarding OSAP Meeting

Mrs. Villigan went over the report that she provided for the Board books. She indicated that the links of classes listed on her report are the ones she attended. Mrs. Villigan indicated that per FDA regulations, all diamond coated burs and instruments are defined as single use items; therefore, anything that is diamond coated is to be of single use only.

\*(b) Review, Discussion, and possible Recommendations regarding OSAP materials and information (For Possible Action)

No discussion.

\*(c) Review, Discussion and possible Recommendations regarding the Infection Control Audit Form (For Possible Action)

All looked at the audit form provided and the recommendation from Dr. Donna Hellwinkel. Dr. Hellwinkel was invited to step forward and speak to the members. Dr. Hellwinkel explained her reasons for the recommendations and indicated that she and Dr. Lynn Brosy both provided the recommendations. She commented to the Board that based on their experience, they would like to streamline the audit form as there seems to be a lot of redundancy. She went over the recommendations she submitted and explained the reasons that led to the recommendations. She noted that on pages 3 & 4 of the audit form, the biggest issue is record keeping; that dentists do not comprehend what information they are supposed to retain. Additionally, some of the dentists are not as organized and are not able to provide the evaluators with the information that they are seeking during an inspection. She emphasized the importance of requiring that the licensee (owning dentist) be present during an inspection visit. Dr. Hellwinkel noted to the committee that the northern inspectors grant the licensees time to correct issues that can be easily fixed; upon them correcting the issue, the inspector, then, submits the audit form to the Board office. Mrs. Shaffer-Kugel indicated that the dentist is provided a copy of the audit form when they submit the request for the inspection so that they are well aware of what the inspectors will be looking for. Mr. Hunt commented that with the adoption of the new regulation, summary suspension is available on initial and random inspections without requiring for it to go before the Board for approval. He further noted that the inspectors are encouraged to work with the dentists, as the Board's main concern is protecting the public. He noted that when there are critical errors, the dentists can voluntarily agree to discontinue practice until the critical error is corrected. Mrs. Villigan remarked how the auditors work vigorously and go out of their way to assist practices to understand the guidelines, and help educate the licensees on the infection control requirements.

Mrs. Corthell commented that as an inspector she sees a lot of confusion on question 22 regarding vaccination records; due to the ambiguity, it leads them to ask for childhood vaccination records. Mrs. Villigan read the list of vaccinations that are recommended, and suggested that they consider including them on the audit form. (List attached for the record). Dr. Blasco inquired on how an individual would be able to furnish proof of receiving a vaccination at the grocery store or pharmacy. It was suggested that the committee could draft a form for them to have the pharmacy technician administering the vaccine fill out to attest they have been given a certain vaccination. Another suggestion was to have the employer draft a document for the employee to complete attesting that they have been inoculated. Mrs. Villigan indicated that an employee could always do Titer testing to show that they have been vaccinated. Mr. Hunt commented that question number 22 could be eliminated from the form. Reason being that the individual can attest by signing a document that they received the recommended vaccinations, which the information requested in number 22, is already covered in items 20 & 21. He further indicated that an individual can attest or deny attesting that they have been inoculated. Mrs. Solie noted that question number 10 was asking for the same information as number 21. Mr. Hunt suggested eliminating question number 10 since it is redundant. Mrs. Peterson commented to the committee that as of 1998 all academic institutions health programs are required to confirm several vaccinations for all students, which they require titer testing. Therefore, all health care programs in the academic institutions require proof of vaccinations.

Dr. Hellwinkel suggested that they remove listing specific vaccinations. Mrs. Villigan indicated that they could change the language to read that they should abide by the most current recommendations of the CDC. Mr. Hunt noted to Mrs. Villigan that the current regulation states the adoption of the 2003 and 2008 recommendations. Therefore, the 2011 changes cannot be adopted without having to amend the regulation to read the most recently approved recommendations as stated in the CDC guidelines. He indicated that in October, the Board can adopt the 2011 CDC guidelines regarding vaccinations. Mrs. Shaffer-Kugel inquired for clarification that as long as there is record of an employee either attesting or declining a vaccination, the office is considered to be in compliance. The committee members affirmed her inquiry. Mrs. Villigan indicated that in reviewing the recommendations from Dr. Hellwinkel, she agreed that they would make the audit form more streamlined. However, as a committee, they would have to review all the recommendations and consider making changes accordingly. The committee went through the list of recommendations received and referenced the

 current audit form while doing so. Dr. Hellwinkel clarified some of the reasons for her suggestions. Mrs. Villigan suggested that the committee possibly consider approving the recommendations and replacing them with pages 1 and 2 of the current audit form. Mrs. Shaffer-Kugel suggested that, perhaps, they make a motion to create a new document using the recommendations from Dr. Hellwinkel.

MOTION: Blasco made the motion to take into consideration the recommendations and create a replicate form to present at the next meeting as a condensed form of the recommendations and guidelines. Mr. Hunt suggested amending the motion to approve that the audit form be revised to implement the recommendations and other suggestions discussed in the meeting, in which case the committee is to present the amended form to the Board with an additional recommendation that they adopt the 2011 CDC changes. Dr. Blasco agreed to the suggested language of the motion. Motion was seconded by Dr. Pisani. Discussion: Mrs. Villigan noted that on the back of the suggestions, it recommends changes regarding sterile surgical gloves from a level I to a Level II. Committee was in agreement to proceed with the recommendations. All were in favor.

Dr. Hellwinkel inquired of Mrs. Villigan what the FDA was now requiring, as she mentioned in her report from the OSAP meeting. Mrs. Villigan indicated that diamond coated equipment or instruments, per the FDA's stance, are only for single use. Furthermore, that it would be the licensee's responsibility to read the manual regarding sterilization. However, it is to be assumed that if there are no manuals provided they are of single use only. She expressed her opinion that there was no harm in inspectors knowing such information. Mrs. Solie suggested that the Board provide parts of the report in the newsletter as an informational piece regarding the FDA stance on anything diamond coated. Mrs. Shaffer-Kugel indicated that they office staff could load the information to the Board website along with the other CDC information.

Dr. Hellwinkel inquired if Board staff, when scheduling the inspections, could require that the licensee be present for an inspection. Mr. Hunt commented that if it is an initial inspection, they could require that the owning dentist be present. Mrs. Shaffer-Kugel commented that they will add to the initial inspection form language stating that they by submitting the initial inspection request they are agreeing to be present for the inspection. For the record, Mr. Hunt noted to the committee that this is an administrative change that would not require Board approval.

With regards to random inspections, Mrs. Solie inquired if it would be an issue for the owning dentist to be present for an inspection. Mrs. Villigan indicated to her that they are given notice that they have been selected for a random inspection. Mrs. Shaffer-Kugel noted to the committee members that some dentists have multiple practices, and therefore, sometimes designate a full-time dentist to a specific destination. Mr. Hunt indicated that should action need to be taken, the owner is ultimately the one responsible not a designated licensee or staff member. Therefore, the notice should state that the owner of the practice is required to be present for the inspection. He noted to the committee members that the regulation states that the owner of the practice must submit the initial inspection request; therefore, the Board requests the owning dentist must be present. Mrs. Shaffer-Kugel indicated to the committee that the form will be changed to state the owner of the practice must be present in accordance with the regulation, not the representing agent.

4. Public Comment: No public comment.

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- 5. <u>Announcements:</u> Mrs. Villigan suggested that the Board consider sending a few inspectors to receive training at the OSAP boot camp and then have them train the other inspectors.
- \*6. <u>Adjournment</u> (For Possible Action) MOTION: Dr. Blasco made the motion to adjourn. Motion was seconded by Dr. Pisani. All were in favor. <u>9:42 am</u>

Meeting adjourned at 9:42 a.m.

Respectfully submitted by:

Debra Shaffer-Kugel, Executive Director

# Healthcare Personnel Vaccination Recommendations<sup>1</sup>

Vaccine	Recommendations in brief
Hepatitis B	Give 3-dose series (dose #1 now, #2 in 1 month, #3 approximately 5 months after #2). Give IM. Obtain anti- HBs serologic testing 1–2 months after dose #3.
Influenza	Give 1 dose of influenza vaccine annually. Give inactivated injectable vaccine intramuscularly or live attenuated influenza vaccine (LAIV) intranasally.
MMR	For healthcare personnel (HCP) born in 1957 or later without serologic evidence of immunity or prior vaccination, give 2 doses of MMR, 4 weeks apart. For HCP born prior to 1957, see below. Give SC.
Varicella (chickenpox)	For HCP who have no serologic proof of immunity, prior vaccination, or history of varicella disease, give 2 doses of varicella vaccine, 4 weeks apart. Give SC.
Tetanus, diphtheria, pertussis	Give a dose of Tdap as soon as feasible to all HCP who have not received Tdap previously and to pregnant HCP with each pregnancy (see below). Give Td boosters every 10 years thereafter. Give IM.
Meningococcal	Give 1 dose to microbiologists who are routinely exposed to isolates of <i>N. meningitidis</i> and boost every 5 years if risk continues. Give MCV4 IM; if necessary to use MPSV4, give SC.

Hepatitis A, typhoid, and polio vaccines are not routinely recommended for HCP who may have on-the-job exposure to fecal material.

### **Hepatitis B**

Healthcare personnel (HCP) who perform tasks that may involve exposure to blood or body fluids should receive a 3-dose series of hepatitis B vaccine at 0-, 1-, and 6-month intervals. Test for hepatitis B surface antibody (anti-HBs) to document immunity 1-2 months after dose #3.

- If anti-HBs is at least 10 mIU/mL (positive), the patient is immune. No further serologic testing or vaccination is recommended.
- If anti-HBs is less than 10 mIU/mL (negative), the patient is unprotected from hepatitis B virus (HBV) infection; revaccinate with a 3-dose series. Retest anti-HBs 1-2 months after dose #3.
- If anti-HBs is positive, the patient is immune. No further testing or vaccination is recommended.
- If anti-HBs is negative after 6 doses of vaccine, patient is a non-responder. For non-responders: HCP who are non-responders should be considered susceptible to HBV and should be counseled regarding precautions to prevent HBV infection and the need to obtain HBIG prophylaxis for any known or probable parenteral exposure to hepatitis B surface antigen (HBsAg)-positive blood or blood with unknown HBsAg status. It is also possible that non-responders are people who are HBsAg positive. Testing should be considered. HCP found to be HBsAg positive should be counseled and medically evaluated.

Note: Anti-HBs testing is not recommended routinely for all previously vaccinated HCP who were not tested 1–2 months after their original vaccine series. However, pre-exposure testing may be preferred for trainees, certain occupations, and HCP working in certain populations. For details see reference 2.

### Influenza

All HCP, including physicians, nurses, paramedics, emergency medical technicians, employees of nursing homes and chronic care facilities, students in these professions, and volunteers, should receive annual vaccination against influenza. Live attenuated influenza vaccine (LAIV) may be given only to non-pregnant healthy HCP age 49 years and younger. Inactivated injectable influenza vaccine (IIV) is preferred over LAIV for HCP who are in close contact with severely immunosuppressed people (e.g., stem cell transplant patients) when patients require protective isolation.

### Measles, Mumps, Rubella (MMR)

HCP who work in medical facilities should be immune to measles, mumps, and rubella.

 HCP born in 1957 or later can be considered immune to measles, mumps, or rubella only if they have documentation of (a) laboratory confirmation of disease or immunity or (b) appropriate vaccination against measles, mumps, and rubella (i.e., 2 doses of live measles and mumps vaccines given on or

- after the first birthday and separated by 28 days or more, and at least 1 dose of live rubella vaccine). HCP with 2 documented doses of MMR are not recommended to be serologically tested for immunity; but if they are tested and results are negative or equivocal for measles, mumps, and/or rubella, these HCP should be considered to have presumptive evidence of immunity to measles, mumps, and/or rubella and are not in need of additional MMR doses.
- Although birth before 1957 generally is considered acceptable evidence of measles, mumps, and rubella immunity, healthcare facilities should consider recommending 2 doses of MMR vaccine routinely to unvaccinated HCP born before 1957 who do not have laboratory evidence of disease or immunity to measles and/or mumps, and should consider 1 dose of MMR for HCP with no laboratory evidence of disease or immunity to rubella. For these same HCP who do not have evidence of immunity, healthcare facilities should recommend 2 doses of MMR vaccine during an outbreak of measles or mumps and 1 dose during an outbreak of rubella.

#### Varicella

It is recommended that all HCP be immune to varicella. Evidence of immunity in HCP includes documentation of 2 doses of varicella vaccine given at least 28 days apart, history of varicella or herpes zoster based on physician diagnosis, laboratory evidence of immunity, or laboratory confirmation of disease.

### Tetanus/Diphtheria/Pertussis (Td/Tdap)

All HCPs who have not or are unsure if they have previously received a dose of Tdap should receive a dose of Tdap as soon as feasible, without regard to the interval since the previous dose of Td. Pregnant HCP need to get repeat doses during each pregnancy. All HCPs should then receive Td boosters every 10 years thereafter.

### Meningococcal

Vaccination with MCV4 is recommended for microbiologists who are routinely exposed to isolates of *N. meningitidis*.

#### References

- CDC. Immunization of Health-Care Personnel: Recommendations of the Advisory Committee on Immunization Practices (ACIP). MMWR, 2011; 60(RR-7).
- CDC. CDC Guidance for Evaluating Health-Care Personnel for Hepatitis B Virus Protection and for Administering Postexposure Management, MMWR, 2013; 62(10):1-19.

For additional specific ACIP recommendations, refer to the official ACIP statements published in MMWR. To obtain copies, visit CDC's website at www.cdc.gov/vaccines/pubs/ACIP-list.htm; or visit the Immunization Action Coalition (IAC) website at www.immunize.org/acip

Technical content reviewed by the Centers for Disease Control and Prevention

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### **NOTICE OF PUBLIC MEETING**

Friday, August 1, 2014 10:40 a.m.

### **DRAFT MINUTES**

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### I. Call to Order, roll call, and establish quorum

Dr. Kinard called the meeting to order and Mrs. Shaffer-Kugel conducted the following roll call:

Dr. J Gordon KinardPRESENT
Dr. J Stephen SillPRESENT
Dr. Timothy PintherPRESENT
Dr. Jade MillerPRESENT
Dr. Gregory PisaniPRESENT
Dr. Byron BlascoPRESENT
Dr. Jason ChampagnePRESENT
Mrs. Leslea VilliganPRESENT
Mrs. Theresa GuillenPRESENT
Ms. Caryn SoliePRESENT
Mrs. Lisa WarkEXCUSED

Others Present: John Hunt, Board Legal Counsel; Debra Shaffer-Kugel, Executive Director.

Public Attendees: Gregory Greenwood, DDS; Shari Peterson, CSN/NDHA; Kelly Taylor, RDH; Antonina Capurro, DMD; Samantha Pivetz, Ferrari Public Affairs; Chris Garvey Oral Health NV; Lynn Ann Bethel, Oral Health NV; Christine Openshaw, Oral Health NV; James Mann, DDS; Alex Tanchek for Neena Laxalt, NDHA.

2. Public Comment: No public comments were made.

 Note: No vote may be taken upon a matter raised under this item of the agenda until the matter itself has been specifically included on an agenda as an item upon which action may be taken. (NRS 241.020)

- \*3. Executive Director's Report (For Possible Action)
  - \*a. Minutes-NRS 631.190 (For Possible Action)
    - (1) Continuing Education Resource Meeting (06/27/2014)

Dr. Pisani commented that he wanted to clarify on page 12 of 12 that Dr. Champagne will be a management service and will not be hiring a management service. Dr. Champagne clarified that he hired a management service, and that he owns the management group that he hired. He added that his company could potentially offer services to other practices. Dr. Miller inquired of the document that was discussed at the committee meeting that they would use as a review guide and if it could be added to the record of the CE resource group meeting. Mrs. Peterson indicated that she provided the document in question to Dr. Blasco.

MOTION: Dr. Pisani made the motion to approve. Motion was seconded by Mrs. Guillen. All were in favor.

(2) Board Meeting- (06/27/2014)

MOTION: Ms. Solie made the motion to approve. Motion was seconded by Dr. Champagne. All were in favor.

(3) Board Meeting-(07/03/2014)

MOTION: Dr. Miller made the motion to approve. Motion was seconded by Dr. Pinther. All were in favor.

- \*b. Financials-NRS 631.180 (For Possible Action)
  - (1) Review Balance Sheet and Statement of Revenues, Expenses and Balances for period ending June 30, 2014 (For Possible Action)

Mrs. Shaffer-Kugel indicated that the information provided finalizes the Fiscal Year 2014 budget. Mrs. Hummel indicated that she would like to review the budget with the Board. She indicated that they were over budget on revenue by \$55,000, due to an increase in the licensure applications. She went over the expenses and the several ways the Board saved and came in under-budget. She added that in total they ended with a savings of \$187,000 that they can move into their reserves. Dr. Miller inquired on the increase in fees for the permit applications, which Mrs. Shaffer-Kugel indicated that the fee changes did not go into effect until July 2014, which would be part of Fiscal Year 2015. Dr. Miller acknowledged the Board staff, Mrs. Hummel, and the Budget and Finance committee for their hard work in turning the Board's finances around and in the right direction; he thanked them for all they have done.

- \*c. Contracts: NRS 631.160 (For Possible Action)
  - (1) Graphic Imaging, Inc.

Mrs. Shaffer-Kugel noted to the Board that the copy of the contract was provided to them in their Board book. She further noted that they budgeted for these services to be done in the FY15 budget, which the budget was approved at a previous Board meeting. She pointed out to the Board that the company does do imaging projects for other state agencies, specifically the Gaming Board. She indicated that they anticipate the project will be complete in 90 days; however, to allow room for any unforeseen glitches or mechanical issues, she extended the contract to 120 days.

MOTION: Dr. Sill made the motion to approve. The motion was seconded by Dr. Blasco. All were in favor.

- \*d. Authorized Investigative Complaints-NRS 631.360 (For Possible Action)
  - (1) Dr Z-NRS 631.3475(1) and NAC 631.230(1)(c)

Mrs. Shaffer-Kugel went over the alleged violations and recommended approval.

MOTION: Dr. Blasco made the motion to authorize the investigation. Motion was seconded by Dr. Sill. All were in favor.

- \*e. Statutes and Regulations:-NRS 631.190 (For Possible Action)
  - (1) Discussion to amend NRS 631.220 and regarding approval of applications for licensure to the Secretary-Treasurer

Mrs. Shaffer-Kugel indicated that it was brought to their attention that there were concerns with the application process. One of the concerns was that the process takes too long. The newer concern is that once the applications, once approved at a Board meeting, it takes too long to provide them the approval letters, and for them activate their licenses. She explained the activation process and the information contained and the documents provided with the activation form. She noted to the Board that the activation process takes approximately 10 to 12 days, and that a licensee is not consider active until their license is activated. She explained how the applications are reviewed and placed on an agenda for Board approval. She added that, perhaps, the Board could consider that once the Secretary/Treasurer reviews and signs off on the approval of an application, that the applicant officially be approved without having to wait for their names to be placed on the next available Board meeting agenda for approval. Furthermore, that allowing for this change would expedite the application and approval process significantly.

She noted to them that in the event that there is a rejection or issues with an application, the application in question would then be placed on agenda to go before the Board. She stated that if the Board is so inclined, they could amend the statute to grant the Secretary/Treasurer the authority to approve applications upon review without requiring official approval by the Board at a regularly scheduled Board meeting. Dr. Sill indicated that so long as there are now issues with the application and all criteria are met, he sees no reason to delay the applications any longer than they need to be. Mr. Hunt indicated that he would need to ensure that there are no other statutes or regulations that state that the Board must be the ones to approve applications for licensure.

Mrs. Shaffer-Kugel commented to the Board she is considering bringing the background checks in-house. That currently there are two entities that they utilize; however, that since the Private Investigators Board took the stance that applicants who reside in the state of Nevada must have their background reports ran in Nevada by a Nevada entity and cannot be done out of state. She briefly explained that process of the background reports and how currently the office staff runs the civil and criminal searches for the applicants who reside in Nevada and one of the background agencies collects the remaining items in the report. Per Dr. Miller's inquiry, Mrs. Shaffer-Kugel indicated that currently the background companies charge \$300-\$450. She indicated that the Board could change the application fee to the statutory maximum of \$1500 which could cover the fees of having to requests documents and conduct the background reports.

This agenda item is simply to make the Board members aware of the situation and for them to, possibly, consider the suggested changes. Per Ms. Solie's inquiry, Mrs. Shaffer-Kugel indicated that there will be no need to hire additional staff. Mrs. Shaffer-Kugel noted to the Board that she recently received an email for a bill draft that the Board has in place, therefore, if the Board did want to submit these changes she could try and have them change added to the BDR.

MOTION: Dr. Sill request a BDR to amend the regulation to authorize the Secretary/Treasurer the authority to officially approve licensure applications. Motion was seconded by Dr. Blasco. Discussion: Mrs. Solie asked if there could be a mechanism in place that would allow the Board members to know who has been approved for licensure by the Secretary/Treasurer. Dr. Sill indicated that they could have the Executive Director, in her reports, announce who was approved for licensure. Mrs. Shaffer-Kugel indicated that they can provide a monthly list of those applicants who were approved to the website since it is public record. Mr. Hunt noted that they regulation change should state to "authorize the Secretary/Treasurer to approve or disapprove applications upon review.' Motion and second were amended to include the terms used by Mr. Hunt. All were in favor.

- \*f. Travel: (NRS 631.190) For Possible Action
  - \*(I) Approval of Travel to ADEX Meeting in Rosemont Illinois-November 8-9, 2014 (For Possible Action)
    - (a) Lisa Wark, Consumer Member

Dr. Kinard noted that ADEX has a stipend to pay for the consumer members travel arrangements. Mrs. Shaffer-Kugel indicated that she would confirm if there is a stipend for the ADEX members.

MOTION: Dr. Sill made the motion to approve. The motion was seconded by Mrs. Villigan. All were in favor.

- \*(2) Appointment of New Representative for ADEX 2015 and Travel to ADEX Meeting in Rosemont Illinois-November 8-9, 2014(For Possible Action)
  - (a) James Kinard, DDS
  - (b) Timothy Pinther, DDS

Mrs. Shaffer-Kugel indicated that every year ADEX requires that they elect new representatives. The year prior they elected Dr. Rick Thiriot as their representative. These names were the ones that were suggested. Dr. Kinard indicated that he was already on the Board of commissioners. He noted that the Board did receive an announcement from ADEX, and it listed Dr. William Pappas as the representative for Nevada, which there is a query to affirm if the announcement is correct.

MOTION: Mrs. Solie made the motion to approve. Motion was seconded by Mrs. Guillen. Discussion: Mrs. Shaffer indicated that they need only one representative. AMENDED MOTION: Mrs. Solie made the motion to appoint Dr. Pinther as the representative and to cover any travel arrangement costs should ADEX not cover his travel. Motion was seconded by Mrs. Guillen. All were in favor.

- \*(3) Travel for Board Members and Staff to Reno, Nevada for October 3, 2014 Board Meeting (NRS 631.190) (For Possible Action)
  - (a) James Kinard, DDS
  - (b) J Stephen Sill, DMD
  - (c) Byron Blasco, DMD
  - (d) Leslea Villigan, RDH
  - (e) Debra Shaffer-Kugel, Ex. Director
  - (f) Rigo Morales

- (g) Candice Stratton
- (h) Sandra Spilsbury
- (i) Angelica Bejar
- (j) Lauren Ortega
- (k) Lisa Wark

Mrs. Shaffer-Kugel indicated that they had previously mentioned that they wanted to hold an entire Board meeting in Reno in October. She indicated that she needed a little more detail if it is to be a one day event, and if they want to hold an administrative session. Dr. Kinard indicated that he would like to have a personnel meeting for a single day and that he did not see the need to stay the night. Dr. Kinard commented to the Board that they have never really had any personnel meetings with the office staff. He added that Mrs. Shaffer-Kugel will have performance reviews on the staff available at the meeting and would like for the Board members to have time with the personnel. Dr. Pinther inquired if the personnel's meeting is an open meeting. Mr. Hunt indicated that it was not.

MOTION: Mrs. Solie made the motion to approve the airfare for travel to Reno, NV with an amendment to include Mrs. Lisa Wark. The motion was seconded by Dr. Sill. Discussion: Mrs. Hummel indicated that the auditor will be present for the October meeting and indicated that they could be available via teleconference from her office. All were in favor.

g. Letter from Community Health Alliance regarding the "Adopt a Vet Program" Contribution

Mrs. Shaffer-Kugel stated to the Board that they received a letter thanking the Board for the contribution.

### h. Letter from Huntridge Teen Clinic regarding the Contribution

Mrs. Shaffer-Kugel stated to the Board that they received a letter thanking the Board for the contribution; and that they were very appreciative. She clarified to the Board members that the contributions made by the dentists, per their stipulation agreements, was in lieu of community service hours. She explained that some stipulation agreements may require community service, however, that in lieu of having to serve, the dentist contributed to the programs.

\*i. Reimburse Bradley Roberts, DDS, DSO cost to repair glass office doors-NRS 631.190 (For Possible Action)

Mrs. Shaffer-Kugel stated to the Board that about 1.5 years ago Dr. Roberts was evaluating a patient per the investigation he was assigned to. The patient grew angry with his review and upon exiting the evaluation area, she slammed the door fiercely which caused his hand-crafted glass windowed door to shatter. He had contacted the Board regarding the issue but was told that he would be responsible to have it replaced. Mr. Hunt commented to the Board that the former Executive Director did not want to submit the claim to the Board. However, that because the Disciplinary Screening Officers are providing services for the Board's investigations, the Board, if so inclined, could decide to reimburse Dr. Roberts the costs to repair of office glass door.

MOTION: Dr. Pinther made the motion to approve. Motion was seconded by Dr. Sill. Discussion: Dr. Kinard recommended that they create a policy regarding situations that arise when patients damage property while being evaluated by the DSO's. All were in favor.

- \*4. Board Counsel's Report (For Possible Action)
  - \*a. Legal Actions/Lawsuit(s) Update (For Possible Action)
    - (1) District Court Case(s) Update

Mr. Hunt noted to the Board that the District court issued a permanent injunction against an unlicensed hygienist. He added that there is a bench warrant out for a Lynn Ann Bard. He noted to the Board that there is a bulletin that Mrs. Shaffer-Kugel sent to the NNDS and SNDS because Ms. Bard falsified a pocket card and wall certificate, and presented it to employers.

Mr. Hunt recapitulated for the Board that at the last meeting there was an agenda item regarding Dr. John Ismail, where they voted to appoint a representative, Dr. Bradley Strong, to monitor the office. He indicated that investigation was regarding what appeared to be an illegal management arrangement. Furthermore, that upon Mrs. Ismail, the widow of Dr. Ismail, sending in her CPA it was determined that there were improprieties. He noted that this case in particular truly began in 2005, where the individual in question, was involved in a practice where he could not provide services because there were no regulations regarding practice management services. This individual then returned to Nevada and has been working in that capacity. Though Mrs. Ismail abandoned the practice, this individual was diagnosing and kept services running well after the practice was abandoned. He indicated that the Judge enjoined them from participating as managers; and the Preliminary injunction is to be heard on August 24<sup>th</sup>. He highlighted how this was a big victory for the Board, due to the fact that it shows how someone who is administering management services can contravene the scope and offer services that only calls for licensed Nevada dentists to do.

- \*b. Consideration of Stipulation Agreements (For Possible Action)
  - (1) Harvey Chin, DDS

Mr. Hunt went over the provisions of the stipulation agreement. Approval was recommended.

MOTION: Dr. Kinard made the motion to adopt the stipulation agreement. Motion was seconded by Dr. Blasco. All were in favor.

- \*c. Consideration for the Request for Reactivation of Dental License pursuant to NAC 631.170 (For Possible Action)
  - (1) Gregory Greenwood, DDS

Dr. Greenwood was present and stepped forward. Mr. Hunt asked Dr. Greenwood if he understood that he had the right to have an attorney present. Dr. Greenwood indicated that he understood his right to have an attorney, nonetheless, has chosen to represent himself. Mr. Hunt went over the provisions of the stipulation agreement that Dr. Greenwood entered into upon being approved for licensure in August of 2008. Mr. Hunt went over the provisions that would commence upon Dr. Greenwood's license being reactivated. Mr. Hunt explained to the Board all the provisions that Dr. Greenwood has had to comply with in the state of Louisiana, and has remained in compliance with. Mr. Hunt explained the provisions of the Board's stipulation agreement and inquired if Dr. Greenwood understood the provisions. Dr. Greenwood answered affirmatively. Dr. Greenwood indicated to the Board that his plans are to return to Nevada in September. Dr. Sill inquired if Dr. Greenwood currently holds a conscious sedation permit in Nevada. Dr. Greenwood indicated that he does not hold a conscious sedation permit in Nevada. Furthermore, he stated that he understood that the permit application is a separate process.

MOTION: Dr. Sill made the motion to approve the reactivation. The motion was seconded by Mrs. Solie. Discussion: Mrs. Solie inquired if the Board has received all the required documents for the reactivation application. Mrs. Shaffer-Kugel indicated that all required documents had been submitted. Dr. Miller inquired what they requirements were in the consent agreements from Louisiana. Mr. Hunt went over the terms and conditions of the consent agreement from Louisiana. Dr.-Miller-inquired-if-Dr.-Greenwood could explain the reasons behind the consent-agreement-in-Louisiana-and, thus, Nevada. Mrs. Solie inquired if he would be practicing general dentistry or a specialty; and if the would be administering conscious sedation. Dr. Greenwood explained the reasons for the sanctions in Louisiana and his plans to practice general dentistry in Nevada. All were in favor.

- \*d. Consideration of Application for Dental Licensure (pursuant to 631.240) related to Voluntary Surrender Stipulation Agreement (For Possible Action)
  - (1) Marianne Cohan (Cohen), DDS ---- \*\*TABLED\*\*

MOTION: Dr. Pinther made the motion to table this item. Motion was seconded by Mrs. Guillen. All were in favor.

- \*5. New Business (For Possible Action)
  - \*a. Consideration for Approval of Laser Certification Course-NAC 631.035 (For Possible Action)
    - (1) Christopher J Walinski, DDS Diode Laser Dental Training Course
    - (2) Janet A Press, RDH Laser Certification Training-Laser Practice Refinement
    - (3) William Leavitt, DDS Laser Dentistry Standard Course for Laser Proficiency & Certification

Dr. Blasco indicated that he reviewed the applications, they satisfied all the requirements, and recommended approval.

MOTION: Dr. Pisani made the motion to approve. Motion was seconded by Dr. Sill. All were in favor. Dr. Blasco abstained.

- \*b. Approval for Dental Licensure by ADEX NRS 631.240(1)(b)(I) (For Possible Action)
  - (1) Bryce R Cremean DDS
  - (2) Erin S Ma DMD

Dr. Pinther indicated that he reviewed the applications; all met the criteria, and that recommended approval.

MOTION: Dr. Sill made the motion to approve. The motion was seconded by Mrs. Villigan. All were in favor; Dr.

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Pinther abstained.

- \*c. Approval for Dental Licensure by WREB NRS 631.240(1)(b)(2) (For Possible Action)
  - (1) Jessica S Allen DMD
  - (2) Cody L R Besso DMD
  - (3) Victoria V Duong DDS
  - (4) Karla T Gutierrez-Olivares DDS
  - (5) Bryce S Haslam DDS
  - (6) Andelyn M Olsen DMD
  - (7) Phi V Phan DDS

- (8) Wendy Seto DDS
- (9) Sheena Sharma DMD
- (10) Amandeep S Sidhu DDS
- (11) Ammar Siddiqi DDS
- (12) Kevin B Tan DDS
- (13)Qi Wang DDS

Dr. Pinther indicated that he reviewed the applications, all met the criteria; and recommended approval.

MOTION: Dr. Pisani made the motion to approve. Motion was seconded by Mrs. Solie. All were in favor. Dr. Pinther abstained.

- \*d. Approval for Specialty License by Application NRS 631.250 (For Possible Action)
  - (1) Jessica S Allen DMD Periodontics
  - (2) Benjamin B Ellsworth DDS Periodontics
  - (3) Jeremy S Manuele DMD Orthodontics
  - (4) Sulabh H Shroff DMD Pediatric Dentistry
  - (5) Sandra M Thompson DMD Pediatric Dentistry

Dr. Pinther indicated that he reviewed the applications, all met the criteria; and recommended approval.

MOTION: Dr. Sill made the motion to approve. Motion was seconded by Dr. Pisani. All were in favor; Dr. Pinther abstained.

- \*e. Approval for Specialty License by Credential (Board Eligible) NRS 631.250 (For Possible Action)
  - (1) Christopher C Hock DDS Oral and Maxillofacial Surgery
  - (2) Joseph E Morneau DDS Orthodontics
  - (3) James J Schlesinger III DMD Oral and Maxillofacial Surgery

Dr. Pinther indicated that he reviewed the applications, all met the criteria; and recommended approval.

MOTION: Mrs. Villigan made the motion to approve. The motion was seconded by Ms. Guillen. All were in favor; Dr. Pinther abstained.

- \*f. Approval of Limited License for Post-Graduate Residency Program (Dental) NRS 631.271 (Pending 90-Day Completion) (For Possible Action)
  - (1) Ryan D Jolley DMD
  - (2) Rachel S Lee DDS

Dr. Pinther indicated that he reviewed the applications, that all met the criteria, and recommended approval.

MOTION: Dr. Pisani made the motion to approve. The motion was seconded by Ms. Guillen. All were in favor; Dr. Pinther abstained.

\*g. Approval of Limited Dental License - NRS 631.271 (For Possible Action)

(1) Antonina C Capurro DMD

Dr. Pinther indicated that he reviewed the application, that it met the criteria, and recommended approval.

MOTION: Dr. Miller made the motion to approve. The motion was seconded by Mrs. Solie. All were in favor; Dr. Pinther abstained.

\*h. Approval for Dental Hygiene Licensure by ADEX – NRS 631.300(1)(b)(1) (For Possible Action)

(1) Ana E Brizendine

(2) Cindy H Pallack

Dr. Pinther indicated that he reviewed the application, that it met the criteria, and recommended approval.

MOTION: Mrs. Solie made the motion to approve. The motion was seconded by Dr. Pisani. All were in favor; Dr. Pinther abstained.

\*i. Approval for Dental Hygiene Licensure by WREB - NRS 631.300(1)(b)(2) (For Possible Action)

(1) Victoria A Bell (2) Tara S Conley (3) Shiena M Dalmacio (8) Christi M Mannos (9) Sara N Mercier

(15) Tamara L Schwender (16) Vanessa I Smith (17) Paula K A St. James

(4) Nicole M Dubasik (5) Patrick V Felt

(10) Ashley B Mills (11) Felicia A Milton (12) Jaime A Olsen

(18) Kelsey L Standerfer (19)Katherine M Tillma

(6) Camille C Harcourt

(13) Hannah J Parker

(14) Brandi E N Ryan

Dr. Pinther indicated that he reviewed the applications, all met the criteria; and recommended approval.

MOTION: Dr. Sill made the motion to approve. The motion was seconded by Mrs. Villigan. All were in favor; Dr. Pinther and Mrs. Solie abstained.

\*j. Approval of Voluntary Surrender of License - NAC 631.160 (For Possible Action)

(1) Angela Baker RDH

(3) Deborah J Buchanan RDH

(2) Deborah D Becker RDH

(4) Sandra T Call RDH

Mrs. Shaffer-Kugel indicated that all application were in order and had no pending matters with the Board.

MOTION: Mrs. Villigan made the motion to approve. The motion was seconded by Dr. Pisani. All were in

\*k. Approval of Public Health Endorsement - NRS 631.287 (For Possible Action)

(1) Laura Helber, RDH

Dr. Pinther indicated that he reviewed the application, that it met the criteria, and recommended approval.

MOTION: Mrs. Solie made the motion to approve. The motion was seconded by Mrs. Villigan. All were in favor.

Dr. Pinther abstained.

- \*l. Approval for Anesthesia-Temporary Permit NAC 631.2254 (For Possible Action)
  - \*(1) Conscious Sedation
    - (a) Sandra M Thompson, DMD
    - (b) Kevin J Olson, DMD

Dr. Miller indicated that he reviewed the applications, that they met the criteria, and recommended approval.

MOTION: Dr. Pinther made the motion to approve. Motion was seconded by Dr. Pisani. All were in favor.; Dr. Miller abstained.

- \*(2) General Anesthesia
  - (a) Christopher C Hock, DDS
  - (b) James Schlesinger III, DMD

Dr. Miller indicated that he reviewed the applications, that they met the criteria, and recommended approval.

MOTION: Mrs. Solie made the motion to approve. Motion was seconded by Dr. Sill. All were in favor; Dr Miller abstained.

- \*m. Approval for a 90-Day Extension of Anesthesia Permit NAC 631.2254(2) (For Possible Action)
  - \*(1) Conscious Sedation (For Possible Action)
    - (a) Sulabh H Shroff, DMD
    - (b) Brittany A Wilson, DDS

Dr. Miller recommended approval for a 90-day extension.

MOTION: Dr. Pisani made the motion to approve. Motion was seconded by Dr. Sill. All were in favor; Dr. Miller abstained.

- \*6. Resource Group Reports
  - \*a. Legislative and Dental Practice (For Possible Action)

(Chair: Dr. Pinther; Dr. Champagne; Dr. Blasco; Dr. Kinard; Mrs. Guillen, Mrs. Wark)

No report.

\*b. Legal and Disciplinary Action (For Possible Action)

(Chair: Dr. Kinard; Dr. Pisani; Dr. Sill; Dr. Blasco; Mrs. Villigan; Mrs. Wark)

No report.

- \*c. Examinations Liaisons (For Possible Action)
  - \*(1) WREB Representatives (For Possible Action)
    - (Dr. Blasco and Mrs. Solie)
    - (a) Report from the Western Regional Examining Board (DERB) Meeting

 Dr. Blasco reported that Kentucky is the new member state. The new President is Tip Tippit; the President-Elect is Norm Magnuson; the Treasurer is Greg Waite; Dale Chamberlain is the new Member-at-large, and that Jeff Lunde is the Member-at-large. He further reported that one of the changes being made to the exam is that they voted to allow for third-year dental students to be assistants in an exam. He indicated that New Mexico, by state legislation, accepts all clinical exams; while Minnesota does not recognize any. He indicated that currently in the state of Oregon, they are experiencing issues with providers not checking their sterilization logs and are not maintaining proper documentation. The law regarding sterilization went into effect in 2004 but had not been enforced. He commented that the State of Arizona was being audited due to an issue with their meeting minutes. The State found that the minutes were ambiguously written and lacked proper summarization of discussions and actions taken for the purpose of minutes. He further added that they were in the process of creating guidelines for discipline. He noted that they will also be implementing criminal background checks for applicants.

He reported that in the state of Texas the AAID, OFP, and IOIC are suing the Dental Board to recognize their specialties. However, the Texas Dental Board is standing firm that they only recognize those specialties recognized by the ADA as Dental Specialties. He also noted that the State of Illinois is revising their Anesthesia criteria. Dr. Blasco provided brief reports on certain events transpiring in other states.

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*(2) <u>ADEX Representatives</u> (For Possible Action)
(Dr. Kinard)
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Dr. Kinard is having Angie scan and send ADEX newsletters to all board members as they are received in the office.

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*d. <u>Continuing Education</u> (For Possible Action)
(Chair: Dr. Sill; Dr. Blasco; Dr. Pisani; Mrs. Villigan; Ms. Solie)
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(1) Recommend to the Board to adopt the WREB anesthesia guidelines for dental hygiene nitrous oxide and local anesthesia course approval until regulation change

Dr. Blasco indicated to the Board members that the committee held a meeting on Friday, June 27, 2014 to establish guidelines that the committee could use when receiving applications for course approval of post-graduate courses. He recommended that the Board adopt the WREB anesthesia guidelines. Mrs. Peterson indicated that she provided Dr. Blasco with the guidelines from WREB, the syllabi and course curriculum that has been approved for CSN students to sit for the WREB local anesthesia exam. She further indicated that the committee she sits on will be creating drafting guidelines that will be used by the State Boards. The Board members indicated that they would like to review the guidelines before rendering a decision.

MOTION: Dr. Pisani made the motion to table this item until they can all review the guidelines. Motion was seconded by Dr. Blasco. All were in favor.

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*e. <u>Committee of Dental Hygiene</u> (For Possible Action)
(Chair: Mrs. Guillen; Mrs. Villigan, Ms. Solie; Dr. Sill)
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No report.

\*f. <u>Specialty</u> (For Possible Action)
(Chair: Dr. Pisani; Dr. Miller; Dr. Pinther)

No report.

\*g. <u>Anesthesia</u> (For Possible Action)
(Chair: Dr. Miller; Dr. Pinther; Dr. Champagne, Dr. Kinard)

Dr. Miller indicated that he wanted to make the Board aware that recently there are some changes being made in relations to modern sedation, and that some guidelines are being changed.

# Nevada State Board of Dental Examiners Balance Sheet

As of July 31, 2014

As of July 31, 2014	
	Jul 31, 14
ASSETS	
Current Assets	
Checking/Savings	
10000 · Wells Fargo-Operating	696,949.86
10010 · Wells Fargo-Savings	1,001,658.35
Total Checking/Savings	1,698,608.21
Accounts Receivable	
11000 · Accounts Receivable	88,423.54
Total Accounts Receivable	88,423.54
Other Current Assets	
11050 · Reimbursements Receivable	49.95
11200 · Prepaid Expenses	18,142.65
11210 · Prepaid Insurance	2,368.49
Total Other Current Assets	20,561.09
Total Current Assets	1,807,592.84
TOTAL ASSETS	1,807,592.84
LIABILITIES & FUND BALANCE	
Liabilities	
Current Liabilities	
Accounts Payable	
20000 · Accounts Payable	43,985.90
Total Accounts Payable	43,985.90
Other Current Liabilities	
22125 · DDS Deferred Revenue	
22126-3 · 2015 DDS Active Licenses	469,047.19
22126-4 · 2015 DDS Inactive/Retired Fees	27,269.59
22900 · DDS-Permits	10,013.05
22901 · DDS-Limited License	4,950.00
22902 · DDS-Ltd Lic-Supervisor	1,731.06
Total 22125 · DDS Deferred Revenue	513,010.89
22136 · RDH Deferred Revenue	
22138-1 · 2016 RDH Active	354,247.92
22138-2 · 2016 RDH Inactive/Retired	12,602.08
Total 22136 · RDH Deferred Revenue	366,850.00
20500 · Fines Payable-State of Nevada	2,750.00
23750 · Accrued Vacation/Sick Leave	19,923.04
Total Other Current Liabilities	902,533.93
Total Current Liabilities	946,519.83
Total Liabilities	946,519.83

# Nevada State Board of Dental Examiners Balance Sheet

As of July 31, 2014

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	Jul 31, 14
Fund Balance	
39000 · Retained Earnings	842,651.49
Net Income Over Expenses	18,421.52
Total Fund Balance	861,073.01
TOTAL LIABILITIES & FUND BALANCE	1,807,592.84

	Jul 14	Budget	\$ Over Budget
Ordinary Income/Expense			
Income			
40000 · Dentist Licenses & Fees			
40100 · DDS Active License Fee	42,640.65	42,400.00	240.65
40102 · DDS Inactive License Fee	2,479.05	2,500.00	(20.95
40135 · DDS Activate/Inactive/Suspend	2,150.00	425.00	1,725.00
40136 · DDS Activate Revoked License	500.00	500.00	0.00
40140 · Specialty License App	375.00	125.00	250.00
40145 · Limited License App	250.00	125.00	125.00
40115 · Limited License Renewal Fee	450.00	750.00	(300.00
40116 - LL-S Renewal Fee	346.21	342.00	4.21
40150 ⋅ Restricted License App	600.00	200.00	400.00
40180 · Anesthesia Site Permit App	1,050.00	833.00	217.00
40182 · CS/GA/Site Permit Renewals	910.28	905.00	5.28
40183 · CS/GA Site Permit ReInp	0.00	1,050.00	(1,050.00
40175 · Conscious Sedation Permit Appl	1,200.00	750.00	450.00
40160 · Conscious Sedation Permit ReInp	1,250.00	708.00	542.00
40170 · General Anesthesia Permit Appl	1,100.00	0.00	1,100.00
40155 · General Anesthesia Permit ReInp	1,250.00	375.00	875.00
40212 · DDS ADEX License Application	2,400.00	1,200.00	1,200.00
40205 · DDS Credential Appl Fee-Spcity	6,000.00	1,200.00	4,800.00
40211 · DDS WREB License Application	12,025.00	2,400.00	9,625.00
Total 40000 · Dentist Licenses & Fees	76,976.19	56,788.00	20,188.19
50000 · Dental Hygiene Licenses & Fees			
40105 · RDH Active License Fee	15,402.08	16,125.00	(722.92
40106 · RDH Inactive License Fee	547.92	662.50	(114.58
40130 · RDH Activate/Inactive/Suspend	1,612,50	600.00	1,012.50
40126 · RDH Reinstate Revoked License	0.00	1,000.00	(1,000.00
40110 · RDH LA/N2O Permit Fee	600.00	300.00	300.00
40222 · RDH WREB License Application	6,000.00	1,500.00	4,500.00
Total 50000 · Dental Hygiene Licenses & Fees	24,162.50	20,187.50	3,975.00
50750 · Other Licenses & Fees			
40220 · License Verification Fee	400.00	325.00	75.00
40227 · CEU Provider Fee	1,350.00	315.00	1,035.00
40240 · Check Return Fee	0.00	5.00	(5.00
40225 · Duplicate License Fee	25.00	50.00	•
40555 · Fines	0.00	25.00	(25.00 (25.00
40185 · Lists/Labels Printed	864.00	600.00	
40600 · Miscellaneous Income	0.00		264.00
		30.00	(30.00
Total 50750 · Other Licenses & Fees	2,639.00	1,350.00	1,289.00
Total Income	103,777.69	78,325.50	25,452.19

	Jul 14	Budget	\$ Over Budget
pense			
60500 ⋅ Bank Charges			
60500-2 · Merchant Fees	952.33	469.00	483.33
Total 60500 · Bank Charges	952.33	469.00	483.33
68000 · Conferences & Seminars	0.00	815.00	(815.00)
63000 ⋅ Dues & Subscriptions	680.52	368.50	312.02
65100 - Furniture & Equipment	0.00	500.00	(500.00)
65500 ⋅ Finance Charges	0.00	21.00	(21.00)
66500 · Insurance			
66500-1 · Liability	590.29	591.00	(0.71)
66500-2 · Workers Compensation	93.96	85.00	8.96
Total 66500 · Insurance	684.25	676.00	8.25
66520 - Internet/Web/Domain			
66520-1 · GL Suites	2,967.78	2,925.00	42.78
66520-2 ⋅ E-mail, Website Services	173.19	164.00	9.19
66520-3 - Internet Services	0.00	128.00	(128.00)
Total 66520 · Internet/Web/Domain	3,140.97	3,217.00	(76.03)
73500 · Information Technology			
73500-1 · Computer Repair/Upgrade	46.00	200.00	(154.00)
Total 73500 · Information Technology	46.00	200.00	(154.00)
6600 · Office Supplies	1,070.38	450.00	620.38
6650 ⋅ Office Expense			
68710 · Miscellaneous Expenses	0.00	115.00	(115.00)
68700 · Repairs & Maintenance			
68700-1 · Janitorial	500.00	500.00	0.00
68700-2 · Copier Maintenance (7545P)	334.02	335.00	(0.98)
68700-3 · Copier Maintenance (7435P)	132.90	182.50	(49.60)
Total 68700 · Repairs & Maintenance	966.92	1,017.50	(50.58)
68725 · Security	70.00	70.00	0.00
68715 · Shredding Services	34.90	38.75	(3.85)
68720 · Utilities	476.71	478.00	(1.29)
Total 66650 · Office Expense	1,548.53	1,719.25	(170.72)
57000 · Printing	494.28	150.00	344.28
37500 ⋅ Postage & Delivery	4,250.74	900.00	3,350.74
68500 · Rent/Lease Expense			
68500-2 · Office			
68500-3 - Office Sub-Lease Income	(2,969.46)	(2,969.46)	0.00

	Jul 14	Budget	\$ Over Budget
68500-2 · Office - Other			
	8,083.80	8,083.80	0.00
Total 68500-2 · Office	5,114.34	5,114.34	0.00
68500-4 · Storage Warehouse	255.59	210.00	45.59
Total 68500 · Rent/Lease Expense	5,369.93	5,324.34	45.59
75000 · Telephone			
75000-1 · Telephone-Office	201.10	190.00	11.10
Total 75000 · Telephone	201.10	190.00	11.10
75100 · Travel (Staff)	0.00	200.00	(200.00)
73550 · Per Diem (Staff)	0.00	50.00	(50.00)
73600 · Professional Fee			
73600-1 · Accounting	1,335.00	1,000.00	335.00
73600-4 · Legislative Services	1,500.00	1,500.00	0.00
73600-2 · Legal-General	1,183.32	4,660.00	(3,476.68)
Total 73600 · Professional Fee	4,018.32	7,160.00	(3,141.68)
73700 · Verification Services	665.00	665.00	0.00
72000 - Employee Wages & Benefits			
72100 · Executive Director	7,001.60	7,483.37	(481.77)
72300 · Credentialing & Licensing Coord	4,256,44	4,843.00	(586.56)
72132 - Site Inspection Coordinator	3,062.13	3,252.00	(189.87)
72200 · Technology/Finance Liaison	3,941.80	3,886.00	55.80
72130 · Public Info & CE Coordinator	2,454.00	2,667.00	(213.00)
72140 · Administrative Assistant (P/T)	1,379.24	1,196.00	183.24
72010 · Payroll Service Fees	133,50	104.00	29.50
72005 - Payroll Tax Expense	479.01	500.00	(20.99)
72600 · Retirement Fund Expense (PERS)	5,225.26	5,233.00	(7.74)
65525 · Health Insurance	3,875.47	4,042.00	(166.53)
Total 72000 · Employee Wages & Benefits	31,808.45	33,206.37	(1,397.92)
, , ,	•	,	(1,11)
72400 · Board of Directors Expense 72400-1 · Director Stipends	500.00	0.00	500.00
72400-9 · Refreshments - Board Meetings	500.00 65.30	0.00	500.00
Total 72400 · Board of Directors Expense		0.00	65.30
Total 12400 - Board of Directors Expense	565.30	0.00	565.30
60001 · Anesthesia Eval Committee			
60001-1 ⋅ Evaluator's Fee	233.35	1,975.00	(1,741.65)
60001-4 · Travel Expense	57.09	400.00	(342.91)
Total 60001 - Anesthesia Eval Committee	290.44	2,375.00	(2,084.56)
73650 · Investigations/Complaints			
72550 · DSO Coordinator	450.00	500.00	(50.00)

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	Jul 14	Budget	\$ Over Budget
73650-1 · DSO Consulting Fee	3,125.00	4,500.00	(1,375.00)
73650-2 · DSO Travel Expense	522.22	1,037.50	(515.28)
73650-3 · Legal Fees-Investigations	24,106.70	20,496.00	3,610.70
73650-6 · Reimb Investigation Expenses	0.00	(13,500.00)	13,500.00
Total 73650 · Investigations/Complaints	28,203.92	13,033.50	15,170.42
60002 - Infection Control Inspection			
60002-1 · Initial Inspection Expense	712.50	1,200.00	(487.50)
60002-2 · Reinspection Expense	100.00	308.50	(208.50)
60002-3 · Random Inspection Expense	0.00	200.00	(200.00)
60002-4 - Travel Expense	706.33	417.00	289.33
Total 60002 · Infection Control Inspection	1,518.83	2,125.50	(606.67)
Total Expense	85,509.29	73,815.46	11,693.83
Net Ordinary Income	18,268.40	4,510.04	13,758.36
Other Income/Expense			
Other Income			
40800 ⋅ Interest Income	153.12	125.00	28.12
Total Other Income	153.12	125.00	28.12
Net Other Income	153.12	125.00	28.12
Net Income Over Expenses	18,421.52	4,635.04	13,786.48

### **Nevada State Board of Dental Examiners**



6010 S. Rainbow Blvd., Bldg. A, Ste.1 • Las Vegas, NV 89118 • (702) 486-7044 • (800) DDS-EXAM • Fax (702) 486-7046

### **TENTATIVE**

# Calendar of Events for 2015

Board Meetings - Starting time 9:00 a.m.

Friday January 23, 2015

Friday March 20, 2015

Friday May 22, 2015

Friday June 26, 2015

Friday July 31, 2015

Friday September 18, 2015

Friday November 20, 2015

American Association of Dental Board Meetings:

Mid-Year Meeting -TBA

Annual Meeting- TBA

# GRAPHIC IMAGING SERVICES



TEL: 702.222.3590 Fax: 702.222.3587 www.graphicimaging.net

## Quotation

DATE 4/28/14 Quote # 20130120U

**Quotation For:** 

Nevada State Dental Board 6010 So. Rainbow Blvd. Las Vegas, NV 89118 Debra A. Shaffer (702) 486-7044 Quotation valid until:

7/2/2014

Prepared by: Lisa A. Desautels lad@graphicimaging.net

dashaffer@nsbde.nv.gov

### **Comments or Special Instructions:**

YTITHAU	DESCRIPTION	UNIT PRICE	TAX		AMOUNT
1	On-Site Mobilization Fee	500.00			Waived
	*Set up project using GISI's Scanners/Computers			ł	
200,000	Scan Documents to mulitipage PDF (color or monochrome)	0.10			20,000.00
	*Includes Quality Control / rescans at no additional charge				
	*Includes cropping, rotating and deskewing				
	*400 dpi resolution			ŀ	
	*Placement in to folders as per SOW				
	*Includes special file naming per document type				
140	Document Preparation hourly rate (estimated)	35.00			4,900.00
	*Removing staples, taping unfolding prior to scanning				·
	*Identify Document types for scanning				
	Anesthesia				
	Correspondence				
	Forms				
	License			ŀ	
	Miscellaneous				
	Reinstatements				
	Suspension				
	Renewals				
50	Upload Intelligent PDF files to DocuShare	35.00			1,750.00
	Authorized Partner of:	<u> </u> S	UBTOTAL	\$	26,650.00
			TAX RATE		8.10
Canon	Contex Colortrac Seri Fujir	SU s	ALES TAX		_
EPSON"	Authorized Recilie OnBase ORACL AUTOVUS	<u>.€</u>	OTHER		
	SCP SCP		TOTAL	\$	26,650.00

#### Notes:

Pricing is valid for 30 days. Payment Terms are COD unless prior arrangements are made or P.O. is issued. Pricing does not include delivery or pick up, installation, technical support, shipping charges or sales tax unless otherwise noted. This proposal cannot be duplicated in part or whole without the consent of Graphic Imaging Services Inc. Please contact Lisa Desautels for questions regarding this proposal.

# VIATRON SYSTEMS, INC SUN VALLEY IMAGING

### Presented By:



THE PAPERLESS OFFICE SPECIALISTS

ViaTRON VTX Content Management Systems

### Prepared For:

### State of Nevada Dental Board

6010 So. Rainbow Blvd. Las Vegas, CA 89118

### Prepared By:

**GEOFF ERWIN** 

T/310-756-0607 Fax: 310.756.0609

ViaTRON SYSTEMS, INC 18233 Hoover Street Los Angeles, CA 90248

The Paperless Office Specialists



### THE PAPERLESS OFFICE SPECIALISTS

# **PROJECT DETAILS**

PROJECT NO: 5105

[Confidential Document]

State of Nevada Dental Board

DATE: 9/18/2014

GEOFF ERWIN

9/18/2014

Page 2 of 2	
PROFESSIONAL SERVICES	
PROFESSIONAL SERVICES Upload Intelligent PDF files to DocuShare	50
PROFESSIONAL SERVICES On-Site Mobilization Fee	1
ViaTRON SCANNING AND DATA CONVERSION SERVICES Scan Documents to mulltipage PDF (color or monochrome) *Includes Quality Control / rescans at no additional charge *Includes cropping, rotating and deskewing *400 dpi resolution *Placement in to folders as per SOW *Includes special file naming per document type	200,000
ViaTRON SCANNING AND DATA CONVERSION SERVICES Document Preparation hourly rate (estimated) *Removing staples, taping unfolding prior to scanning *Identify Document types for scanning Anesthesia Correspondence Forms License Miscellaneous Reinstatements Suspension Renewals	140
TERMS	
Payments Payments of 50% are due at start of project – Remaining 50% due at end of project.	
Late Payments Client will be charged 1.5% for late payment of invoice.	
Shipping & Handling Shipping & Handling cost has not been included in this document. These charges are separate.	
Sales Tax Applicable Sales Tax has not been included in this document.	
PROJECT PRICING	
FINAL PRICE \$29,457	
Project Approval:	
Signature Date	<del></del>

### Sun Valley Imaging & Technologies

Quotation

4685 Copper Sage St. Las Vegas , NV 89115 702.651.1679

www.sunvalleyimaging.com



Cust	omer						
Name Address	Nevada State Board of 6010 S Rainbow Blvd,				Salesperson Date	Gina Piccirillo 9/19/2014	_
Address City	Las Vegas 702-486-7044	State/Zip	NV	89118	Quote Ref. Phone	091914-01 702-651-1679	_
Phone Contact	Debra Shaffer-Kugel	Fax Email	dashaffer@	nsbde.nv.gov	Fax Email	702-974-4224 gina@sunvalleyimaging.com	-)

Units	Description	Catalog #	Unit Price	Discount/Override	TOTAL
	Services Performed at SVIT				
248,000	Document Preparation		0.100		\$ 24,800.00
248,000	Document Scanning		0.045		\$ 11,160.00
300,000	Document Indexing (per keystroke)		0.009		\$ 2,700.00
1	Onsite Job Setup Fee		250.000		\$ 250.00
	Optional Services				
				1	
	Total Estimate of Services pe	rformed at SVIT (does i	not include Opti	ional Services)	\$ 38,910.00

Signature:	 	
Signature:	 	 

Notes:
Pricing is valid for 60 days. Quantities are estimated. Customer will only be billed for actual images. SVIT will invoice bi-monthly; amounts due upon receipt of invoice. Pricing does not include sales tax unless otherwise noted. Any changes made to scope of work or that are not included on this quote will be subject to additional charges. This proposal cannot be duplicated in part or whole without the consent of Sun Valley Imaging & Technologies, Please contact your sales rep for questions.

lential

### APPLICATION FOR NEVADA DENTAL LICENSURE

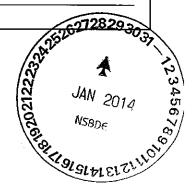
Licensure by ADEX-Dental: Adminis	tered By: (NRS 631.240)	A Section 1
NERB \$1200 CF	RDTS \$1200 NSBDE (Provide Test Date)	
Licensure by WREB Exam: \$1200 (NRS 631,240)	Licensure by Credential: \$1200 (NRS 631.255)  Indicate Specialty (Board Eligible / Diplomate)	
Restricted Geographical: \$600	Orthodontia	
(NRS 631.274) Indicate County(ies)	Periodontia Endodontia	
	O & M Surgery	
Indicate FQHC Facility or Non Profit_		
Limited Licensure: \$125	O & M Radiology Pediatric Dentistry	
(NRS 631.271)	Prosthodontia	
Indicate Residency Program		•
Instructor/Facility		
AND MAY NOT BE REFUNDED	with the Board office. APPLICATION FEES MUST BE PAID IS O PURSUANT TO NEVADA REVISED STATUTE (NRS) 631.34 SINESS DAYS UPON APPROVAL OF YOUR APPLICATION B	45. YOU WILL
AND MAY NOT BE REFUNDED BE NOTIFIED WITHIN 15 BUS lease type or print legibly. All qu lentifying additional information IISREPRESENTATIONS OF IN pplicants acknowledge they have ntil such time as the Board takes	D PURSUANT TO NEVADA REVISED STATUTE (NRS) 631.34	45. YOU WILL BY THE BOARD. Separate sheet CATION. In this application
AND MAY NOT BE REFUNDED BE NOTIFIED WITHIN 15 BUS lease type or print legibly. All qualentifying additional information ISREPRESENTATIONS OF INplicants acknowledge they have ntil such time as the Board takes rior to final action of the Board i.	D PURSUANT TO NEVADA REVISED STATUTE (NRS) 631.34 SINESS DAYS UPON APPROVAL OF YOUR APPLICATION BE sestions must be answered. If additional space is needed, attach a by Section number. OMISSIONS, INACCURACIES, AND/OR FORMATION ARE GROUNDS FOR REJECTION OF APPLICA continuing responsibility to update all information contained in final action on this application. Failure of an applicant to update a grounds for subsequent disciplinary action.	45. YOU WILL BY THE BOARD. Separate sheet CATION. In this application
AND MAY NOT BE REFUNDED BE NOTIFIED WITHIN 15 BUSTLESSED WITHIN 15 BOARD IN THE BO	D PURSUANT TO NEVADA REVISED STATUTE (NRS) 631.34 SINESS DAYS UPON APPROVAL OF YOUR APPLICATION B  Testions must be answered. If additional space is needed, attach a by Section number. OMISSIONS, INACCURACIES, AND/OR  UFORMATION ARE GROUNDS FOR REJECTION OF APPLIC a continuing responsibility to update all information contained if final action on this application. Failure of an applicant to update s grounds for subsequent disciplinary action.  SOCIAL SECURITY #  The own by any other name?  Yes No  Ty other name by which you have been known, the reason the	45. YOU WILL BY THE BOARD. E separate sheet CATION. In this application The the information
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AND MAY NOT BE REFUNDED BE NOTIFIED WITHIN 15 BUS Please type or print legibly. All qualentifying additional information MISREPRESENTATIONS OF IN Populate acknowledge they have ntil such time as the Board takes rior to final action of the Board i.  FULL NAME MALIAL Have you ever been known if yes, state in full ever inclusive dates so known inclusive dates so known inclusive dates so known inclusive dates was  If a name change was	D PURSUANT TO NEVADA REVISED STATUTE (NRS) 631.34 SINESS DAYS UPON APPROVAL OF YOUR APPLICATION B  Testions must be answered. If additional space is needed, attach a by Section number. OMISSIONS, INACCURACIES, AND/OR  FORMATION ARE GROUNDS FOR REJECTION OF APPLIC a continuing responsibility to update all information contained it final action on this application. Failure of an applicant to update s grounds for subsequent disciplinary action.  SOCIAL SECURITY #  TOWN by any other name?  Yes \[ \sum_\ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \	45. YOU WILL BY THE BOARD.  Separate sheet  CATION. In this application be the information  serefore, and the

l evised 07/2011

Posted 3/14/13

2. ADDRESS	Tailing Address	5			
	ermanent Address	<u> </u>			
•	<i>f different</i> ) ractice Address	- <del></del>			
	f any)				<del></del>
Telephone Resi	<del></del>	<del>.</del>			
Telephone Cell					
Telephone Busi	ness ( )	F	<b>AX</b> ( )_		<del></del>
E-mail address		J. T. Co.	<u> </u>		
3. AGE	Birthdate	Birthpla	nce		
Are you a <u>U.S.</u>	oorn citizen? Yes	,	City, Co	ounty, State,	& Country)
If no, are you no	nturalized? Yes N	0			
If yes, naturalize	ation#				
Naturali	tation Date:	Pla	ace:		
		Yes			
If no, are you a	legal resident?	Yes	No		
Is your applicati	on for naturalization pending	? Yes	No		
Date of A	pplication	Pla	ace		
* If not a U.S. citizen your the U.S. and work in the U	ou must submit appropriate .S.*	documentation	for lawful	entitlement	to remain in the
4. DENTAL SCHOOL	EDUCATION: DOCTORA	AL & POST DO	 OCTORAL		
Dental School: (Doctoral) <u>SUNY</u> A	T BUFFALO DENTAL S	CHCOL(Post Doc	toral)		
City & State: BUFFA	LO, NY	City & Sta	ate:		
	om 8/88 (month & year)	Years atte (Post Doc		From	(month & year) (month & year)
Graduation Date: (Doctoral)(Month, Day	1992 & Year)	(Post Doc	toral)	Day & Year)	/so <sup>2</sup> 12829303
	DMD Sp	ecialty (MS) _	•		AN 2014
		2		6	SAN 2012 NSADE
					्र्र <sup>8</sup> र्रागितामाह,

Have you been granted a certificate of successful completion of the National Board of Dental Examiners written examination?	YesNo
If yes, list total average score on Part I and II: Part I	Part II
Date of Certificate MAY COLOR	
6. LASER USE AND CERTIFICATION	
I utilize laser radiation in the performance of my practice of dentistry.	Yes No
I certify that each laser I use in my practice of dentistry has	
been cleared by the United States Food and Drug Administration for use in dentistry.	YesNo
	completion of a recognize
for use in dentistry.  Attach a copy of proof of course completion of laser proficiency indicating successful course pursuant to Board regulation NAC 631.033 and NAC 631.035 based on the cu	completion of a recognize
for use in dentistry.  Attach a copy of proof of course completion of laser proficiency indicating successful course pursuant to Board regulation NAC 631.033 and NAC 631.035 based on the custandards for dental laser education as adopted by the Academy of Laser Dentistry.	completion of a recognize
for use in dentistry.  Attach a copy of proof of course completion of laser proficiency indicating successful course pursuant to Board regulation NAC 631.033 and NAC 631.035 based on the custandards for dental laser education as adopted by the Academy of Laser Dentistry.  7. SELF EMPLOYMENT	completion of a recognize rriculum guidelines and
for use in dentistry.  Attach a copy of proof of course completion of laser proficiency indicating successful course pursuant to Board regulation NAC 631.033 and NAC 631.035 based on the custandards for dental laser education as adopted by the Academy of Laser Dentistry.  7. SELF EMPLOYMENT  Have you ever been self-employed?	completion of a recognize rriculum guidelines and
Attach a copy of proof of course completion of laser proficiency indicating successful course pursuant to Board regulation NAC 631.033 and NAC 631.035 based on the custandards for dental laser education as adopted by the Academy of Laser Dentistry.  7. SELF EMPLOYMENT  Have you ever been self-employed?  If yes, please list date(s) of self-employment and nature of business:	completion of a recognize rriculum guidelines and
Attach a copy of proof of course completion of laser proficiency indicating successful course pursuant to Board regulation NAC 631.033 and NAC 631.035 based on the custandards for dental laser education as adopted by the Academy of Laser Dentistry.  7. SELF EMPLOYMENT  Have you ever been self-employed?  If yes, please list date(s) of self-employment and nature of business:	completion of a recognize rriculum guidelines and



9. DENTA	L PRACTICE				
	e you ever been een employed as	engaged in private dent a dentist?	tal practice	Yes	sNo
dent	istry: the names	ing information for the p and addresses of all emp reason for leaving each	oloyers, partners, asso	ciates or persons	sharing
<u>From</u>	<u>To</u>	Practice Address	Names and Ade Employers, Ass		Reason for Leaving
<b>y</b>	HAVE NOT	peactices	IN II YEAR	S	
		11 11 2 2 2 2 2 2 2 2 2 2 2 2 2 2 2 2 2			
0. CONTI	NUED CLINIC	AL COMPETENCY			
	•	f active practice for one ting this application?	e or more years	Yes	No
If ye	s, attached a sep	arate sheet with details o	of how you have main	tained your clinic	eal skills.
1. HISTO	RY OF IMPAIR	RMENT			
(a)	do you have a	or have you ever, abuse any medical/mental imp your ability to perforn ?	airments or emotion:	al condition(s) th	at
	If yes,	submit details on separa	ite sheet.	Yes	No
(b)	•	or have you ever had, a your ability to perform ?	•	` '	
	If yes,	submit details on separa	tte sheet.	Yes	16 VB
				/.	ODY .
			4	0021222	JAN 2014 NSBDE

12. EXAM	INATION AND LIC	ENSURE HISTORY		
) (a)	examination(s) adı	ticipated as a candidate ministered by Nevada o Columbia or any Region	r any state, territo	
If ye	s, list the following for	each examination (use	additional sheets i	f necessary):
State, Terri	itory, DC or	Date(s) of	<u>Each</u>	Result of Each
Regional To	esting Agency	Clinical Exan		Clinical Examination
NER	В	Мау	1992	Pass
NEVA	DA	MARCH	1 1996	PASS
WRE	В	April	2013	PASS
		lied for a license to pra	•	Yes No
State, Terri	itory or	Date o	f Each	Result of Each
District of C	<u>Columbia</u>	Appli	<u>cation</u>	<b>Application</b>
NEU	OVORK	May	1992	GRANTED
NEV	MAA	Ma	RCH 1996	GRANTED
(c) (d)	or suspend your de At the time you file proceedings pending	ed this application, were ng against you, includin	e any disciplinary ng complaints or	Yes No
(e)	Columbia? Have you ever been	ny other state, territory n terminated or attemp license in any state, ter	ted to terminate o	Yes No .
<b>(f)</b>	Have you ever beer	n denied a dental licens of the U.S. or the Disti	•	Yes No No Yes No
If you answe on a separat	ered 'yes' to questions te sheet and attach to t	his application!	r	full explanation of each answer
		~2/191GL	かび	

13.	MORAL	CHARACTER	<del></del>	
	(a)	As a member of any profession or association connected with the prac	tice of de	ntistry, or
	` ,	as a staff member at a hospital, outpatient clinic, or surgery center, or		
,		office:		
		(1) Have you ever been suspended or otherwise disqualified?	Yes	No
		(2) Have you ever been reprimanded, censored, restricted or		
		otherwise disciplined?	Yes	No
		(3) Have any charges or complaints, formal or informal, ever		
		been made or filed against you, or have any proceedings		
		been instituted against you?	Yes	No
		(4) Have you ever been requested to appear before a Peer		
		Review Committee?	Yes	No
		(5) Have your clinical privileges or procedures been restricted		
		by any hospital, outpatient clinic or surgery center?	Yes	No
	<b>(b)</b>	Have you ever been convicted of a felony or a misdemeanor or a		
		crime involving moral turpitude?	Yes	No
•	(c)	Have you ever entered a plea of nolo contendere to a felony or		
		misdemeanor, or a charge of a crime involving moral turpitude?	Yes	No
	(d)	Have you ever been summoned, arrested, taken into custody, indicted		
		convicted, tried for, charged with, or pleaded guilty to the violation of		
		any law or ordinance or the commission of any misdemeanor(s) or		
		felony(ies)? Have you ever been requested to appear before a prosecu		
		attorney or investigative agency in any matter? (Include all incidents,		
		violations, no matter how minor the infraction or whether guilty or not.	Although	
		conviction may have been expunged from the records of the Court,		
		it must be disclosed in your answer to this question.)	Yes	_ No
	(e)	Have you ever been declared a ward of any court, or adjudged as		-
		incompetent, or have any proceedings ever been brought to have you		
		declared a ward of any court or adjudged as incompetent, or have you		
	40	ever been committed to any institution?	Yes	No
	<b>(f)</b>	Have you ever had any claims of malpractice filed against you?	Yes	No
	(g)	Have you ever been dropped, suspended, expelled or disciplined by		
	T.C	any school or college for any cause whatsoever:	Yes	No
	ij your o	mswer is 'yes' to any of the foregoing questions (13 a-g), furnish a written statement of olete facts. For each incident, state the date, the nature of the charge the disposition of	each occuri	rence giving
	and add	ress of the authority in possession of the records thereof. You must provide certified cop	nies of anv a	una ine nume urrest or
	convicti	on and/or any plea agreements entered into for any felony(ies) or misdemeanor(s).		
	(h)	Have you ever held a bonded position?	Ye	No
	If so, sp	ecify the nature of each position, the dates and amount of the bond, and whether or not	any	sought to
		r recover upon your bond. Use a separate sheet if necessary.		<b>'</b>
	(i)	Have you ever served in the armed forces of the U.S. or any other country? If yes, complete the questions listed below.	<b>3</b> 7	BT.
				_No_
		(1) U.S. Branch of Service Country other than the U.; (2) Inclusive dates of service: From To Ser	o. viol No	<del></del>
		(3) Separation Date, if applicable Nature of Separati	riai 140	
		If other than honorable, specify type thereof and circumstances on a sep		<del></del>
		(4) As a member of such armed forces, have any charges or complaint		
		ever been made or filed against you, or have any proceedings ever been		daging
		you, or have you ever been a defendant in any court martial?	Yes	No Zana
		bmit a written statement with complete facts and disposition of charge(s) and official co		110
	records j	Com analy a garage of the state	" /Zo"	
			24	400
		6	23	JAN Soly 8
		U	12	NSBDE 31
			18	JAN 2014 NSBDE 1017 NSBDE 1017 NSBDE
			1/20	18/11 - Intelle
				~~ZI OI GLV /

### 14. STATEMENT OF CHILD SUPPORT

Pursuant to state and federal mandated requirements, I further certify that (place an X on the appropriate line):

I am NOT subject to a court order for the support of one or more children.
 I AM subject to a court order for the support of one or more children. (continued)

I AM subject to a court order for the support of one or more children. (continue to 2a or 2b below)

(a)

(b)

I am NOT in compliance with a plan approved by the district attorney or other public agency enforcing the order for the payment of the amount owed pursuant to the court order for the support of one or more children.

I AM in compliance with a plan approved by the district attorney or other public agency enforcing the order for the payment of the amount owed pursuant to the court order for the support of one or more children.

### 15. AFFIDAVIT AND PLEDGE

I hereby expressly waive all provisions of law forbidding any physician or other person who has attended or examined me or who may hereafter attend or examine me from disclosing any knowledge or information that is thereby acquired, and I hereby consent that such knowledge or information may be disclosed to the Nevada State Board of Dental Examiners.

The person named as the applicant in the foregoing application and questionnaire, being first duly sworn, deposes and says: I am the applicant for dental licensure referred to; and I have carefully read and understand the questions in the foregoing questionnaire and have answered them truthfully, fully, and completely, without mental reservation of any kind. I further understand I have a continuing obligation to inform the Board should any of my answers since filing this application change prior to the Board issuing my license. In the event I fail to update the answers which have changed since submitting this application, I understand that such failure is ground for revocation of any license issued or denial of the application.

I hereby authorize educational and other institutions, my references (past and present), business and professional associates (past and present), insurance carriers, professional societies, governmental agencies and instrumentalities (local, state, federal or foreign), and independent information gathering services to release to the Nevada State Board of Dental Examiners any information, files or records requested by the Board in connection with the processing of this application.

I hereby pledge myself to the highest standards and ethics in the Practice of Dentistry and further pledge to abide by the laws and regulations pertaining to the practice of dentistry. I understand that a violation of this pledge may be deemed sufficient cause for the revocation of a license issued by the Board.

I hereby understand and agree that the title of all licenses shall remain with the Nevada State Board of Dental Examiners and subject to surrender by Order of said Board.

I UNDERSTAND THAT ANY OMISSIONS, INACCURACIES, OR MISREPRESENTATIONS OF INFORMATION ON THIS APPLICATION ARE GROUNDS FOR REJECTION OF THIS APPLICATION AND THE REVOCATION OF A LICENSE WHICH MAY HAVE BEEN OBTAINED THROUGH THIS APPLICATION.

STATE OF

Neudo

**COUNTY OF** 

Claek

NOTARY PUBLIC JUAN AGUIRRE Deadre of Nevada - County of Clark MY APPOINTMENT EXP. FEB. 27, 2017 No: 09-9652-1

Signature of Applicant

Date

**\_\_S**ignature of Notary

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# ALEIGH, HUL & McGARRY, P.C.

### BEFORE THE NEVADA STATE BOARD OF DENTAL EXAMINERS

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# FINDINGS AND RECOMMENDATIONS OF THE INFORMAL HEARING HELD PURSUANT TO NRS 631 AND NAC 631 AND CONSENT OF MARIANNE COHEN, D.D.S. TO THE FINDINGS AND RECOMMENDATIONS PURSUANT TO NRS 631.363 (5)

On May 22, 1998, an informal hearing was held in Las Vegas, Nevada regarding alleged violations of NRS 631 and NAC 631 by licensee MARIANNE COHEN, D.D.S. The informal hearings were held pursuant to NRS 631.363 and NAC 631.250 and NAC 631.255.

In attendance at the informal hearing were DENNIS J. ARCH, D.D.S., Informal Hearing Officer assigned to this matter; Board attorney, JOHN A. HUNT, ESQ.; licensee, MARIANNE COHEN, D.D.S., and her attorney, DAVID J. RIVERS, ESQ.

Discussed at length during the informal hearing was the verified complaints related to the care and treatment rendered to the following patients:

- 1. Anne Nielsen;
- 2. Richard P. Pittman;





LAS VEGAS, NEVADA (702) 386-4842 Jolanta Berstler/Leokadia Iglikowska;
 Thomas Augustine;
 Carolyn Blair; and
 Novak Tomich.

### **FINDINGS**

Based upon the limited information presently available for review and Dr. Cohen's responses to the questions posed during the informal hearing, Dr. Dennis J. Arch, as the Informal Hearing Officer, issues the following findings:

### A. ANNE, KYLE, AND RYAN NIELSEN

- 1. It is the finding of the Informal Hearing Officer, based upon the limited information presently available, the evidence is inconclusive as to whether Dr. Cohen failed to see patient Nielsen in a timely manner.
- 2. It is the finding of the Informal Hearing Officer, based upon the limited information presently available, the evidence is inconclusive as to whether Dr. Cohen failed to apply proper sterilization techniques while treating the Nielsen family.

### B. <u>RICHARD PITTMAN</u>

- 1. It is the finding of the Informal Hearing Officer, based upon the limited information presently available, the periodontal charting of patient Pittman was below the standard of care and therefore violated NRS 631.3475 (1).
- 2. It is the finding of the Informal Hearing Officer, based upon the limited information presently available, that Dr. Cohen's diagnosis of patient Pittman was below the standard of care and therefore violated NRS 631.3475 (1).
  - 3. It is the finding of the Informal Hearing Officer, based upon the limited information

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presently available, that Dr. Cohen's misdiagnosis resulted in the wrongful extraction of tooth #1 in violation of NRS 631.3475 (1).

- 4. It is the finding of the Informal Hearing Officer, based upon the limited information presently available, that Dr. Cohen violated NAC 631.240 (2) by failing to timely answer the verified complaint of Mr. Pittman within fifteen (15) days.
- 5. It is the finding of the Informal Hearing Officer, based upon the limited information presently available, that Dr. Cohen violated NRS 631.3485 (4) by failing to provide health care records pursuant to NRS 629.061 when Dr. Cohen refused to release Mr. Pittman's health care records to Mr. Pittman's wife as well as failure of Dr. Cohen to provide copies of x-rays which Dr. Cohen admits are lost and cannot be retrieved due to a failure in her radiographic diagnostic equipment.
- 6. It is the finding of the Informal Hearing Officer, based upon the limited information presently available, the evidence is inconclusive as to whether Dr. Cohen violated NAC 631.230 (1) (a) regarding the falsification of health care or medical records relating to extraction of tooth #1 of Mr. Pittman.

### C. JOLANTA BERSTLER

1. It is the finding of the Informal Hearing Officer, based upon the limited information presently available, the evidence is inconclusive whether Dr. Cohen's treatment and billing procedures were appropriate regarding Jolanta Berstler.

### D. THOMAS AUGUSTINE

1. It is the finding of the Informal Hearing Officer, based upon the limited information





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presently available, the evidence is inconclusive as to whether or not there was an agreement regarding fees and services to be performed.

### E. **CAROLYN BLAIR**

- 1. It is the finding of the Informal Hearing Officer, based upon the limited information presently available, the evidence is inconclusive as to whether Dr. Cohen violated NAC 631.230 (1)(a) as to whether Dr. Cohen falsified the health records of Carolyn Blair.
- 2. It is the finding of the Informal Hearing Officer, based upon the limited information presently available, the evidence is inconclusive as to whether it was appropriate to perform endodontic treatment on tooth #9. The factual inconsistency is based upon Dr. Cohen's statements that Ms. Blair told her that tooth #9 was sensitive to percussion and heat/cold while Ms. Blair contends her dental sensitivity was in the posterior area of teeth #13 and #14. Further, Ms. Blair contends that no such sensitivity test were performed on tooth #9. This Informal Hearing Officer has informed Dr. Cohen the dental records of Ms. Blair and the x-rays do not conclusively indicate that endodontic treatment was necessary on tooth #9.
- 3. It is the finding of the Informal Hearing Officer, based upon the limited information presently available, that Dr. Cohen violated NAC 631.240 (2) when she failed to answer the verified complaint of Ms. Blair within fifteen (15) days.

### F. NOVAK TOMICH

1. It is the finding of the Informal Hearing Officer, based upon the limited information presently available, Dr. Cohen violated NRS 631.3485 (4) in that Dr. Cohen failed to provide health records as required pursuant to NRS 629.061, since Dr. Cohen could not produce Mr. Tomich's x-

rays due to a failure in her radiographic diagnostic equipment.

### G. **DENTAL ASSISTANTS**

1. It is the finding of the informal Hearing Officer, based upon the limited information presently available, Dr. Cohen was not aware of duties which could be performed by either a dental assistant and/or dental hygienist. During the Informal Hearing, Dr. Cohen was given a copy of Chapter 631 of NRS and Chapter 631 of NAC and was instructed to review same to ensure that Dr. Cohen is not allowing either dental assistants and/or dental hygienists from performing procedures which are not specifically authorized by either NRS 631 and/or NAC 631.

### **RECOMMENDATIONS**

Based upon Dr. Cohen's violations of NRS 631.3475 (1), NRS 631.3485 (4), NRS 629.061, and NAC 631.240 (2) the following action is recommended:

- 1. Dr. Cohen shall reimburse to Richard P. Pittman any monies received from Mr. Pittman and/or Mr. Pittman's insurance company. This amount shall only be paid by Dr. Cohen to Mr. Pittman upon the Board adopting the consented to Findings and Recommendations. In the event the Board does not adopt these consented to Findings and Recommendations, Dr. Cohen will have no obligation to reimburse Mr. Pittman.
- 2. Dr. Cohen, pursuant to NRS 631.350(k), in addition to completing the normal continuing education requirements set forth in NAC 631.173, shall also obtain and submit proof of completion of eight (8) hours of supplemental education in the area of radiographic diagnostics to be completed within ninety (90) days of the Board entering a final order and/or from the time the Board adopts these Findings and Recommendations. The supplemental education must be submitted





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- 3. Pursuant to NRS 631.350(d), Dr. Cohen's practice shall be monitored for a period of one (1) year to review patient records who had either extractions and/or endodontic treatments. During the one (1) year period, Dr. Cohen shall allow either the Informal Hearing Officer and/or the Executive Director of the Board and/or an agent appointed by the Board to inspect Dr. Cohen's records to assure compliance with proper record maintenance. Such inspections shall be performed, without notice, during normal business hours.
- 4. Pursuant to NRS 631.350 (c), Dr. Cohen shall be fined in the amount of FIFTEEN HUNDRED DOLLARS (\$1500.00) for violations of NRS 631.3475 (1), NRS 631.3485 (4), NRS 629.061, and NAC 631.240 (2) as cited in the Findings set forth above.
- 5. Dr. Cohen shall pay to the Board the sum of FIVE THOUSAND DOLLARS (\$5,000.00) to reimburse the Board for the costs of this investigation and the partial costs associated with future enforcement of the Findings and Recommendations should Dr. Cohen consent to the Findings and Recommendations. Specifically, this amount is not to be deemed a fine and will not be reportable to the National Practitioners Data Bank. The FIVE THOUSAND DOLLARS (\$5,000.00) reimbursement shall be paid to the Board only upon the Board adopting these Findings and Recommendations.
  - 6. The Findings and Recommendations made by this Informal Hearing Officer are





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contingent upon Dr. Cohen consenting to all of the Informal Hearing Officer's Findings and Recommendations. Should Dr. Cohen request a full Board hearing regarding the Findings and Recommendations cited above, further investigation, attorney's fees, costs, witness fees, and possible expert witness fees will be incurred to bring this matter to a full Board hearing. Should this matter proceed to a full Board hearing, and further investigative and additional attorney's fees and costs are incurred, Dr. Cohen should be assessed these additional costs and fees in the event the Board finds Dr. Cohen has violated any of the provisions contained in NRS 631 and NAC 631. In the event Dr. Cohen does not consent to the Findings and Recommendations and the Board finds a violation of either NRS 631 or NAC 631, this Informal Hearing Officer recommends that Dr. Cohen be required to attend additional supplemental education in the area of radiographic diagnostics to be approved by this Informal Hearing Officer and/or the Board's Executive Director pursuant to NRS 631.350 (k). In the event the Board finds a violation of any of the provisions contained in either NRS 631 or NAC 631 an appropriate fine be levied and Dr. Cohen's license to practice dentistry be suspended for an appropriate period of time pursuant to NRS 631.350 (c) (f). In the event Dr. Cohen does consent to all of the Findings and Recommendations, and thereafter the Board adopts these Findings and Recommendations, should Dr. Cohen fail to comply with all of the provisions contained in these Findings and Recommendations, such conduct shall be deemed unprofessional conduct and subject Dr. Cohen to additional penalties including, but not limited to, suspension of Dr. Cohen's license to practice dentistry in the State of Nevada. Lastly, should Dr. Cohen not consent to all of the Findings and Recommendations, this Informal Hearing Officer recommends further investigation be initiated and a formal complaint should be filed and a full Board hearing





should be convened.

LAS VEGAS,

DENNIS J. ARCH, D.D.S.
Informal Hearing Officer

## CONSENT TO FINDINGS AND RECOMMENDATIONS

NRS 631.363 (5) states:

If the person who was investigated agrees in writing to the findings and conclusions of the investigator, the Board may adopt that report as its final order and take such action as is necessary without conducting its own hearing on this matter.

- 1. I, MARIANNE COHEN, D.D.S. hereby acknowledge that I have read NRS 631.363 (5). I am aware that if I decide not to consent to the Findings and Recommendations of the Informal Hearing Officer, a formal complaint may be filed against me. In the event a formal complaint is filed, I am aware I have the right to a full disciplinary hearing before the Nevada State Board of Dental Examiners.
- 2. I have read <u>all</u> of the findings and recommendations of Informal Hearing Officer, Dennis J. Arch, D.D.S. and upon advice of my counsel, I consent to <u>all</u> of the Informal Hearing Officer's Findings and Recommendations.
- 3. I am aware by consenting to the Findings and Recommendations, I am waiving certain valuable due process rights contained in, but not limited to, NRS 631, NAC 631, NRS 233B and NAC 233B. In the event this matter proceeds to a full Board hearing, I expressly waive any right to challenge any members of the Board for bias on the basis of the Board's discussion to





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approve or reject these consented to findings and recommendations. I have been advised by my counsel of the due process rights I am waiving in the event I consent to the same.

- I further agree this consent in no way prohibits the Nevada State Board of Dental 4. Examiners from using the findings, recommendations and information obtained from this investigation in future disciplinary actions.
- 5. I further acknowledge that I am consenting to the Findings and Recommendations of the Informal Hearing Officer voluntarily, without coercion or duress, and in the exercise of my own free will.
- 6. I am aware by consenting to the Findings and Recommendations, I am admitting to all of the Findings and Recommendations as stated by the Informal Hearing Officer.
- 7. I am aware by consenting to the Findings and Recommendations, I am waiving all rights to seek judicial review or otherwise to challenge or contest the validity of the Findings and Recommendations contained herein.
- 8. I am aware that the Nevada State Board of Dental Examiners may choose not to adopt my consent to the Findings and Recommendations of the Informal Hearing Officer. The Findings and Recommendations and consent will be presented to the Board for ratification at its next properly noticed meeting. If the Board ratifies the Findings, Recommendations and Consent, ratification will be considered a final disposition of a contested case.
- 9. I hereby specifically recognize, acknowledge and agree that failure on my part to fully satisfy all of the terms and conditions of the Findings and Recommendations of the Informal Hearing Officer, shall constitute unprofessional conduct; I further agree in the event I fail to satisfy all of the





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Findings and Recommendations of the Informal Hearing Officer, the Board may impose additional disciplinary penalties, upon the convening of a full Board hearing to determine solely whether I have breached any of the recommendations of Informal Hearing Officer, Dennis J. Arch, D.D.S. MARIANNE COHEN, D.D.S. SUBSCRIBED and SWORN to before me NOTARY PUBLIC this day of July, 1998. STATE OF NEVADA NOTARY PUBLIC in and for said County and State TO FORM AND CONTENT Respondent's attorney The foregoing Findings and Recommendations and Consent thereto

The foregoing Findings and Recommendations and Consent thereto was approved/disapproved by a vote of the Nevada State Board of Dental Examiners at a properly noticed meeting.

DATED this 2 day of Nov., 1998.

NEVADA STATE BOARD OF DENTAL EXAMINERS

A. TED TWESME, D.D.S., President

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### STATE OF NEVADA

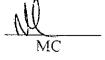
### BEFORE THE BOARD OF DENTAL EXAMINERS OF NEVADA

NEVADA STATE BOARD OF DENTAL EXAMINERS,	)	
Complainant,	)	Case No.03-978
•	)	STIPULATION
VS.	)	RE: VOLUNTARY SURRENDER
	)	OF LICENSE
MARIANNE COHEN, D.D.S.,	)	
Respondent.	)	

IT IS HEREBY STIPULATED AND AGREED by and between MARIANNE COHEN, D.D.S. (hereinafter "Respondent"), her attorney Anthony D. Lauria, Esq., and the NEVADA STATE BOARD OF DENTAL EXAMINERS (hereinafter "Board"), by and through Disciplinary Screening Officer, DENNIS J. ARCH, D.D.S., and the Board's counsel, JOHN A. HUNT, ESQ. of the law firm of RALEIGH, HUNT & McGARRY, P.C. as follows:

- 1. Currently the Board is conducting an investigation into the dental practice of Respondent.
- 2. On May 22, 1998, an informal hearing was held in Las Vegas, Nevada regarding alleged violations of NRS 631 and NAC 631 by licensee MARIANNE COHEN, D.D.S. The informal hearings were held pursuant to NRS 631.363 and NAC 631.250 and NAC 631.255.

In attendance at the informal hearing were DENNIS J. ARCH, D.D.S., Informal Hearing Officer assigned to this matter, Board attorney, JOHN A. HUNT, ESQ., licensee, MARIANNE COHEN, D.D.S., and her attorney, DAVID J. RIVERS, ESQ.





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Discussed at length during the informal hearing was the verified complaints related to the care and treatment rendered to the following patients:

- a. Anne Nielsen:
- Richard P. Pittman; b.
- Ċ. Jolanta Berstler/Leokadia Iglikowska:
- d. Thomas Augustine:
- e. Carolyn Blair; and
- f. Novak Tomich.
- On November 13, 1998, Findings and Recommendation of the Informal Hearing held 3 pursuant to NRS 631 and NAC 631 and Consent of Marianne Cohen, D.D.S. to the Findings and Recommendations pursuant to NRS 631.363(5) were entered into between the Board and Respondent regarding the above-named verified complaints. See copy of Findings and Recommendations attached as Exhibit 1.
- On October 1, 1998, the Board notified Respondent of a complaint received from Ms. 4. Kathy Word. On October 20, 1998, Respondent filed an answer to the complaint. On November 19, 1998, Respondent filed additional information in response to the Board's correspondence of November 2, 1998, requesting additional documentation. On November 20, 1998, complainant Kathy Word filed a supplemental response to the answer filed by Respondent. Subsequently, the Disciplinary Screening Officer remanded the complaint to the file with the provision should the Respondent receive any complaints in the future, the Board could consider the Word complaint in any future disciplinary action.
- On November 19, 1998, the Board notified Respondent of a complaint received from 5. Mr. Benny R. Campbell. On December 3, 1998, Respondent filed an answer to the complaint.



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Subsequently, the Disciplinary Screening Officer remanded the complaint to the file with the provision should the Respondent receive any complaints in the future, the Board could consider the Campbell complaint in any future disciplinary action.

- On October 24, 2000, the Board notified Respondent of a complaint received from 6. Ms. Madeline Gobel. On November 11, 2000, Respondent filed an answer to the complaint. Subsequently, the Disciplinary Screening Officer remanded the complaint to the file with the provision should the Respondent receive any complaints in the future, the Board could consider the Gobel complaint in any future disciplinary action.
- 7. On October 2, 2001, the Board notified Respondent of a verified complaint filed by Patricia A. Wightman. On October 18, 2001, Respondent filed an answer to the complaint. Subsequently, the Disciplinary Screening Officer remanded the complaint to the file with the provision should the Respondent receive any complaints in the future, the Board could consider the Wightman complaint in any future disciplinary action.
- 8. On March 7, 2002, the Board notified Respondent of a complaint received from Ms. Colleen Strange. On March 19, 2002, Respondent filed an answer to the complaint.
- 9. On June 20, 2002, the Board notified Respondent of a complaint received from Ms. Kay Nicksick. On July 6, 2002, Respondent filed an answer to the complaint.
- 10. On October 30, 2002, the Board notified Respondent of a complaint received from Ms. Ilona Daoust. On December 3, 2002, Respondent filed an answer to the complaint.
- 11. On November 5, 2002, the Board notified Respondent of a complaint received from Ms. Alice Lawrence. On December 3, 2002, Respondent filed an answer to the complaint. On



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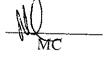
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December 23, 2002, the complainant filed supplemental information to the answer filed by Respondent. A copy of this information was forwarded to Respondent. On April 14, 2003, Respondent was forwarded a copy of the opinion of subsequent treating dentist Marco Padilla.

- On November 22, 2002, the Board notified Respondent of a complaint received from 12. Ms. Jill Scott. On May 20, 2003, Respondent was informed that pursuant to NAC 631.350, failure of Respondent to answer the complaint within the time prescribed creates a rebuttable presumption that the party admits generally the allegations of the complaint. On March 7, 2003, the Board forwarded to Respondent documentation from Dr. David Jay Jenkins regarding the subsequent treatment of Ms. Scott.
- On December 26, 2002, the Board notified Respondent of a complaint received from 13. Mr. Frederic Alleva. On January 19, 2003, Respondent filed a non-responsive answer to the complaint. On January 29, 2003, Respondent was informed that failure of a party to answer within the prescribed time creates a rebuttable presumption that the party admits generally the allegations of the complaint.
- On December 26, 2002, the Board notified Respondent of a complaint received from 14. Ms. Mary Jarvi. On January 19, 2003, Respondent filed a non-responsive answer to the complaint. On January 29, 2003, Respondent was informed that failure of a party to answer within the prescribed time creates a rebuttable presumption that the party admits generally the allegations of the complaint. On March 25, 2003, Respondent was informed of additional information received from subsequent treating dentist, Dr. Patrick Simone. This information included records regarding services rendered by Dr. Simone and the services rendered by Respondent.



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On December 26, 2002, the Board notified Respondent of a complaint received from 15. Ms. Linda Lane. On January 19, 2003, Respondent filed a non-responsive answer to the complaint. On January 29, 2003, Respondent was informed that failure of a party to answer within the time prescribed creates a rebuttable presumption that the party admits generally to the allegations of the complaint.

- On January 16, 2003, the Board notified Respondent of a complaint received from 16. Mr. Daniel Zamarron. On January 19, 2003, Respondent filed a non-responsive answer to the complaint. On February 26, 2003, Respondent was informed that failure to file an answer within the time prescribed creates a rebuttable presumption that the party admits generally to the allegations of the complaint.
- On February 19, 2003, the Board notified Respondent of a complaint received from 17. Dr. Joseph Wineman. On March 12, 2003, Respondent was forwarded additional information regarding patients, whom Dr. Wineman believed received treatment below the standard of care. On March 25, 2003, Respondent received notice pursuant to NAC 631.350 that failure to answer the complaint creates a rebuttable presumption that the party admits generally to the allegations of the complaint. On April 8, 2003, Respondent was forwarded the medical records and authorizations for the patients identified in the original complaint of Dr. Wineman as well as additional patients which Dr. Wineman again alleged received treatment below the standard of care. On May 15, 2003, Respondent was forwarded correspondence in which Dr. Wineman identified and alleged Respondent defrauded patients of monies when Respondent informed patients they were required to pay for services in advance and once the insurance company reimbursed Respondent for the

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services, Respondent would reimburse the patient for the amount of money forwarded to the Respondent by the respective insurance companies. Documentation submitted by Dr. Wineman indicates there is substantial evidence that once Respondent received the money from the respective insurance company, she converted that money by entering a false positive adjustment on the patients' respective accounts.

- 18. On February 20, 2003, the Board notified Respondent of a complaint received from Ms. Marna J. Morris. On May 20, 2003, Respondent was informed pursuant to NAC 631.350, that failure of a party to answer within the time prescribed creates a rebuttable presumption that the party admits generally the allegations contained in the complaint.
- 19. On February 25, 2003, the Board notified Respondent of a complaint received from Mr. Ronald Stein. On March 25, 2003, Respondent was informed pursuant to NAC 631.350, failure of a party to answer within the time prescribed creates a rebuttable presumption that the party admits generally the allegations of the complaint.
- On July 21, 2003, the Board notified Respondent of a complaint received from Ms. 20. Alice Woody. On August 3, 2003, Respondent filed an answer to the complaint.
- 21. Based upon the limited investigation conducted to date, Disciplinary Screening Officer, Dennis J. Arch, D.D.S., believes there is substantial evidence which proves Respondent, on more than one occasion, rendered treatment which was below the standard of care in violation of NRS 631.3475(1)(2)&(4) and NAC 631.230(1)(a)&(c). The applicable statutes and regulations in pertinent part state:

NRS 631.3475 The following acts among others, constitute unprofessional conduct:

- 1. Malpractice;
- 2. Professional incompetence;

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4. More than one act by the dentist or dental hygienist constituting substandard care in the practice of dentistry or dental hygiene;

### NAC 631.230

- 1. In addition to those specified by statute and subsection 3 of NAC 631.177, the following acts constitute unprofessional conduct:
  - (a) the falsification of records of health care or medical records.

\* \* \*

- (c) The consistent use of dental procedures, services or treatments which constitute a departure from prevailing standards of acceptable dental practice even though the use does not constitute malpractice or gross malpractice.
- 22. Without admitting violations of any of the Sections cited above, Respondent admits that DSO Dennis Arch, D.D.S., believes that there is substantial evidence of violations of these Sections.
- 23. Rather than contesting the allegations before the Board in the hearing process, Respondent chooses to resolve these claims via Stipulation and agrees to the following:
- a. Respondent agrees to voluntarily surrender her license and deliver to the Board her license and certificate of registration, upon adoption of this Stipulation by the Board. Assuming

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Respondent is in compliance with this stipulation and all other provisions of NRS 631 and NAC 631, Respondent may, after two (2) years from the adoption of this Stipulation by the Board, submit an application for examination for licensure pursuant to NRS 631 and NAC 631. Respondent shall be responsible for all costs associated with the application for examination. Respondent irrevocably waives any rights to obtain a specialty license to a person licensed in another state pursuant to NRS 631.255; a limited license pursuant to NRS 631.271; a temporary license pursuant to NRS 631.272; a restricted geographical license pursuant to NRS 631.274; and a restricted license to provide services to low income individuals pursuant to NRS 631.275. Assuming Respondent meets all the requirements for examination and passes the clinical examination as set forth in NRS 631 and NAC 631, Respondent''s license to practice dentistry in the state of Nevada will be reinstated by the Nevada State Board of Dental Examiners.

- b. Pursuant to NRS 631.350(d) and (h), Respondent agrees that in the event her license to practice dentistry is reinstated, Respondent's practice shall be placed on probation and will be supervised for a period of three (3) years. During the three (3) year period, Respondent shall allow the Informal Hearing Officer and/or the Executive Director and/or an agent appointed by the Board, to inspect Respondent's records to assure the treatments rendered by Respondent are in compliance with NRS 631 and NAC 631. Such inspections shall be performed without notice during normal business hours.
- c. Pursuant to NRS 631.350(1), Respondent agrees to reimburse the following patients, within sixty (60) days of the adoption of this Stipulation by the Board:

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Frederic Alleva \$1,070.00

Ilona Daoust \$1,435.40

Mary Jarvi \$1,310.53

Linda Lane \$819.50

Marna J. Morris \$1,250

Kay Nicksick \$575

Jill Scott \$825.40

Ronald Stein \$825.40

Daniel Zamarron \$625.65

d Respondent agrees to pay a fine in the amount of \$15,000.00 upon the Board's adoption of this Stipulation to reimburse the Board for the costs and fees incurred in this matter. This amount is reportable to the National Practitioners Data Bank.

e. For a period of one (1) year after adoption of this Stipulation, in the event the Board receives a verified complaint(s) after notice and answering the complaint, Respondent agrees to comply with the decision rendered by the Board's assigned Disciplinary Screening Officer, other than the currently assigned DSO, to reimburse the complainant in an amount, if any, for the treatment rendered by Respondent if the amount of reimbursement is less than \$1,500. Respondent waives any right to appeal the Disciplinary Screening Officer's decision regarding reimbursement to either the Board, Federal District Court, or State of Nevada District Court for reimbursements in an amount less than \$1,500. The Board waives the right to order reimbursement for any treatment or conduct

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occurring more than three years prior to the date of the adoption of this stipulation. Should Respondent fail to reimburse patients of verified complaints as ordered by the Disciplinary Screening Officer within sixty (60) days of the Disciplinary Screening Officer rendering his decision, Respondent agrees those sums can be reduced to judgment by any Nevada State District Court where the complainant resides. If the complainant resides outside the State of Nevada, Respondent agrees the Eighth Judicial District Court, of the State of the Nevada, County of Clark, shall have jurisdiction to reduce the amount rendered by the Disciplinary Screening Officer to judgment, Respondent shall also be responsible for any costs or attorney's fees incurred to reduce to judgment those amounts identified in paragraphs 22(c)(d) and (e).

- f. In the event the Respondent fails to reimburse any of the complainants identified in paragraphs 22(c) and (e), Respondent shall not be eligible for licensure by examination until such time as Respondent has reimbursed those patients identified in paragraphs 22(c) and (e).
- g. Respondent waives any right to have the amounts owed, pursuant to Paragraph 22 (c), (d) and (e), discharged in bankruptcy.
- h. Regarding any other matters currently pending before the Board, the Board hereby waives the right to initiate any further action as to those matters as set forth in NRS 631.350.

### CONSENT

- Respondent has read all of the provisions contained in this Stipulation and agrees with 24. them in their entirety.
- Respondent is aware by entering into this Stipulation she is waiving certain valuable 25. due process rights contained in, but not limited to, NRS 631, NAC 631, NRS 233B and NAC 233B.

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- 26. Respondent expressly waives any right to challenge the Board for bias in deciding whether or not to adopt this Stipulation in the event this matter was to proceed to a full Board hearing.
- Respondent has reviewed the Stipulation with his attorney, Anthony D. Lauria, 27. Esquire who has explained each and every provision contained in this Stipulation to the Respondent
- Respondent acknowledges she is consenting to this Stipulation voluntarily, without 28. coercion or duress and in the exercise of her own free will.
- 29. Respondent acknowledges no other promises in reference to the provisions contained in this Stipulation have been made by any agent, employee, counsel or any person affiliated with the Nevada State Board of Dental Examiners.
- 30. Respondent acknowledges the provisions in this Stipulation contain the entire agreement between Respondent and the Board and the provisions of this Stipulation can only be modified, in writing, with Board approval.
- 31. Respondent agrees in the event the Board adopts this Stipulation she hereby waives any and all rights to seek judicial review or otherwise to challenge or contest the validity of the provisions contained in the Stipulation.
- 32. This Stipulation will be considered by the Board in an open meeting. It is understood and stipulated the Board is free to accept or reject the Stipulation and, if the Stipulation is rejected by the Board, further disciplinary action may be implemented. This Stipulation will only become effective when the Board has approved the same in an open meeting. Should the Board adopt this Stipulation, such adoption shall be considered a final disposition of a contested case and will become

	1	a public record.	
	2	DATED this 30 day of January, 2004.	
	3		
	4	MARIANNE COHEN, D.D.S., Respondent	_
	5	in the invite condition, b.b.o., reospondent	
	6 7	SUBSCRIBED and SWORN to before me	
	8	this <u>30th</u> day of January, 2004.	Notary Public - State of Nevada County of Clark
	9	00- 10	ADRIANNE M. REED My Appointment Expires
	10	NOTARY PUBLIC in and for said County	No: 99-55939-1 May 4, 2007
	11	and State	
	12		
;	13		
77	14	APPROVED AS TO FORM & CONTENT	
(702) 386-4842	15	ANTHONY D. LAURIA, ESQ.	
	16	Attorney for Respondent	
	17		
	18	APPROYED AS TO FORM & CONTENT	APPROVED AS TO FORM & CONTI
	20	And Andrew	The state of the s
	21	JOHN A. HUNT, ESQ.	DENNIS J. ARCH. D.D.S.
	22.	Attorney for Nevada State Board of Dental Examiners	Disciplinary Screening Office/Informal Hearing Officer
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### **Nevada State Board of Dental Examiners**



6010 S. Rainbow Boulevard, Building A, Suite 1 • Las Vegas, Nevada 89118 • (702) 486-7044 • (800) DDS-EXAM • Fax (702) 486-7046

August 27, 2014

Duff W Kaster, DDS 2608 Port of Call Las Vegas, NV 89128

Re. Request to Amend Stipulation Agreement

Dear Dr. Kaster:

Please be advised, the Nevada State Board of Dental Examiners is in receipt of your written request to amend the stipulation agreement approved by the Board on May 28, 2013. This request will be placed before the Board for consideration at the next regularly scheduled meeting to be held on Friday October 3, 2014. However, this meeting will be held in Reno, Nevada.

If appearing before the Board in Reno, Nevada on October 3, 2014 would not be convenient or would cause additional financial hardship as stated in your request, the next meeting scheduled after the October 3, 2014 meeting is Friday December 12, 2014 in Las Vegas, Nevada.

Please submit in writing no later than September 22, 2014 the date in which you would like to appear before the Board to consider your request.

Should you have additional questions, please do not hesitate to contact me at (702) 486-7044 ext. 23.

Sincerely,

Debra Shaffer-Kugel, Executive Director Nevada State Board of Dental Examiners

Cc. John Hunt, Esq., Board Legal Counsel

File

### **Duff W Kaster DDS**

2608 Port of Call Dr • Las Vegas, NV 89128 • 702-306-3803

August 21, 2014

Debra Shaffer-Kugel, Executive Director Nevada State Board of Dental Examiners 6010 S Rainbow Blvd #1 Las Vegas, NV 89118

Dear Debra,

On Nov 15, 2002, I entered into a stipulation with the Nevada State Board of Dental Examiners. I was in a 5 year stipulation from Nov 15, 2002 until Nov 15, 2007. Because I moved out of state, I had to put my license on inactive status on Dec 8, 2005 and agreed to enter into an additional 3 years if I wanted to reactive my license in the future. I was 3 years into my 5 year stipulation with the dental board when I agreed to an addition 3 years originally.

Due to certain circumstances, I moved back to Las Vegas and re-activated my licensed and entered into an additional 3 year stipulation which includes extensive monitoring.

The nature of the stipulation required the board to show that my license is on a "probation" status.

My request is that my probation be stayed and I would agree to monitoring as an option. My reason for this is as follows:

- 1) Because my stipulation is reported as probation, my malpractice insurance is 4 times the normal amount, which has become a hardship.
- 2) One of my desires to reactivate my license in NV is to teach at the dental school and they cannot consider me for teaching while I have an active stipulation.

Due to my record of compliance far beyond the original expiration of the stipulation, I am requesting that my probation (as is reported on the practitioner data base) be satisfied and then possibly continue my monitoring program for the additional time.

I appreciate your consideration,

Sincerely

**Duff Kaster DDS** 



### STATE OF NEVADA

# BEFORE THE BOARD OF DENTAL EXAMINERS OF NEVADA

NEVADA STATE BOARD OF DENTAL EXAMINERS,

Case No. 05-1258

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FORTH AMENDED

-VS-

DUFF W. KASTER, D.D.S.

Respondent.

Complainant,

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IT IS HEREBY STIPULATED AND AGREED between DUFF W. KASTER, D.D.S., (hereinafter "Respondent"), in Proper Person, and the NEVADA STATE BOARD OF DENTAL EXAMINERS (hereinafter "Board") by and through its counsel, JOHN A. HUNT, ESQ., of the law firm of RALEIGH, HUNT & McGARRY, P.C. as follows:

- 1. On May 9, 1997, at a properly noticed meeting, Respondent entered into a Stipulation with the Nevada State Board of Dental Examiners.
- 2. On June 1, 2000, at a properly noticed meeting, Respondent entered into an Amended Stipulation with the Nevada State Board of Dental Examiners.
- 3. On June 14, 2001, at a properly noticed meeting, Respondent entered into the Second Amended Stipulation with the Nevada State Board of Dental Examiners.
- 4. On November 15, 2002 at a properly noticed meeting, Respondent entered into the Third Amended Stipulation with the Nevada State Board of Dental Examiners. See Exhibit "1".
- Since the Board adopted the Third Amended Stipulation, Respondent has been in full compliance.
- 6. On August 1, 2005, Respondent requested the Board place his license on inactive status.
- 7. In consideration for granting Respondent's request to have his license to practice dentistry in the State of Nevada placed on inactive status, Respondent acknowledges and agrees to

2 The Third Amended Stipulation was adopted by the Board on a. November 15, 2002. Currently, the Third Amended Stipulation would expire on November 15, 2007, assuming Respondent is in 3 compliance upon the expiration of the Third Amended Stipulation. 4 Respondent agrees in the event he requests that his license to practice dentistry in the State of Nevada be reinstated to active status, 5 Respondent agrees as a condition of reinstatement he would have to comply with the provisions paragraph 24 of the Third Amended 6 Stipulation for an additional three (3) years upon the Board reinstating Respondent license to active status. 7 b. Respondent agrees upon adoption of this Fourth Amended Stipulation 8 to reimburse the Board the sum of Five Hundred (\$500.00) Dollars for the preparation and monitoring this Fourth Amended Stipulation. 9 In the event Respondent fails to pay the agreed upon amount, Respondent agrees his license to practice dentistry in the State of 10 Nevada shall be automatically suspended without any further action of the Board other than issuance of an order by the Executive 11 Director. Upon payment of any default, Respondent's license to practice dentistry in the State of Nevada will be automatically 12 reinstated. 13 **CONSENT** 14 Respondent has read all of the provisions contained in this Stipulation and agrees with 8. 15 them in their entirety. 16 Respondent is aware by entering into this Stipulation he is waiving certain valuable 9. 17 due process rights contained in, but not limited to, NRS 631, NAC 631, NRS 233B and NAC 233B. 18 Respondent expressly waives any right to challenge the Board for bias in deciding 10. 19 whether or not to adopt this Stipulation in the event this matter was to proceed to a full Board 20 hearing. 21 Respondent acknowledges he has read the Stipulation. Respondent acknowledges 11. 22 he has been advised he has the right to have this matter reviewed by independent counsel and he 23 has had ample opportunity to seek independent counsel. Respondent has been specifically 24 informed he should seek independent counsel and advice of independent counsel would be in Respondent's best interest. Having been advised of his right to independent counsel, as well as having had the opportunity to seek independent counsel, Respondent hereby acknowledges, by his

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the following:

Page 2 of 4

own free will, he is consenting to the Stipulation without independent counsel.

- Respondent acknowledges he is consenting to this Stipulation voluntarily, without 12. coercion or duress and in the exercise of his own free will.
- 13. Respondent acknowledges no other promises in reference to the provisions contained in this Stipulation have been made by any agent, employee, counsel or any person affiliated with the Nevada State Board of Dental Examiners.
- Respondent acknowledges the provisions in this Stipulation contain the entire 14. agreement between Respondent and the Board and the provisions of this Stipulation can only be modified, in writing, with Board approval.
- Respondent agrees in the event the Board adopts this Stipulation he hereby waives 15. any and all rights to seek judicial review or otherwise to challenge or contest the validity of the provisions contained in the Stipulation.
- 16. This Stipulation will be considered by the Board in an open meeting. It is understood and stipulated the Board is free to accept or reject the Stipulation and, if the Stipulation is rejected by the Board, further disciplinary action may be implemented. This Stipulation will only become effective when the Board has approved the same in an open meeting. Should the Board adopt this Stipulation, such adoption shall be considered a final disposition of a contested case and this Stipulation shall become a public record. Respondent acknowledges and agrees of this Stipulation shall be made available for public inspection and copying. Respondent acknowledges and agrees copies of this Stipulation may be disseminated by the Board to the public, or any licensing board or any agency which is investigating Respondent, including but not limited to any law enforcement agency. Respondent agrees and acknowledges this Stipulation shall be reported to the National Practitioners Data Bank.

DATED this S day of Dec. 2005.

Respondent

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`1	SUBSCRIBED and SWORN to before me this day of 100 to 2005.				
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9					
10	The foregoing Stipulation was approved/disapproved by a vote of the Nevada State Board				
11	of Dental Examiners at a properly noticed meeting.				
12	DATED this 25 day of, 2005.				
13	NEVADA STATE BOARD OF DENTAL EXAMINERS				
14	Jamlunder DR				
15	TONY GUIMEN, D.D.S. President				
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### STATE OF NEVADA

### BEFORE THE BOARD OF DENTAL EXAMINERS OF NEVADA

NEVADA STATE BOARD DENTAL EXAMINERS,	OF	)	
	Complainant,	. )	
-VS-		)	THIRD AMENDED STIPULATION
DUFF W. KASTER, D.D.S.		)	
	Respondent.		

IT IS HEREBY STIPULATED AND AGREED between DUFF W. KASTER, D.D.S., (hereinafter "Respondent"), in Proper Person, and the NEVADA STATE BOARD OF DENTAL EXAMINERS (hereinafter "Board") by and through its counsel, LEE A. DRIZIN, ESQ. of the law firm of RALEIGH, HUNT, McGARRY & DRIZIN, P.C. as follows:

- On May 9, 1997, at a properly noticed meeting, Respondent entered into a Stipulation with the Nevada State Board of Dental Examiners. See Exhibit "1".
- 2. Pursuant to the Stipulation, Respondent surrendered his Registration Certificate No. BK 065578 with the United States Department of Justice to prescribe controlled substances for Class II, Class III, Class IIIN, Class IV and Class V for a period of five (5) years, as well as his license No. CS 4016 with the Nevada State Board of Pharmacy to prescribe controlled substances for a period of five (5) years.
- 3. On June 1, 2000, at a properly noticed meeting, Respondent entered into an Amended Stipulation with the Nevada State Board of Dental Examiners. This Amended



Stipulation imposed additional conditions upon Respondent's license, in addition to the conditions set forth in the original Stipulation dated May 9, 1997. See Exhibit "2".

- 4. Paragraph 15 (B) of the Stipulation dated May 9, 1997 states:
  - B. Respondent agrees to submit to random sampling of urine and/or bodily fluids, and/or hair for a period of four (4) years when so ordered by the Executive Director of the Board. In addition to the random drug tests which may be ordered during the four (4) year period, during the one year suspension, Respondent will submit to urinalysis testing on the first day of each month at Associated Pathology Laboratories (APL). Should the first day of the month fall on a Saturday or Sunday, Respondent will submit the monthly sample on the first business day of the month. Any test or analysis of bodily fluids taken shall be conducted in such manner that the testing agency shall preserve enough of the sample to allow for subsequent independent confirmatory tests. The results of any tests or analysis of bodily fluids shall be reported to the Board. Respondent shall be responsible for all costs incurred for the analysis of urine, and/or bodily fluids, and/or hair.
- 5. On May 9, 2001 Respondent was sent notice to present himself to Associated Pathologists Laboratories (APL) for blood, hair and urine testing.
  - 6. On May 15, 2001 Respondent presented himself to APL for testing.
- 7. The results of the testing indicates Respondent tested "positive" for Amphetamines (Group) in violation of the original Stipulation dated May 9, 1997 and the Amended Stipulation dated June 1, 2000. See Exhibit "3".
- 8. As a result of testing positive for Amphetamines, Paragraph 15(C) of the Stipulation entered into on May 9, 1997 states:
  - C. In the event any test or analysis of bodily fluids taken from Respondent pursuant to the terms of this Stipulation is positive, indicating the presence of controlled substances (not pursuant to a valid prescription). Respondent will be notified and allowed to arrange for a subsequent independent confirmatory test. Should the Respondent test positive, Respondent shall voluntarily cease practicing dentistry in the State of Nevada until such time as a full



. ( )

Board hearing is held to evaluate the positive findings and subsequent independent confirmatory tests.

- 9. On May 21, 2001, Respondent was served with the Order requiring Respondent to voluntarily cease practicing dentistry in the State of Nevada until such time as a full Board hearing is held to evaluate the positive findings and/or any subsequent independent confirmatory test. See Exhibit "4".
- voluntarily cease practicing dentistry in the State of Nevada, Respondent indicated, if possible, he would like to negotiate a settlement on his own behalf. In response, Respondent was informed by Board counsel, John A. Hunt, that it was not in his best interest to proceed in proper person. Respondent was further advised he had a right to have this matter reviewed by independent counsel and to have it reviewed by independent counsel would be in Respondent's best interest. Having been advised of his right to independent counsel, as well as having had an opportunity to seek independent counsel, Respondent, of his own free will, chose to represent himself in proper person in this matter in an attempt to resolve any differences he may have with the Board.
- 11. Respondent admitted to testing positive for Amphetamines (Group) Ecstasy. Although Respondent admitted to testing positive for the drug identified in Exhibit "3", he believed he ingested this drug under the misconception he was ingesting a Viagra-like substance.
- 12. Other than the drugs identified in Exhibit "3", it was Respondent's belief he has taken no other illegal or unprescribed drug.
- 13. As a mitigating circumstance, Disciplinary Screening Officer, Dennis J. Arch, D.D.S., advised the Board that during the last four (4) years Respondent had never tested positive for any other illegal or unprescribed drugs during previously scheduled and/or random testing



previously initiated.

- 14. On June 14, 2001, at a properly noticed meeting, Respondent entered into the Second Amended Stipulation with the Nevada State Board of Dental Examiners. See Exhibit "5". Based upon Respondent's admission that he violated the Stipulation and Amended Stipulation, Respondent agreed to an additional suspension of sixty (60) days commencing May 21, 2001. In addition, Respondent agreed to submit to random sampling of urine, hair and/or bodily fluids for an additional three (3) years.
- 15. On April 23, 2002 Respondent was sent notice to present himself to Associated Medical Laboratories (AML) for blood, hair and urine testing.
  - 16. On April 24, 2002 Respondent presented himself to APL for testing.
- 17. The results of the testing indicates Respondent tested "positive" for Amphetamines (Group) in violation of the original Stipulation dated May 9, 1997, the Amended Stipulation dated June 1, 2000 and the Second Amended Stipulation dated June 14, 2001.
- 18. At the request of Respondent, on May 7, 2002 a second hair analysis was done and the results of the testing indicates Respondent tested "positive" for Amphetamines (Group) in violation of the original Stipulation dated May 9, 1997, the Amended Stipulation dated June 1, 2000 and the Second Amended Stipulation dated June 14, 2001.
- 19. As a result of testing positive for Amphetamines, Paragraph 15(b) of the Second Amended Stipulation entered into on June 14, 2001 states:
  - b. In the event any test or analysis of bodily fluids taken from Respondent, pursuant to the terms of this Second Amended Stipulation, is positive, indicating the presence of controlled substances (not pursuant to a valid prescription), Respondent will be notified. Should the Respondent test positive, Respondent's license to practice dentistry in the State of Nevada shall automatically be revoked without any further action of the Board



other than the issuance of an appropriate Order of Revocation by the Board's Executive Director.

- 20. On May 1, 2002, Respondent was served with the Order requiring Respondent to voluntarily cease practicing dentistry in the State of Nevada until such time as a full Board hearing is held to evaluate the positive findings and/or any subsequent independent confirmatory test. See Exhibit "6".
- 21. Respondent petitioned the Board for reinstatement of his license and to appear before the Board at their public meeting to be held on May 31, 2002.
- 22. At a properly noticed public hearing of the Board held on May 31, 2002 Respondent appeared and presented a report from the Coleman Group, Dr. Victoria D. Coleman, and also testified he had an independent hair analysis which also produced a positive for Amphetamines. After consideration by the Board Members, Respondent's petition for reinstatement of his license was denied.
- 23. The Respondent subsequently attended and received treatment and counseling at the Betty Ford Clinic.
- 24. As a result of Respondent's violation of the Second Amended Stipulation and subsequent cessation of practice of dentistry pursuant to an Order of the Executive Director, and in consideration of his efforts to address his drug problem, the Respondent's license shall be reinstated by the Board providing Respondent abides by the following conditions.
  - a. Respondent agrees to enroll in and abide by the rules of the Nevada Health Professionals Assistance Foundation Diversion Program (the "After-Care Program").
  - b. Following Respondent's execution of a contract for monitoring, counseling and assistance and waiver of confidentiality for enrollment in the After-Care Program, Respondent may apply to the Board for reinstatement of his license to practice dentistry in the



### State of Nevada.

- c. Respondent agrees to surrender his Registration Certificate No. BK065578 with the United States Department of Justice, D.E.A., to prescribe controlled substances for Class II, Class IIN, Class III, Class IIIN, class IV, and Class V for a period of three (3) years. In the event Respondent complies with all the terms of this Stipulation, at the end of the three (3) year period, Respondent may apply to the D.E.A. to have his prescription privileges reinstated.
- d. Respondent agrees to surrender his license No. CS4016 with the Nevada State Board of Pharmacy to prescribe controlled substances for Class II, Class IIIN, Class III, Class IIIN, Class IV, and Class V for a period of three (3) years. In the event Respondent complies with all the terms of this Stipulation, at the end of the three (3) year period, Respondent may apply to the Nevada State Board of Pharmacy to have his prescription privileges reinstated.
- e. Respondent further agrees in the event he fails to surrender said licenses within ten (10) days as set forth in subparagraphs c and d herein or in the event the Board's Executive Director has substantial evidence to believe Respondent has either issued or has caused to be issued prescriptions for controlled substances identified as Class II, Class IIN, Class III, Class IIIN, Class IV and Class V during the remaining term of his probationary period as set forth in the Third Amended Stipulation, the Executive Director, without any further hearing or action by the Board, shall issue an order revoking Respondent's license to practice dentistry in the State of Nevada. Thereafter, Respondent may request a hearing before the Board but during the pendency of the hearing before the Board, Respondent waives any right to seek judicial review to reinstate his privilege to practice dentistry in the State of Nevada pending a final Board hearing.
- f. Following the reinstatement of Respondent's license, Respondent agrees to the following:
  - i. Pursuant to NRS 631.350(g), Respondent agrees to submit to random sampling of urine, hair and/or bodily fluids for an additional five (5) years when so ordered by the Executive Director of the Board effective upon adoption of this Third Amended Stipulation. This random sampling of urine, hair and/or bodily fluids will be under direct observation and any test or analysis of bodily fluids



taken shall be conducted in such a manner that the testing agency shall preserve enough of the sample to allow for subsequent independent confirmatory tests. The results of any tests or analysis of bodily fluids shall be reported to the Board. Respondent shall be responsible for all costs incurred for the analysis of urine, hair and/or bodily fluids.

- ii. In the event any test or analysis of bodily fluids taken from Respondent, whether pursuant to the request of the Executive Director or the After-Care Program, is positive, indicating the presence of controlled substances (not pursuant to a valid prescription), Respondent will be notified. Should the Respondent test positive, Respondent's license to practice dentistry in the State of Nevada shall automatically be revoked without any further action of the Board other than the issuance of an appropriate Order of Revocation by the Board's Executive Director.
- iii. Respondent authorizes and shall execute any consent necessary which authorizes reports generated by the urinalysis and/or bodily fluids, and/or hair testing and any substance abuse evaluation reports, and any status reports rendered by individuals treating Respondent to be furnished to the Executive Director of the Board. In the event of a violation in the form of a confirmed, positive test result, all reports previously submitted to the Board will become public record and be available for use by the Board in connection with any subsequent action of the Board.
- iv. Should Respondent fail to present himself for random drug testing when directed by the Executive Director of the Board or the After-Care Program, within twenty-four (24) hours of said direction by the Executive Director or After-Care Program, Respondent's license to practice dentistry in the State of Nevada will be revoked indefinitely without any other action by the Board other than the issuance of an appropriate Order of Revocation by the Board's Executive Director.



- v. Should Respondent fail to complete the After-Care Program or upon receipt of notice that Respondent has failed to comply with the terms of the contract with the After-Care Program, Respondent's license to practice dentistry in the State of Nevada will be revoked indefinitely without any further action by the Board other than the issuance of an appropriate Order of Revocation by the Board's Executive Director.
- vi. Respondent agrees pursuant to NRS 631.350(d) and (h), Respondent shall be placed on probation for a period of five (5) years with Respondent's practice being supervised and monitored effective upon the Board's adoption of this Third Amended Stipulation. Respondent agrees that during the five (5) year probation/supervisory period, Respondent shall allow either the Executive Director of the Board and/or an agent appointed by the Board's Executive Director to inspect Respondent's records to ensure compliance with this Third Amended Stipulation. Such inspections shall be performed, without notice, during normal business hours. Respondent further agrees during the period of probation and supervision, Respondent shall maintain a list of any prescriptions issued to any of Respondent's patients by any other licensed dentist in the State of Nevada. The list of prescriptions issued to Respondent's patients by any other licensed dentist in the State of Nevada shall include the following:
  - patient's name;
  - b. date of issuance;
  - c. name of dentist who issued prescription;
  - d. units and amount of controlled substance issued;
  - e. reason for issuing the controlled substance.

Respondent's failure to accurately maintain the list of prescriptions issued to his patients by any other licensed dentist in the State of Nevada shall be deemed unprofessional conduct and in violation of



this Third Amended Stipulation and shall be considered by the Board in determining whether or not to revoke Respondent's license to practice dentistry in the State of Nevada.

- vii. Respondent agrees to pay the Nevada State Board of Dental Examiners the sum of \$5,000.00. upon approval of this Stipulation by the Board, to reimburse the Board for the costs incurred for this Stipulation and the cost to be incurred in the future to monitor and enforce this Stipulation. Specifically, this amount shall not be deemed a fine and shall not be reported to the National Practitioners Data Bank. This amount is due and payable in two equal installments. The first payment shall be due thirty (30) days after the adoption of this Third Amended Stipulation by the Board. second payment shall be due sixty (60) days after the first payment. In the event Respondent fails to pay the agreed upon amount, Respondent agrees his license to practice dentistry in the State of Nevada shall be automatically suspended without any further action of the Board other than issuance of an order by the Executive Director. Upon payment of any default, Respondent's license to practice dentistry in the State of Nevada will be automatically reinstated.
- g. All provisions of the original Stipulation entered into with the Board on May 9, 1997, the Amended Stipulation dated June 1, 2000 and the Second Amended Stipulation dated June 14, 2001 shall remain in full force and effect.
- h. Respondent further agrees, in the event the Board has to initiate any legal proceedings to enforce payment of the reimbursement amount or in the event the Board has to seek injunctive relief in the event Respondent fails to voluntarily cease practicing dentistry in the State of Nevada, Respondent shall be responsible for legal fees and costs incurred by the Board in any such proceedings.

#### CONSENT

25. Respondent has read all of the provisions contained in this Stipulation and agrees

with them in their entirety.

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- 26. Respondent is aware by entering into this Stipulation he is waiving certain valuable due process rights contained in, but not limited to, NRS 631, NAC 631, NRS 233B and NAC 233B.
- 27. Respondent expressly waives any right to challenge the Board for bias in deciding whether or not to adopt this Stipulation in the event this matter was to proceed to a full Board hearing.
- 28. Respondent acknowledges he has read the Stipulation. Respondent acknowledges he has been advised he has the right to have this matter reviewed by independent counsel and he has had ample opportunity to seek independent counsel. Respondent has been specifically informed he should seek independent counsel and advice of independent counsel would be in Respondent's best interest. Having been advised of his right to independent counsel, as well as having had the opportunity to seek independent counsel, Respondent hereby acknowledges, by his own free will, he is consenting to the Stipulation without independent counsel.
- 29. Respondent acknowledges he is consenting to this Stipulation voluntarily, without coercion or duress and in the exercise of his own free will.
- 30. Respondent acknowledges no other promises in reference to the provisions contained in this Stipulation have been made by any agent, employee, counsel or any person affiliated with the Nevada State Board of Dental Examiners.
- 31. Respondent acknowledges the provisions in this Stipulation contain the entire agreement between Respondent and the Board and the provisions of this Stipulation can only be modified, in writing, with Board approval.
  - 32. Respondent agrees in the event the Board adopts this Stipulation he hereby waives

any and all rights to seek judicial review or otherwise to challenge or contest the validity of the provisions contained in the Stipulation.

33. This Stipulation will be considered by the Board in an open meeting. It is understood and stipulated the Board is free to accept or reject the Stipulation and, if the Stipulation is rejected by the Board, further disciplinary action may be implemented. This Stipulation will only become effective when the Board has approved the same in an open meeting. Should the Board adopt this Stipulation, such adoption shall be considered a final disposition of a contested case and will become a public record.

DATED this 14 day of Nov

ے 2002 ع

DUFF W KASTER, D.D.S.

Respondent

SUBSCRIBED and SWORN to/before me

Minist Va

NOTARY PUBLIC

APPROVED AS TO FORM & CONTENT

JOHN A. HUNT, ESQUIRE

Raleigh, Hunt, McGarry & Drizin, P.C.

**Board Counsel** 

ALICIA C. RAMIREZ
Notary Fublic State of Nevada
No.01-68744-1
My appt. exp. Apr. 26, 2005

Minister IV

DEMNIS J. ARCH, D.D.S.

Disciplinary Screening Office/Informal

TOFORM & CONTENT

Hearing Officer

APPROVED-AS

The foregoing Stipulation w	as approved/disapproved by a vote of the Nevada State Board
of Dental Examiners at a properly r	

DATED this 15th day of No U 2002.

NEVADA STATE BOARD OF DENTAL EXAMINERS

LARRY L. CHAMPAGNE, D.D.S.

President

S:Vosane B\wp51\DENTAL\KASTER\Ub amended stipulation.wpd

<u>W</u>K

# EXHIBIT "1"

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STATE OF NEVADA

BEFORE THE BOARD OF DENTAL EXAMINERS OF NEVADA

NEVADA STATE BOARD OF DENTAL EXAMINERS,

Case No. 05-1258

Complainant,

DUFF W. KASTER, D.D.S.

Respondent.

FORTH AMENDED STIPULATION

IT IS HEREBY STIPULATED AND AGREED between DUFF W. KASTER, D.D.S., (hereinafter "Respondent"), in Proper Person, and the NEVADA STATE BOARD OF DENTAL EXAMINERS (hereinafter "Board") by and through its counsel, JOHN A. HUNT, ESQ., of the law firm of RALEIGH, HUNT & McGARRY, P.C. as follows:

- 1. On May 9, 1997, at a properly noticed meeting, Respondent entered into a Stipulation with the Nevada State Board of Dental Examiners.
- 2. On June 1, 2000, at a properly noticed meeting, Respondent entered into an Amended Stipulation with the Nevada State Board of Dental Examiners.
- 3. On June 14, 2001, at a properly noticed meeting, Respondent entered into the Second Amended Stipulation with the Nevada State Board of Dental Examiners.
- 4. On November 15, 2002 at a properly noticed meeting, Respondent entered into the Third Amended Stipulation with the Nevada State Board of Dental Examiners. See Exhibit "1".
- 5. Since the Board adopted the Third Amended Stipulation, Respondent has been in full compliance.
- 6. On August 1, 2005, Respondent requested the Board place his license on inactive status.
- 7. In consideration for granting Respondent's request to have his license to practice dentistry in the State of Nevada placed on inactive status, Respondent acknowledges and agrees to



the following:

a. The Third Amended Stipulation was adopted by the Board on November 15, 2002. Currently, the Third Amended Stipulation would expire on November 15, 2007, assuming Respondent is in compliance upon the expiration of the Third Amended Stipulation. Respondent agrees in the event he requests that his license to practice dentistry in the State of Nevada be reinstated to active status, Respondent agrees as a condition of reinstatement he would have to comply with the provisions paragraph 24 of the Third Amended Stipulation for an additional three (3) years upon the Board reinstating Respondent license to active status.

b. Respondent agrees upon adoption of this Fourth Amended Stipulation to reimburse the Board the sum of Five Hundred (\$500.00) Dollars for the preparation and monitoring this Fourth Amended Stipulation. In the event Respondent fails to pay the agreed upon amount, Respondent agrees his license to practice dentistry in the State of Nevada shall be automatically suspended without any further action of the Board other than issuance of an order by the Executive Director. Upon payment of any default, Respondent's license to practice dentistry in the State of Nevada will be automatically reinstated.

#### **CONSENT**

- 8. Respondent has read all of the provisions contained in this Stipulation and agrees with them in their entirety.
- 9. Respondent is aware by entering into this Stipulation he is waiving certain valuable due process rights contained in, but not limited to, NRS 631, NAC 631, NRS 233B and NAC 233B.
- 10. Respondent expressly waives any right to challenge the Board for bias in deciding whether or not to adopt this Stipulation in the event this matter was to proceed to a full Board hearing.
- 11. Respondent acknowledges he has read the Stipulation. Respondent acknowledges he has been advised he has the right to have this matter reviewed by independent counsel and he has had ample opportunity to seek independent counsel. Respondent has been specifically informed he should seek independent counsel and advice of independent counsel would be in Respondent's best interest. Having been advised of his right to independent counsel, as well as having had the opportunity to seek independent counsel, Respondent hereby acknowledges, by his

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Page 2 of 4

own free will, he is consenting to the Stipulation without independent counsel.

- 12. Respondent acknowledges he is consenting to this Stipulation voluntarily, without coercion or duress and in the exercise of his own free will.
- 13. Respondent acknowledges no other promises in reference to the provisions contained in this Stipulation have been made by any agent, employee, counsel or any person affiliated with the Nevada State Board of Dental Examiners.
- 14. Respondent acknowledges the provisions in this Stipulation contain the entire agreement between Respondent and the Board and the provisions of this Stipulation can only be modified, in writing, with Board approval.
- 15. Respondent agrees in the event the Board adopts this Stipulation he hereby waives any and all rights to seek judicial review or otherwise to challenge or contest the validity of the provisions contained in the Stipulation.
- 16. This Stipulation will be considered by the Board in an open meeting. It is understood and stipulated the Board is free to accept or reject the Stipulation and, if the Stipulation is rejected by the Board, further disciplinary action may be implemented. This Stipulation will only become effective when the Board has approved the same in an open meeting. Should the Board adopt this Stipulation, such adoption shall be considered a final disposition of a contested case and this Stipulation shall become a public record. Respondent acknowledges and agrees of this Stipulation shall be made available for public inspection and copying. Respondent acknowledges and agrees copies of this Stipulation may be disseminated by the Board to the public, or any licensing board or any agency which is investigating Respondent, including but not limited to any law enforcement agency. Respondent agrees and acknowledges this Stipulation shall be reported to the National Practitioners Data Bank.

DATED this 8 day of Dec., 2005.

DUFF W. KASTER, D.D.S

Respondent

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` 1	SUBSCRIBED and SWORN to before me this day of VON to 2005
2	this day of 100 ray 2005.  My Commission Express August 31, 2016
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4	NOTARY PUBLIC
5	APPROVED AS TO FORM & CONTENT APPROVED AS TO FORM & CONTENT
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8	TOHD A. HUNT, ESQUIRE Ralpigh, Hunt & McGarry, P.C.  DENNIS J. ARCH, D.D.S. Disciplinary Screening Office (Informal)
9	Raisign, Hunt & McGarry, P.C.  Disciplinary Screening Office/Informal Hearing Officer
10	The foregoing Stipulation was approved/disapproved by a vote of the Nevada State Board
11	of Dental Examiners at a properly noticed meeting.
12	DATED this 25 day of 100. , 2005.
13	NEVADA STATE BOARD OF DENTAL EXAMINERS
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15	TONY GUIMAEN, D.D.S.
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#### STATE OF NEVADA

#### BEFORE THE BOARD OF DENTAL EXAMINERS OF NEVADA

NEVADA STATE BOARD DENTAL EXAMINERS,	OF	)	
	Complainant,	. {	
-VS-		)	THIRD AMENDED STIPULATION
DUFF W. KASTER, D.D.S.		ý	
	Respondent.		

IT IS HEREBY STIPULATED AND AGREED between DUFF W. KASTER, D.D.S., (hereinafter "Respondent"), in Proper Person, and the NEVADA STATE BOARD OF DENTAL EXAMINERS (hereinafter "Board") by and through its counsel, LEE A. DRIZIN, ESQ. of the law firm of RALEIGH, HUNT, McGARRY & DRIZIN, P.C. as follows:

- On May 9, 1997, at a properly noticed meeting, Respondent entered into a Stipulation with the Nevada State Board of Dental Examiners. See Exhibit "1".
- 2. Pursuant to the Stipulation, Respondent surrendered his Registration Certificate No. BK 065578 with the United States Department of Justice to prescribe controlled substances for Class II, Class III, Class IIIN, Class IV and Class V for a period of five (5) years, as well as his license No. CS 4016 with the Nevada State Board of Pharmacy to prescribe controlled substances for a period of five (5) years.
- 3. On June 1, 2000, at a properly noticed meeting, Respondent entered into an Amended Stipulation with the Nevada State Board of Dental Examiners. This Amended



Stipulation imposed additional conditions upon Respondent's license, in addition to the conditions set forth in the original Stipulation dated May 9, 1997. See Exhibit "2".

- 4. Paragraph 15 (B) of the Stipulation dated May 9, 1997 states:
  - B. Respondent agrees to submit to random sampling of urine and/or bodily fluids, and/or hair for a period of four (4) years when so ordered by the Executive Director of the Board. In addition to the random drug tests which may be ordered during the four (4) year period, during the one year suspension, Respondent will submit to urinalysis testing on the first day of each month at Associated Pathology Laboratories (APL). Should the first day of the month fall on a Saturday or Sunday, Respondent will submit the monthly sample on the first business day of the month. Any test or analysis of bodily fluids taken shall be conducted in such manner that the testing agency shall preserve enough of the sample to allow for subsequent independent confirmatory tests. The results of any tests or analysis of bodily fluids shall be reported to the Board. Respondent shall be responsible for all costs incurred for the analysis of urine, and/or bodily fluids, and/or hair.
- 5. On May 9, 2001 Respondent was sent notice to present himself to Associated Pathologists Laboratories (APL) for blood, hair and urine testing.
  - 6. On May 15, 2001 Respondent presented himself to APL for testing.
- 7. The results of the testing indicates Respondent tested "positive" for Amphetamines (Group) in violation of the original Stipulation dated May 9, 1997 and the Amended Stipulation dated June 1, 2000. See Exhibit "3".
- 8. As a result of testing positive for Amphetamines, Paragraph 15(C) of the Stipulation entered into on May 9, 1997 states:
  - C. In the event any test or analysis of bodily fluids taken from Respondent pursuant to the terms of this Stipulation is positive, indicating the presence of controlled substances (not pursuant to a valid prescription). Respondent will be notified and allowed to arrange for a subsequent independent confirmatory test. Should the Respondent test positive, Respondent shall voluntarily cease practicing dentistry in the State of Nevada until such time as a full



Board hearing is held to evaluate the positive findings and subsequent independent confirmatory tests.

- 9. On May 21, 2001, Respondent was served with the Order requiring Respondent to voluntarily cease practicing dentistry in the State of Nevada until such time as a full Board hearing is held to evaluate the positive findings and/or any subsequent independent confirmatory test. See Exhibit "4".
- voluntarily cease practicing dentistry in the State of Nevada, Respondent indicated, if possible, he would like to negotiate a settlement on his own behalf. In response, Respondent was informed by Board counsel, John A. Hunt, that it was not in his best interest to proceed in proper person. Respondent was further advised he had a right to have this matter reviewed by independent counsel and to have it reviewed by independent counsel would be in Respondent's best interest. Having been advised of his right to independent counsel, as well as having had an opportunity to seek independent counsel, Respondent, of his own free will, chose to represent himself in proper person in this matter in an attempt to resolve any differences he may have with the Board.
- 11. Respondent admitted to testing positive for Amphetamines (Group) Ecstasy. Although Respondent admitted to testing positive for the drug identified in Exhibit "3", he believed he ingested this drug under the misconception he was ingesting a Viagra-like substance.
- 12. Other than the drugs identified in Exhibit "3", it was Respondent's belief he has taken no other illegal or unprescribed drug.
- 13. As a mitigating circumstance, Disciplinary Screening Officer, Dennis J. Arch, D.D.S., advised the Board that during the last four (4) years Respondent had never tested positive for any other illegal or unprescribed drugs during previously scheduled and/or random testing



previously initiated.

- 14. On June 14, 2001, at a properly noticed meeting, Respondent entered into the Second Amended Stipulation with the Nevada State Board of Dental Examiners. See Exhibit "5". Based upon Respondent's admission that he violated the Stipulation and Amended Stipulation, Respondent agreed to an additional suspension of sixty (60) days commencing May 21, 2001. In addition, Respondent agreed to submit to random sampling of urine, hair and/or bodily fluids for an additional three (3) years.
- 15. On April 23, 2002 Respondent was sent notice to present himself to Associated Medical Laboratories (AML) for blood, hair and urine testing.
  - 16. On April 24, 2002 Respondent presented himself to APL for testing.
- 17. The results of the testing indicates Respondent tested "positive" for Amphetamines (Group) in violation of the original Stipulation dated May 9, 1997, the Amended Stipulation dated June 1, 2000 and the Second Amended Stipulation dated June 14, 2001.
- 18. At the request of Respondent, on May 7, 2002 a second hair analysis was done and the results of the testing indicates Respondent tested "positive" for Amphetamines (Group) in violation of the original Stipulation dated May 9, 1997, the Amended Stipulation dated June 1, 2000 and the Second Amended Stipulation dated June 14, 2001.
- 19. As a result of testing positive for Amphetamines, Paragraph 15(b) of the Second Amended Stipulation entered into on June 14, 2001 states:
  - b. In the event any test or analysis of bodily fluids taken from Respondent, pursuant to the terms of this Second Amended Stipulation, is positive, indicating the presence of controlled substances (not pursuant to a valid prescription), Respondent will be notified. Should the Respondent test positive, Respondent's license to practice dentistry in the State of Nevada shall automatically be revoked without any further action of the Board



other than the issuance of an appropriate Order of Revocation by the Board's Executive Director.

- 20. On May 1, 2002, Respondent was served with the Order requiring Respondent to voluntarily cease practicing dentistry in the State of Nevada until such time as a full Board hearing is held to evaluate the positive findings and/or any subsequent independent confirmatory test. See Exhibit "6".
- 21. Respondent petitioned the Board for reinstatement of his license and to appear before the Board at their public meeting to be held on May 31, 2002.
- 22. At a properly noticed public hearing of the Board held on May 31, 2002 Respondent appeared and presented a report from the Coleman Group, Dr. Victoria D. Coleman, and also testified he had an independent hair analysis which also produced a positive for Amphetamines. After consideration by the Board Members, Respondent's petition for reinstatement of his license was denied.
- 23. The Respondent subsequently attended and received treatment and counseling at the Betty Ford Clinic.
- 24. As a result of Respondent's violation of the Second Amended Stipulation and subsequent cessation of practice of dentistry pursuant to an Order of the Executive Director, and in consideration of his efforts to address his drug problem, the Respondent's license shall be reinstated by the Board providing Respondent abides by the following conditions.
  - a. Respondent agrees to enroll in and abide by the rules of the Nevada Health Professionals Assistance Foundation Diversion Program (the "After-Care Program").
  - b. Following Respondent's execution of a contract for monitoring, counseling and assistance and waiver of confidentiality for enrollment in the After-Care Program, Respondent may apply to the Board for reinstatement of his license to practice dentistry in the



State of Nevada.

- c. Respondent agrees to surrender his Registration Certificate No. BK065578 with the United States Department of Justice, D.E.A., to prescribe controlled substances for Class II, Class IIN, Class III, Class IIIN, class IV, and Class V for a period of three (3) years. In the event Respondent complies with all the terms of this Stipulation, at the end of the three (3) year period, Respondent may apply to the D.E.A. to have his prescription privileges reinstated.
- d. Respondent agrees to surrender his license No. CS4016 with the Nevada State Board of Pharmacy to prescribe controlled substances for Class II, Class IIN, Class III, Class IIIN, Class IV, and Class V for a period of three (3) years. In the event Respondent complies with all the terms of this Stipulation, at the end of the three (3) year period, Respondent may apply to the Nevada State Board of Pharmacy to have his prescription privileges reinstated.
- e. Respondent further agrees in the event he fails to surrender said licenses within ten (10) days as set forth in subparagraphs c and d herein or in the event the Board's Executive Director has substantial evidence to believe Respondent has either issued or has caused to be issued prescriptions for controlled substances identified as Class II, Class IIN, Class IIIN, Class IIIN, Class IV and Class V during the remaining term of his probationary period as set forth in the Third Amended Stipulation, the Executive Director, without any further hearing or action by the Board, shall issue an order revoking Respondent's license to practice dentistry in the State of Nevada. Thereafter, Respondent may request a hearing before the Board but during the pendency of the hearing before the Board, Respondent waives any right to seek judicial review to reinstate his privilege to practice dentistry in the State of Nevada pending a final Board hearing.
- f. Following the reinstatement of Respondent's license, Respondent agrees to the following:
  - i. Pursuant to NRS 631.350(g), Respondent agrees to submit to random sampling of urine, hair and/or bodily fluids for an additional five (5) years when so ordered by the Executive Director of the Board effective upon adoption of this Third Amended Stipulation. This random sampling of urine, hair and/or bodily fluids will be under direct observation and any test or analysis of bodily fluids



taken shall be conducted in such a manner that the testing agency shall preserve enough of the sample to allow for subsequent independent confirmatory tests. The results of any tests or analysis of bodily fluids shall be reported to the Board. Respondent shall be responsible for all costs incurred for the analysis of urine, hair and/or bodily fluids.

- ii. In the event any test or analysis of bodily fluids taken from Respondent, whether pursuant to the request of the Executive Director or the After-Care Program, is positive, indicating the presence of controlled substances (not pursuant to a valid prescription), Respondent will be notified. Should the Respondent test positive, Respondent's license to practice dentistry in the State of Nevada shall automatically be revoked without any further action of the Board other than the issuance of an appropriate Order of Revocation by the Board's Executive Director.
- iii. Respondent authorizes and shall execute any consent necessary which authorizes reports generated by the urinalysis and/or bodily fluids, and/or hair testing and any substance abuse evaluation reports, and any status reports rendered by individuals treating Respondent to be furnished to the Executive Director of the Board. In the event of a violation in the form of a confirmed, positive test result, all reports previously submitted to the Board will become public record and be available for use by the Board in connection with any subsequent action of the Board.
- iv. Should Respondent fail to present himself for random drug testing when directed by the Executive Director of the Board or the After-Care Program, within twenty-four (24) hours of said direction by the Executive Director or After-Care Program, Respondent's license to practice dentistry in the State of Nevada will be revoked indefinitely without any other action by the Board other than the issuance of an appropriate Order of Revocation by the Board's Executive Director.



- v. Should Respondent fail to complete the After-Care Program or upon receipt of notice that Respondent has failed to comply with the terms of the contract with the After-Care Program, Respondent's license to practice dentistry in the State of Nevada will be revoked indefinitely without any further action by the Board other than the issuance of an appropriate Order of Revocation by the Board's Executive Director.
- vi. Respondent agrees pursuant to NRS 631.350(d) and (h), Respondent shall be placed on probation for a period of five (5) years with practice being supervised and Respondent's monitored effective upon the Board's adoption of this Third Amended Stipulation. Respondent agrees that during the five (5) year probation/supervisory period, Respondent shall allow either the Executive Director of the Board and/or an agent appointed by the Board's Executive Director to inspect Respondent's records to ensure compliance with this Third Amended Stipulation. Such inspections shall be performed, without notice, during normal business hours. Respondent further agrees during the period of probation and supervision, Respondent shall maintain a list of any prescriptions issued to any of Respondent's patients by any other licensed dentist in the State of Nevada. The list of prescriptions issued to Respondent's patients by any other licensed dentist in the State of Nevada shall include the following:
  - a. patient's name;
  - b. date of issuance;
  - c. name of dentist who issued prescription;
  - d. units and amount of controlled substance issued;
  - e. reason for issuing the controlled substance.

Respondent's failure to accurately maintain the list of prescriptions issued to his patients by any other licensed dentist in the State of Nevada shall be deemed unprofessional conduct and in violation of



this Third Amended Stipulation and shall be considered by the Board in determining whether or not to revoke Respondent's license to practice dentistry in the State of Nevada.

vii. Respondent agrees to pay the Nevada State Board of Dental Examiners the sum of \$5,000.00, upon approval of this Stipulation by the Board, to reimburse the Board for the costs incurred for this Stipulation and the cost to be incurred in the future to monitor and enforce this Stipulation. Specifically, this amount shall not be deemed a fine and shall not be reported to the National Practitioners Data Bank. This amount is due and payable in two equal installments. The first payment shall be due thirty (30) days after the adoption of this Third Amended Stipulation by the Board. second payment shall be due sixty (60) days after the first payment. In the event Respondent fails to pay the agreed upon amount, Respondent agrees his license to practice dentistry in the State of Nevada shall be automatically suspended without any further action of the Board other than issuance of an order by the Executive Director. Upon payment of any default, Respondent's license to practice dentistry in the State of Nevada will be automatically reinstated.

- g. All provisions of the original Stipulation entered into with the Board on May 9, 1997, the Amended Stipulation dated June 1, 2000 and the Second Amended Stipulation dated June 14, 2001 shall remain in full force and effect.
- h. Respondent further agrees, in the event the Board has to initiate any legal proceedings to enforce payment of the reimbursement amount or in the event the Board has to seek injunctive relief in the event Respondent fails to voluntarily cease practicing dentistry in the State of Nevada, Respondent shall be responsible for legal fees and costs incurred by the Board in any such proceedings.

#### CONSENT

25. Respondent has read all of the provisions contained in this Stipulation and agrees

with them in their entirety.

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- 26. Respondent is aware by entering into this Stipulation he is waiving certain valuable due process rights contained in, but not limited to, NRS 631, NAC 631, NRS 233B and NAC 233B.
- 27. Respondent expressly waives any right to challenge the Board for bias in deciding whether or not to adopt this Stipulation in the event this matter was to proceed to a full Board hearing.
- Respondent acknowledges he has read the Stipulation. Respondent acknowledges he has been advised he has the right to have this matter reviewed by independent counsel and he has had ample opportunity to seek independent counsel. Respondent has been specifically informed he should seek independent counsel and advice of independent counsel would be in Respondent's best interest. Having been advised of his right to independent counsel, as well as having had the opportunity to seek independent counsel, Respondent hereby acknowledges, by his own free will, he is consenting to the Stipulation without independent counsel.
- 29. Respondent acknowledges he is consenting to this Stipulation voluntarily, without coercion or duress and in the exercise of his own free will.
- 30. Respondent acknowledges no other promises in reference to the provisions contained in this Stipulation have been made by any agent, employee, counsel or any person affiliated with the Nevada State Board of Dental Examiners.
- 31. Respondent acknowledges the provisions in this Stipulation contain the entire agreement between Respondent and the Board and the provisions of this Stipulation can only be modified, in writing, with Board approval.
  - 32. Respondent agrees in the event the Board adopts this Stipulation he hereby waives

any and all rights to seek judicial review or otherwise to challenge or contest the validity of the provisions contained in the Stipulation.

33. This Stipulation will be considered by the Board in an open meeting. It is understood and stipulated the Board is free to accept or reject the Stipulation and, if the Stipulation is rejected by the Board, further disciplinary action may be implemented. This Stipulation will only become effective when the Board has approved the same in an open meeting. Should the Board adopt this Stipulation, such adoption shall be considered a final disposition of a contested case and will become a public record.

DATED this 14 day of Nov

, 2002

DUFF W KASTER, D.D.S.

Respondent

SUBSCRIBED and SWORN to/before me this day of Walter 2002.

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NOTARY PUBLIC

APPROVED AS TO FORM & COMMENT

JOHN A. HUNT, ESQUIRE

Kaleigh, Hunt, McGarry & Drizin, P.C.

Board Counsel

ALICIA C. RAMIREZ Notary Public State of Nevada No.01-68744-1 My appt. exp. Apr. 26, 2005

APPROVED-AS TO FORM & CONTENT

DEMNIS J. ARCH, D.D.S.

Disciplinary Screening Office/Informal

Hearing Officer

The foregoing Stipulation was	approved/disapproved by a vote of the Nevada State Board
of Dental Examiners at a properly not	iced meeting.

DATED this 15th day of Nou , 2002.

NEVADA STATE BOARD OF DESTAL EXAMINERS

LARRY L. CHAMPAGNE, D.D.S.

President

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D.W.K.

## EXHIBIT "1"

Case No. 97-37

#### STATE OF NEVADA

#### BEFORE THE BOARD OF DENTAL EXAMINERS OF NEVADA

NEVADA STATE BOARD DENTAL EXAMINERS,	OF	)	,
	Complainant,	)	
-VS-	•	)	STIPULATION
DUFF W. KASTER, D.D.S.		)	
	Respondent.	,	

IT IS HEREBY STIPULATED AND AGREED between DUFF W. KASTER, D.D.S., (hereinafter "Respondent") and his counsel, NEIL BELLER, ESQ. and the NEVADA STATE BOARD OF DENTAL EXAMINERS (hereinafter "Board") by and through its counsel, JOHN A HUNT, ESQ. of the law firm of RALEIGH, HUNT & McGARRY, P.C. as follows:

- On December 9, 1996, the Nevada State Board of Dental Examiners received a verified complaint from Keith W. McDonald, Executive Secretary of the Nevada State Board of Pharmacy regarding the prescription practices of Respondent dated December 5, 1996. (See Exhibit "1").
- 2. On January 21, 1997, the Respondent was informed by the Nevada State Board of Dental Examiners of the verified complaint of Keith W. McDonald, Executive Secretary of the Nevada State Board of Pharmacy. (See Exhibit "2").
  - 3. On January 28, 1997, Dr. Kaster presented himself for drug testing at Associated

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Pathologists Laboratories. (See Exhibit \*3").

- 4. On January 29, 1997, Dr. Kaster presented himself for hair, blood and urine drug analysis. The results of the analysis of Dr. Kaster's blood, urine and hair are attached as Exhibit "4" and "5").
- 5. Thereafter, Dr. Kaster's attorney, Neil Beller, Esq. and the Board's attorney, John A. Hunt, Esq. entered into negotiations in order to protect the interests of the citizens of the State of Nevada and Dr. Kaster's due process rights. As a result of these negotiations, Dr. Kaster, by and through his attorney, Neil Beller, Esq. Respondent informally agreed to refrain from writing any prescriptions for controlled substances for Class II, Class III-N, Class III-N, Class IV and Class V. In addition, Dr. Kaster agreed to informally temporarily refrain from practicing dentistry in the State of Nevada.
- 6. Subsequent to those negotiations, on February 5, 1997, Dr. Kaster presented himself to Associated Pathologists Laboratories for a profile 807 blood test for drug analysis. The results of the blood test are attached as Exhibit "6". The 807 blood test was the same type of blood test which was performed on January 29, 1997. (See Exhibit "4"). Dr. Kaster did not have a urine and hair analysis performed on February 5, 1997.
- 7. At this time, it is alleged that Respondent violated NRS 631.3475(5), which states the following acts, among others, constitute unprofessional conduct;
  - "5. Administering, dispensing or prescribing of any controlled substance or any dangerous drug as defined in chapter 454 of



- 8. At this time, it is alleged Respondent violated NAC 631.230(b) which states the following acts, among others, constitute unprofessional conduct;
  - "(b) Writing prescriptions for controlled substances in an excessive amount as to constitute a departure from the prevailing standards of exceptual dentistry practice."
- 9. At this time, it is alleged Respondent violated NAC 631.230(1)(d) which states the following acts, among others, constitute unprofessional conduct;
  - "(1)(d) The acquisition of any controlled substances from any pharmacy or other source, by misrepresentation, fraud, deception or subterfuge."
- 10. Based upon the allegations contained in Paragraphs Seven (7), Eight (8) and Nine (9) stated above, Respondent hereby voluntarily agrees he will not prescribe any controlled substances for Class II, Class III-N, Class III-N, Class IV and Class V until such time as a written agreement is entered into between the Respondent and the Board. In the event the Board does not reach a written agreement or, in the event a full board hearing is not convened within three (3) months of the Board's adopting of this Stipulation, the Respondent will be free to resume prescribing the controlled substances stated above. Respondent's resumption of his prescription privileges is conditioned on the fact that the Board has not obtained injunctive relief from the Eighth Judicial District Court or that Respondent's prescription privileges have not either been suspended and/or revoked by either the DEA or the Nevada State Board of Pharmacy.
  - 11. Based upon the allegations contained in Paragraphs Seven (7), Eight (8) and Nine

(9) stated above, Respondent voluntarily agrees he will not practice dentistry in the State of Nevada as set forth in NRS 631 and NAC 631 until such time as a written agreement is entered into between the Respondent and the Nevada State Board of Dental Examiners. In the event the Board does not enter into a written agreement with the Respondent and/or in the event the Board does not convene a full Board hearing within three (3) months of the Board adopting this Stipulation, the Respondent may resume the practice of dentistry in the State of Nevada. Respondent's resumption is conditioned of the fact that the Board has not obtained injunctive relief preventing Respondent from practicing dentistry from the Eighth Judicial District Court, State of Nevada. Respondent shall cease practicing dentistry in the State of Nevada for fourteen (14) days from Respondent executing this Stipulation. During the fourteen (14) day period, the Board may designate an agent to monitor Respondent's practice.

- 12. In the event Respondent practices dentistry and/or writes prescriptions for controlled substances as defined above, in Paragraphs Ten (10) and Eleven (11), Respondent hereby stipulates to revocation of his license to practice dentistry in the State of Nevada without any further action by the Board, other than appropriate order of revocation executed by the Board's President.
- 13. Respondent has read all the provisions contained in this Stipulation and agrees with them in their entirety.
- 14. Respondent is aware that by entering into this Stipulation, he is waiving certain valuable due process rights contained in, but not limited to, NRS 631, NAC 631, NRS 233B and

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- 15. Respondent specifically waives any rights to challenge any members of the Board for bias on the basis of the Board's discussion to approve or reject this Stipulation.
- 16. Respondent has reviewed every provision of this Stipulation with his attorney, NEIL BELLER, ESQ. and has been advised of the ramifications of each provision of this Stipulation.
- 17. Respondent acknowledges he is consenting to the Stipulation voluntarily, without coercion or duress and in the exercise of his own free will.
- 18. This Stipulation will be considered by the Board in an open meeting. It is understood and stipulated the Board is free to accept or reject the Stipulation and, if the Stipulation is rejected by the Board, further disciplinary action may be implemented. This

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)	1	Stipulation will only become effective when the Board has approved the same in an open meeting.
	2	DATED this day of February, 1997.
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	5	DUFF WASTER D.D.S.
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	8	SUBSCRIBED and SWORN to before me
	9	this 244 day of February, 1997.
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ő. Z	11	Many Louise Colema Houry nevertion Common
CGARRY, Ne. Neisi	12	NOTARY PUBLIC in and for said  County and State  County and State  County and State
Cody Mark Mark Mark Mark Mark Mark Mark Mark	13	1 No. 08-5108-1 November 4, 2000
Tage Co.	14	APPROVED AS TO FORM & CONTENT: APPROVED AS TO FORM & CONTENT
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	16	/ hill with Son When
# 3	17	NEIL BEILER, ESQ. IOEN A. HUNT, ESQ.
RALBIGH *		Respondent's attorney Complainant's attorney
ă	18	The foregoing Stipulation was approved/disapproved by a vote of the Nevaca State Board
	19	of Dental Examiners at a properly nonced meeting.
•	20	+ MARCH =
	21	DATED this 6 day of February, 1997
	22	NEVADA STATE BOARD OF DENTAL
	23	EXAMINERS
	24	mancance MS
	25	SUSAN JANCAR President
•	27	<b>(</b> ) <i>()</i>
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EXHIBIT "2"

Case No. 00-329

STATE OF NEVADA

#### BEFORE THE BOARD OF DENTAL EXAMINERS OF NEVADA

NEVADA STATE BOARD

OF DENTAL EXAMINERS,

Complainant,

AMENDED STIPULATION

vs.

DUFF KASTER, D.D.S.,

Respondent.

IT IS HEREBY STIPULATED AND AGREED by and between DUFF KASTER, D.D.S. (hereinafter "Respondent"), in Proper Person, and THE NEVADA STATE BOARD OF DENTAL EXAMINERS (hereinafter "Board") by and through its counsel JOHN A. HUNT, ESQ., of the law firm of RALEIGH, HUNT & McGARRY, P.C., as follows:

- 1. On May 9, 1997, at a properly noticed meeting, Respondent entered into a Stipulation with the Nevada State Board of Dental Examiners. Attached as Exhibit "1".
  - 2. Paragraph 15 (G) and (H) of the Stipulation states:
    - G. Due to Respondent's admission of violations of NRS 631 and NAC 631 contained in Paragraphs 10 through 15, Respondent agrees to surrender his Registration Certificate No. BK 065578 with the United States Department of Justice, D.E.A., to prescribe controlled substances for Class II, Class III, Class III, Class IV, and Class V for a period of five (5) years. In the event Respondent complies with all the terms of this

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Stipulation, at the end of the five (5) year period Respondent may apply to the D.E.A. to have his prescription privileges reinstated.

- H. Due to Respondent's admission of violations of NRS 631 and NAC 631 contained in Paragraphs 10 through 15, Respondent agrees to surrender his license No. CB 4016 with the Nevada State Board of Pharmacy to prescribe controlled substances for Class II, Class IIN, Class III, Class IV, and Class V for a period of five (5) years. In the event Respondent complies with all the terms of this Stipulation, at the end of the five year period Respondent may apply to have his prescription writing privileges with the State Board of Pharmacy reinstated.
- 3. Since entering into the Stipulation, the Board has obtained substantial evidence that the Respondent caused to be issued prescriptions in violation of Paragraph 15 (G) and (H) of the Stipulation.
- 4. On March 28, 2000, a prescription profile was requested from the Controlled Substance Task Force for any prescriptions requested or issued by Respondent from January 1, 1999 to the present. The results of the inquiry indicates no prescriptions were issued in the name of Respondent during this period. On March 28, 2000, a prescription profile was requested from the Controlled Substance Task Force regarding Dr. Ransdell for the periods of May 29, 1999 to June 14, 1999, November 3, 1999 to November 15, 1999, and January 1, 2000 to January 17, 2000.
- 5. As a result, an investigation was conducted wherein it was found that at the direction of Respondent, prescriptions for controlled substances were issued for patients as follows:

PATIENT:	CONTROLLED SUBSTANCE	DATE
Patient "A" Patient "B"	Lorcet	06/03/99
Patient "B"	APA/Hydrocodone Bitartrate	06/08/99
Patient "C"	APA/Hydrocodone Bitartrate	06/14/99
Patient "D"	APA/Hydrocodone Bitartrate	01/07/00
Patient "E"	Hydrocodone/IBUPRO	01/09/00
Patient "D" Patient "E" Patient "F"	Diazepam	01/11/00
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Patient "G"	APA/Hydrocodone Bitartrate	01/12/00
Patient "H"	APA/Hydrocodone Bitartrate	01/18/00
Patient "G" Patient "H" Patient "I"	APA/Hydrocodone Bitartrate	01/20/00

Respondent has been informed of the names which correspond to the patients identified as A through I.

- 6. On March 28, 2000, the dental records for the patients identified in Paragraph 4 above, were reviewed by the Informal Hearing Officer. Based upon that review the Informal Hearing Officer finds that Respondent caused to be issued controlled substances to the patients identified in Paragraph 4, above, in violation of Paragraph 15 (G) and (H) of the Stipulation entered into with the Board on May 9, 1997.
- 7. The method Respondent used to cause prescriptions to be issued to the patients listed in Paragraph 4 was as follows:

Currently Respondent shares business space with Nevada licensee, Tracy Ransdell, D.D.S. From May 29, 1999 through June 14, 1999, Dr. Ransdell was vacationing outside the geographical jurisdiction of the United States. From January 1, 2000 until January 17, 2000, Dr. Ransdell was vacationing was outside the geographical Jurisdiction of the United States. During the time frames identified above, unbeknownst to Dr. Ransdell, Respondent caused prescriptions to be issued to the patients identified in Paragraph 4 by ordering those prescriptions pursuant to the DEA and Nevada prescription license of Dr. Ransdell.

8. The Informal Hearing Officer having reviewed the prescriptions issued to patients
A through I, identified in Paragraph 4, is of the opinion these prescriptions were related to a valid
dental treatment. After conducting the preliminary investigation, the Informal Hearing Officer

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caused the Board's Executive Director to issue notice to the Respondent requiring him to submit to a hair, urine, and blood sample for illegal and non-prescribed prescription drugs on March 28, 2000. Thereafter, on March 29, 2000, Respondent presented himself for a hair, urine, and blood sample for illegal and non-prescribed prescription drugs. The results of the test were negative for all illegal and non-prescribed prescription drugs.

- 9. Based upon the limited investigation conducted to date, Respondent admits he violated Paragraph 15 (G) and (H) of the Stipulation entered into with the Board on May 9, 1997 when Respondent caused to be issued controlled substances to patients A through I identified in Paragraph 4 of this Amended Stipulation.
- 10. Due to Respondent's admitted violation of the Stipulation entered into with the Board on May 9, 1997, pursuant to NRS 631.350(b), Respondent agrees to the suspension of his license to practice dentistry in the State of Nevada for a period of thirty (30) days. The thirty (30) days suspension shall be effective upon adoption of this Amended Stipulation by the Board. Respondent shall physically deliver his license to the Board's Executive Director, located at 2295-B Renaissance Drive, Las Vegas, Nevada 89119, upon approval of this Amended Stipulation by the Board.
- 11. Due to Respondent's admitted violation of the Stipulation entered into with the Board on May 9, 1997, pursuant to NRS 631.350 (c), Respondent agrees to pay a fine to the Board in the amount of \$1000.00. Said fine shall be due and payable upon adoption of this Amended Stipulation. In the event Respondent fails to pay the fine, Respondent shall cease practicing dentistry in the State of Nevada until such time as the fine has been paid in full.
  - 12. Due to Respondent's admitted violation of the Stipulation entered into with the Board



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on May 9, 1997, Respondent agrees to reimburse the Board the sum of \$2000.00 for the costs of this investigation. Said reimbursement shall be due and payable within sixty (60) days upon adoption of this Amended Stipulation. In the event Respondent fails to reimburse the Board for the costs of this investigation within sixty (60) days of the adoption, Respondent agrees to cease practicing dentistry in the State of Nevada until such time as the reimbursement amount is paid in full.

- 13. Respondent further agrees, in the event the Board has to initiate any legal proceedings to enforce payment of either the fine or the reimbursement amount or is the event the Board has to seek injunctive relief in the event Respondent fails to voluntarily cease practicing dentistry in the State of Nevada, Respondent shall be responsible for legal fees and costs incurred by the Board in any such proceedings.
- Respondent further agrees, in the event the Board's Executive Director has substantial evidence to believe Respondent has either issued or has caused to be issued prescriptions for controlled substances identified as Class II, Class III, Class III, Class IIIN, Class IV, and Class V during the remaining term of his probationary period as set forth in the Stipulation entered into with the Board on May 9, 1997, the Executive Director without any further hearing or action by the Board shall issue an order revoking Respondent's license to practice dentistry in the State of Nevada. Thereafter, Respondent may request a hearing before the Board but during the pendency of the hearing before the Board, Respondent waives any right to seek judicial review to reinstate his privilege to practice dentistry in the State of Nevada pending a final Board hearing.
- 15. To the best of the Informal Hearing Officer's knowledge, Respondent has complied with all of the other provisions of the Stipulation entered into with the Board on May 9, 1997.

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- 16. Respondent further agrees, by entering into this Amended Stipulation, the Board is not waiving any right to enforce any of the other provisions contained in the Stipulation entered into with the Board on May 9, 1997.
- 17. Respondent further agrees all of the other provisions contained in the Stipulation entered into with the Board on May 9, 1997, shall remain in full force and effect.
- 18. Respondent further agrees, pursuant to NRS 631.350 (h), Respondent's practice shall be supervised. This period of supervision shall commence upon adoption of this Amended Stipulation and shall run concurrently with the Stipulation entered into with the Board on May 9, 1997 to ensure Respondent's prescription practices are in compliance with this Amended Stipulation and all other provisions which have been agreed to pursuant to the Stipulation entered into with the Board on May 9, 1997. During the period of supervision, Respondent shall allow either the Informal Hearing Officer and/or the Executive Director of the Board and/or an agent appointed by the Board to inspect Respondent's records to assure compliance with this Amended Stipulation and the Stipulation entered into with the Board on May 9, 1997. Such inspection shall be performed, without notice, during normal business hours. Respondent shall maintain, during the supervision period, a list of any prescriptions issued to any of Respondent's patients by any other licensed dentist in the State of Nevada. The list of prescriptions issued to Respondent's patients by any other licensed dentist in the State of Nevada shall include the following:
  - a. patient's name;
  - b. date of issuance;
  - c. name of dentist who issued prescription;
  - d. units and amount of controlled substance issued;
    e. reason for issuing the controlled substance.

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Respondent's failure to accurately maintain the list of prescriptions issued to his patients by any other licensed dentist in the State of Nevada shall be deemed unprofessional conduct and in violation of this Amended Stipulation and shall be considered by the Board in determining whether or not to revoke Respondent's license to practice dentistry in the State of Nevada.

#### CONSENT

- 19. Respondent has read all of the provisions contained in this Stipulation and agrees with them in their entirety.
- 20. Respondent is aware by entering into this Stipulation he is waiving certain valuable due process rights contained in, but not limited to, NRS 631, NAC 631, NRS 233B and NAC 233B.
- 21. Respondent expressly waives any right to challenge the Board for bias in deciding whether or not to adopt this Stipulation in the event this matter was to proceed to a full Board hearing.
- 22. Respondent acknowledges he has read the Stipulation. Respondent acknowledges he has been advised he has the right to have this matter reviewed by independent counsel and he has had ample opportunity to seek independent counsel. Having been advised of his right to independent counsel, as well as had the opportunity to seek independent counsel, Respondent hereby acknowledges by his own free will, he is consenting to the Stipulation without independent counsel.
- 23. Respondent acknowledges he is consenting to this Stipulation voluntarily, without coercion or duress and in the exercise of his own free will.
- 24. Respondent agrees in the event the Board adopts this Stipulation he hereby waives any and all rights to seek judicial review or otherwise to challenge or contest the validity of the

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provisions contained in the Stipulation.

- 1	25. This Supulation will be considered by the Board in an open meeting. It is understood
3	and stipulated the Board is free to accept or reject the Stipulation and, if the Stipulation is rejected
5.	by the Board, further disciplinary action may be implemented. This Stipulation will only become
6	effective when the Board has approved the same in an open meeting. Should the Board adopt this
7	Stipulation, such adoption shall be considered a final disposition of a contested case.
8	DATED this 10 day of may 2000.
9	C. Valtallas
10	DUFF WASTER, D.D.S.
11	Respondent
12	SUBSCRIBED and SWORN to before me this Will day of Mark , 2000.
13	this 10 day of Mary 2000.
14	NOTARY PUBLIC in and for said County
15	and State
16	APPROVED AS TO FORM & GONTENT APPROVED AS TO FORM & CONTENT
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18	JOHN A. HUNT, ESQUIRE DENNIS J. ARCH, D.D.S.  Complainant's attorney Disciplinary Screening Office/Informal Hearing
19	Complainant's attorney  Disciplinary Screening Office Informat Rearing  Officer
20	The foregoing Stipulation was approved/disapproved by a vote of the Nevada State Board
21	of Dental Examiners at a properly noticed meeting.
22	DATED this Laday of JUNE, 2000.
23	NEVADASTATE BOARD OF DENTAL EXAMINERS
24	//Whi
25	A. TED TWESME, D.D.S.

President

# EXHIBIT "3"

APL Group Fax Report

Dage 2 of 3



ASSOCIATED PATHOLOGISTS LABORATORIES

Facsimile Copy-

4210 Bumham Avenue, Sune 250 Laz Vegas, NV 69119 (702) 733-7865

PATIENT KASTER, DUFF W PHONET

3486

NV STATE BRD OF DENTAL EXMNRS. REFERRED BY ACCESSION # 01301314

AGE/SEX COLLECTED

99Y 05/15/20C1 12:50MED. RECORD# 2295-B RENAISSANCE DR.

RECEIVED

CHART# 05/15/2001 22:18

828704

3500442921

TOX NO.

010142334 XX

530-64-3771 S.S.#

LAS VEGAS, NV 89119

TOXICOLOGY REPORT

TEST NAME - SPECIMEN

(010142335)

PROFILE \$00 - URINE

Reason: Random

Negative RISULTS: Amphetamines Negative Barbiturates Cocaine Metab (Benzoylecgonine) Negative Opiates Negative Phencyclidine (PCP) Negative THC Carboxylis Acid (Harijuana) Negativa Alcohol (Ethanol) Negative Nitrite Adulteration Negative Chromium Adultaration Regative

This specimen was someened by enzyma immunoassay (except for alcohol, which is acreened by enzymatic assay). Positives were confirmed by gas chromatography-mass spectrometry (GC/MS) (except for alcohol, which is confirmed by gas obmonatography) at the following screening/confirmation cutoffs:

Drug	Screen/Conflrm
	****
Amphetamines	500/500 ng/mL
Barbiturates	1m/pn 006/002
Cocaine Metabolite	100/150 ng/mL
Delta-9-THC COOH	50/15 ng/ml
Opiates	300/300 ng/mL
Phencyclidina (PCP)	25/25 ng/mL
Picobal (Ethanal)	0.02/3.02 cml

In addition to the above, unine samples are screened and confirmed for the presence of common adulterants.

ASSOCIATED PATHOLOGISTS LABORATORIES

Facaimile Copy

4230 Bumners Avenue, Suite 250 Les Veges, NV 69119 (702) 733-7866

PATIENT KASTER, DUFF W

PHONET

REFERRED BY NV STATE BRD OF DEUTAL EXMNRS.

ACCESSION # 01301314 AGE/SEX 99Y 05/15/2001 12:5MED. RECORD # 3500442921

2295-B RENAISSANCE DR.

COLLECTED RECEIVED

05/15/20C1 22:18

CHART# 828704 LAS VEGAS, NV 89119

TOX NO.

010142334 XH S.S.# 530-64-3771

TONGOLOGY REPORT

TEST NAME - SPECIMEN

(010142334)

**民民主法宗立二中国 37年以关王武大兵法司马**华 PROFILE 870 - HAIR

Reason: Random

Amphetamines (Group) Cocaine/Matabolite(s) .... Opiates (Group)

-- POSITIVE --Nagative Negazive

Phancyclidine (PCF)

Negative

Cannabinoids (Marijuana)

Negative

\*FINDINGS: - HTMA (Ecstasy) IDENTIFIED

This specimen was acceeded by immunoassay (IA). Positives were confirmed by gas chromatography-mass spectrometry (CC/MS) or GC/MS/MS at the following screening/confirmation cutoffs:

Drug	ವರ್ಷಕ್ರಿಗೆ ನಿರಾಧಿಸಿಸುವ ನಿರಾಧಿಸಿಸುವ ನಿರ್ವಹಿಸುವ ನಿರ್ವಹಿಸುವ ನಿರ್ವಹಿಸುವ ನಿರ್ವಹಿಸುವ ನಿರ್ವಹಿಸುವ ನಿರ್ವಹಿಸುವ ನಿರ್ವಹಿಸುವ	
Methamphatamine/Amphatamina/MDMA	300/300 ·pg/mg	
Cocaine/Matabolite,	100/300 pg/mg	
Identified Opiates	500/500 pg/mg	
THC	5/\$ pg/mg	
THC-COOH	/0.1 pg/mg	
Phencyclidine (PCP)	300/300 pg/mg	

If a drug was reported negative, it means that either no drug was detected, or if a drug was detected, it was present at a concentration less than the laboratory's established cutoff level.

### UMERICAN MEDICAL LABORATORIES, INC. \*

4230 Burnham Ave. Lae Vegae, Nevada 89119 (792) 733-3790 • (800) 433-2750 Www.aml.com

James A. Bourland, Ph.D. Technical Director, Toxic

CAP No. 89109-004-011

PATIENT KASTER; DUFF W AML ROUTE PHONET REFERRED BY MY STATE BRD OF DENTAL EXHAR AGE/SEX ACCESSION NO. • 51 ATTN: WILLIAM BUSCH D.D.S. 01531766 COLLECTED 04/24/2002 13:40 MED. RECORD NO. 4500343471 2275-8 RENAISSANCE DR ACCESSIONED 04/24/2002 20142 CHART NO. 03557875 📆 : LAS VEGAS, NV 89119 XCCLCGY HO : 020116889 XM SS NO. 530-64-3771

TEST NAME - SPECIMEN (020116888)

PROFILE 800 - URINE

Reason: Randon

RESULTS: Amphetamines Negative Barbiturates Negative Cocaine Netab (Benzoulecgonine) Negative Opiates Negative Phencyclidine (PCP) Regative THC Carboxylic Acid (Marijuana) Negative Alcohol (Ethanol) Negative Nitrite Adulteration Negative Chromium Adulteration Negative

This specimen was screened by enzyme innunoassay (except for alcohowhich is screened by enzymatic assay). Positives were confirmed by gas chromatography-mass spectrometry (GC/MS) (except for alcohol, which is confirmed by gas chromatography) at the following acreening/confirmation cutoffs:

Drug	Screen/Confirm	Screen/Confirm	
Amphetamines Barbiturates Cocaine Metabolite	500/500 ng/ni 500/500 ng/ni	-	
Delta-9-THC COOH Opiates	300/130 ng/ml 50/13 ng/ml 300/300 ng/ml		
Phencyclidine (PCP) Alcohol (Ethanol)	300/300 ng/mL 25/25 ng/mL 0.02/0.02 gm%		

In addition to the above, urine samples are screened and confirmed for the presence of common adulterants.

#### american medical laboratories, inc. •

4250 Eurnham Ave. Las Vegas, Neveda 89119 (702) 733-3790 • (800) 433-2750 WWW.Amlcom

James A. Bourland, Ph.D Technical Director, Toxic

CAP No. 85109-004-011

PATIENT	KASTER, DUFF W		MA ROUTE PHONET
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S. A. B. W.	TEST NAME - SE	ECTHEN BE	LOGYREAM

PROFILE 870 - HAIR

Reason: Randon

RESULTS: Amphetamines (Group) Cocaina/Netabolite(s) Opiates (Group) Phencyclidine (PCP) Cannabinoids (Marijuana)

\*\*POSITIVE\*\* Negative Negative Negative Negative

#FINDINGS: MDMA (Ecstasy) IDENTIFIED

This specimen was screened by immunoassay (IA). Positives were confirmed by gas chromatography-mass spectrometry (GC/MS) or GC/MB/MB at the following screening/confirmation cutoffs:

Drug	Screen/Confire
Hethanphetamine/Amphetamine/NDMA Gocaine/Hetabolite	300/300 pg/ag
and the second second	300/300 pg/ng 500/300 pg/ng
THC	/5 pg/ng
Phencyclidine (PCP)	1.0/0.1 pg/mg
	300/300 pg/ag

If a drug was reported negative, it means that either no drug was detected, or if a drug was detected, it was present at a concentration less than the laboratory's established cutoff level.

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# EXHIBIT "4"

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Case No. 01-329

## STATE OF NEVADA

# BEFORE THE BOARD OF DENTAL EXAMINERS OF NEVADA

NEVADA STATE BOARD O DENTAL EXAMINERS,	F	)	
	Complainant,	) }	
-vs- DUFF W. KASTER, D.D.S.		) ) )	SECOND AMENDED STIPULATION
F	Respondent.	)	

IT IS HEREBY STIPULATED AND AGREED between DUFF W. KASTER, D.D.S., (hereinafter "Respondent"), in Proper Person, and the NEVADA STATE BOARD OF DENTAL EXAMINERS (hereinafter "Board") by and through its counsel, JOHN A. HUNT, ESQ. of the law firm of RALEIGH, HUNT & McGARRY, P.C. as follows:

- 1. On May 9, 1997, at a properly noticed meeting, Respondent entered into a Stipulation with the Nevada State Board of Dental Examiners. See Exhibit "1".
- 2. On June 1, 2000, at a properly noticed meeting, Respondent entered into an Amended Stipulation with the Nevada State Board of Dental Examiners. This Amended Stipulation imposed additional conditions upon Respondent's license, in addition to the conditions set forth in the original Stipulation dated May 9, 1997. See Exhibit "2".
  - 3. Paragraph 15 (B) of the Stipulation dated May 9, 1997 states:
    - B. Respondent agrees to submit to random sampling of urine and/or

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bodily fluids, and/or hair for a period of four (4) years when so ordered by the Executive Director of the Board. In addition to the random drug tests which may be ordered during the four (4) year period, during the one year suspension, Respondent will submit to urinalysis testing on the first day of each month at Associated Pathology Laboratories (APL). Should the first day of the month fall on a Saturday or Sunday, Respondent will submit the monthly sample on the first business day of the month. any test or analysis of bodily fluids taken shall be conducted in such manner that the testing agency shall preserve enough of the sample to allow for subsequent independent confirmatory tests. The results of any tests or analysis of bodily fluids shall be reported to the Board. Respondent shall be responsible for all costs incurred for the analysis of urine, and/or bodily fluids, and/or hair.

- 4. On May 9, 2001 Respondent was sent notice to present himself to Associated Pathologists Laboratories (APL) for blood, hair and urine testing.
  - 5. On May 15, 2001 Respondent presented himself to APL for testing.
- 6. The results of the testing indicates Respondent tested "positive" for Amphetamines (Group) in violation of the original Stipulation dated May 9, 1997 and the Amended Stipulation dated June 1, 2000. See Exhibit "3".
- 7. As a result of testing positive for Amphetamines, Paragraph 15(C) of the Stipulation entered into on May 9, 1997 states:
  - C. In the event any test or analysis of bodily fluids taken from Respondent pursuant to the terms of this Stipulation is positive, indicating the presence of controlled substances (not pursuant to a valid prescription). Respondent will be notified and allowed to arrange for a subsequent independent confirmatory test. Should the Respondent test positive, Respondent shall voluntarily cease practicing dentistry in the State of Nevada until such time as a full Board hearing is held to evaluate the positive findings and subsequent independent confirmatory tests.
- 8. On May 21, 2001, Respondent was served with the Order requiring Respondent to voluntarily cease practicing dentistry in the State of Nevada until such time as a full Board hearing

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is held to evaluate the positive findings and/or any subsequent independent confirmatory test. See Exhibit "4".

- 9. When Respondent was served with the Order of Respondent's obligation to voluntarily cease practicing dentistry in the State of Nevada, Respondent indicated, if possible, he would like to negotiate a settlement on his own behalf. In response, Respondent was informed by Board counsel, John A. Hunt, that it was not in his best interest to proceed in proper person. Respondent was further advised he had a right to have this matter reviewed by independent counsel and to have it reviewed by independent counsel would be in Respondent's best interest. Having been advised of his right to independent counsel, as well as having had an opportunity to seek independent counsel, Respondent, of his own free will, has chosen to represent himself in proper person in this matter in an attempt to resolve any differences he may have with the Board.
- 10. Respondent admits to testing positive for Amphetamines (Group) Ecstasy. Respondent states although he admits to testing positive for the drug identified in Exhibit "3", he believes he ingested this drug under the misconception he was ingesting a Viagra like substance.
- 11. Other than the drugs identified in Exhibit "3", it is Respondent's belief he has taken no other illegal or unprescribed drug.
- 12. As a mitigating circumstance, Disciplinary Screening Officer, Dennis J. Arch, D.D.S., wants the Board to be aware of the fact that during the last four (4) years Respondent has never tested positive for any other illegal or unprescribed drugs during previously scheduled and/or random testing previously initiated.

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- 13. Based upon Respondent's admission Respondent violated the Stipulation of May 9, 1997 and Amended Stipulation of June 1, 2000, Respondent agrees to the suspension of his license to practice dentistry in the State of Nevada for a period of sixty (60) days. Should the Board adopt this Second Amended Stipulation the effective date for the sixty (60) days suspension would commence on May 21, 2001 which is the date Respondent voluntarily cease to practice dentistry in the State of Nevada. See Exhibit "4".
- 14. Respondent shall physically deliver his license to the Board's Executive Director located at 2295 B Renaissance Drive, Las Vegas, Nevada, upon approval of the Second Amended Stipulation by the Board.
- 15. In addition to complying with the suspension agreed upon in Paragraph 13, Respondent also agrees to abide by the following conditions.
  - a. Pursuant to NRS 631.350(g), Respondent agrees to submit to random sampling of urine, hair and/or bodily fluids for an additional three (3) years when so ordered by the Executive Director of the Board effective upon adoption of this Second Amended Stipulation. Any test or analysis of bodily fluids taken shall be conducted in such a manner that the testing agency shall preserve enough of the sample to allow for subsequent independent confirmatory tests. The results of any tests or analysis of bodily fluids shall be reported to the Board. Respondent shall be responsible for all costs incurred for the analysis of urine, hair and/or bodily fluids.
  - b. In the event any test or analysis of bodily fluids taken from Respondent, pursuant to the terms of this Second Amended Stipulation, is positive, indicating the presence of controlled substances (not pursuant to a valid prescription), Respondent will be notified. Should the Respondent test positive, Respondent license to practice dentistry in the State of Nevada shall automatically be revoked without any further action of the Board other than the issuance of an appropriate Order of Revocation by the Board's Executive Director.

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- c. Respondent authorizes and shall execute any consent necessary which authorizes reports generated by the urinalysis and/or bodily fluids, and/or hair testing and any substance abuse evaluation reports, and any status reports rendered by individuals treating Respondent to be furnished to the Executive Director of the Board. In the event of a violation in the form of a confirmed, positive test result, all reports previously submitted to the Board will become public record and be available for use by the Board in connection with any subsequent action of the Board.
- d. Should Respondent fail to present himself for random drug testing, when directed by the Executive Director of the Board, within twenty-four (24) hours of said direction by the Executive Director, Respondent's license to practice dentistry in the State of Nevada will be revoked indefinitely without any other action by the Board other than the issuance of an appropriate Order of Revocation by the Board's Executive Director.
- e. Respondent agrees to participate in a professional support group for a drug and alcohol abuse for a period of one (1) year. This outpatient counseling and monitoring must be approved by the Executive Director of the Board prior to attendance by Respondent. Respondent shall execute appropriate documents which authorizes the outpatient counseling and monitoring entity to submit monthly reports to the Board's Executive Director. Failure of the Respondent to participate in the outpatient counseling and monitoring shall be grounds for revocation of his license to practice dentistry in the State of Nevada. Respondent shall be responsible for all costs associated with the outpatient counseling and monitoring.
- f. Due to Respondent's admission of violation of the original Stipulation entered into with the Board on May 9, 1997, Respondent agrees to surrender his Registration Certificate No. BK 065578 with the United States Department of Justice, D.E.A., to prescribe controlled substances for Class II, Class IIIN, Class IIIN, Class IV, and Class V for an additional three (3) years effective upon the Board's adoption of this Second Amended Stipulation. In the event Respondent complies with all the terms of this Second Amended Stipulation, at the end of the additional three (3) year period Respondent may apply to the D.E.A. to have his prescription privileges reinstated.
- g. Due to Respondent's admission of violation of the original Stipulation entered into with the Board on May 9, 1997, Respondent agrees to surrender his license No. CB 4016 with the Nevada State Board of Pharmacy to prescribe controlled substances for Class II, Class IIN, Class

III, Class IIIN, Class IV, and Class V for an additional three (3) years effective upon the Board's adoption of this Second Amended Stipulation. In the event Respondent complies with all the terms of this Second Amended Stipulation, at the end of the additional three (3) year period Respondent may apply to have his prescription writing privileges with the State Board of Pharmacy reinstated.

h. Respondent agrees pursuant to NRS 631.350(d) and (h), Respondent shall be placed on probation for a period of three (3) years with Respondent's practice being supervised and monitored effective upon the Board's adoption of this Second Amended Stipulation. Respondent agrees that during the three (3) year probation/supervisory period, Respondent shall allow either the Informal Hearing Office and/or the Executive Director of the Board and/or an agent appointed by the Board's Executive Director to inspect Respondent's records to ensure compliance with this Second Amended Stipulation. Such inspections shall be performed, without notice, during normal business hours. Respondent agrees such inspection shall be performed without notice and during normal business hours. Respondent further agrees during the period of probation and supervision, Respondent shall maintain a list of any prescriptions issued to any of Respondent's patients by any other licensed dentist in the State of Nevada. The list of prescriptions issued to Respondent's patients by any other licensed dentist in the State of Nevada shall include the following:

- a. patient's name;
- b. date of issuance;
- c. name of dentist who issued prescription;
- d. units and amount of controlled substance issued;
- e. reason for issuing the controlled substance.

Respondent's failure to accurately maintain the list of prescriptions issued to his patients by any other licensed dentist in the State of Nevada shall be deemed unprofessional conduct and in violation of this Second Amended Stipulation and shall be considered by the Board in determining whether or not to revoke Respondent's license to practice dentistry in the State of Nevada.

i. Respondent agrees to reimburse the Board the sum of \$2500.00 for the costs of this investigation. This amount is due and payable in two installments. The first payments shall be due thirty days after the adoption of this Second Amended Stipulation by the Board. The second payments shall be due sixty days after the first payment. In the event Respondent fails

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to pay the agreed upon amount, Respondent agrees his license to practice dentistry in the State of Nevada shall be automatically suspended without any further action of the Board other than issuance of an order by the Executive Director. Upon payment of any default, Respondent's license to practice dentistry in the State of Nevada will be automatically reinstated.

Assuming this Second Amended Stipulation is adopted by the Board, Respondent shall have eight (8) business days (Monday through Friday) immediately following the adoption of the Second Amended Stipulation by the Board to finalize and/or make subsequent treatment arrangements for his present patients. The eight business days Respondent will be allowed to finalize and/or make subsequent treatment arrangements for his present patients shall not be credited towards Respondent's obligation to serve the sixty (60) day suspension. Respondent shall also be obligated to inform his patients of his sixty day suspension and further advise patients how they may retrieve their records. Respondent agrees to make available all patient records for inspection and copying and/or transfer to another dentist or to the Board if so requested by a patient. During the eight (8) business days (Monday through Friday) in which Respondent shall have the opportunity to complete and/or transfer his patients for completion of treatment, Respondent agrees to have his practice monitored by a representative and/or agent of the Board including, but not limited to, the Disciplinary Screening Officer, Board counsel or Executive Director of the Board. All costs associated with monitoring during the eight (8) business days in which Respondent has the opportunity to complete and/or make arrangements for subsequent treatment of his patients shall be the responsibility of Respondent.

#### CONSENT

- 16. Respondent has read all of the provisions contained in this Stipulation and agrees with them in their entirety.
- 17. Respondent is aware by entering into this Stipulation he is waiving certain valuable due process rights contained in, but not limited to, NRS 631, NAC 631, NRS 233B and NAC 233B.
  - 18. Respondent expressly waives any right to challenge the Board for bias in deciding

- 19. Respondent acknowledges he has read the Stipulation. Respondent acknowledges he has been advised he has the right to have this matter reviewed by independent counsel and he has had ample opportunity to seek independent counsel. Respondent has been specifically informed he should seek independent counsel and advice of independent counsel would be in Respondent's best interest. Having been advised of his right to independent counsel, as well as had the opportunity to seek independent counsel, Respondent hereby acknowledge, by his own free will, he is consenting to the Stipulation without independent counsel.
- 20. Respondent acknowledges he is consenting to this Stipulation voluntarily, without coercion or duress and in the exercise of his own free will.
- 21. Respondent acknowledges no other promises in reference to the provisions contained in this Stipulation have been made by any agent, employee, counsel or any person affiliated with the Nevada State Board of Dental Examiners.
- 22. Respondent acknowledges the provisions in this Stipulation contain the entire agreement between Respondent and the Board and the provisions of this Stipulation can only be modified, in writing, with Board approval.
- 23. Respondent agrees in the event the Board adopts this Stipulation he hereby waives any and all rights to seek judicial review or otherwise to challenge or contest the validity of the provisions contained in the Stipulation.
  - 24. This Stipulation will be considered by the Board in an open meeting. It is

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understood and stipulated the Board is free to accept or reject the Stipulation and, if the Stipulation π

2	is rejected by the Board, fighter disciplinary action may be implemented. This Stipulation
3	only become effective when the Board has approved the same in an open meeting, Shou
4 S	Board adopt this Supulation, such adoption shall be considered a final disposition of a con
6	case and will become a public recent.
7	DATED this cay of, 2001.
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9	DIRECT ASTER D.D.S. an open in the second
10	Respondent
11	SUBSCRIBED and SWORN to before me this day of
i 3.	M. Selicia Fuelan Manuel Policia Fuelan Policia Fue
14	NOTARY PUBLIC in and for said County and State  27-4756-1 Ly April Suprac Supractor 30, 2001
15	APPROVED AS TO FORM & CONCENT SEPROVED ASTO FORM & CON
16	The Aller Environment
17	JOHN A. HUNT, ESCHERS DENNIS L. ARCH, D.D.S.  Complainant's attorner Disciplinary Screening Office/In
62	Complainant's attorney Disciplinary Screening Officer  Hearing Officer
19	
20	The foregoing Stipulation was approved disapproved by a vote of the Nevada State
21	of Dental Examiners at a property noticed meeting.
22	DATED this Hosey of Joine 2001.
23	NE' ADA STATE BOOKD OF DENTAL EXAMI
24	3-24h
25	LARRY L. CHAMPAGNE, D.D.S.
25	President

Case No. 00-329

#### STATE OF NEVADA

### BEFORE THE BOARD OF DENTAL EXAMINERS OF NEVADA

NEVADA STATE BOARD OF DENTAL EXAMINERS,  Complainant,	) ) ) ) AMENDED STIPULATION	ſ
vs.	)	•
DUFF KASTER, D.D.S.,	ý	
	)	
Respondent.	)	

IT IS HEREBY STIPULATED AND AGREED by and between DUFF KASTER, D.D.S. (hereinafter "Respondent"), in Proper Person, and THE NEVADA STATE BOARD OF DENTAL EXAMINERS (hereinafter "Board") by and through its counsel JOHN A. HUNT, ESQ., of the law firm of RALEIGH, HUNT & McGARRY, P.C., as follows:

- 1. On May 9, 1997, at a properly noticed meeting, Respondent entered into a Stipulation with the Nevada State Board of Dental Examiners. Attached as Exhibit "1".
  - 2. Paragraph 15 (G) and (H) of the Stipulation states:
    - G. Due to Respondent's admission of violations of NRS 631 and NAC 631 contained in Paragraphs 10 through 15, Respondent agrees to surrender his Registration Certificate No. BK 065578 with the United States Department of Justice, D.E.A., to prescribe controlled substances for Class II, Class III, Class IIIN, Class IV, and Class V for a period of five (5) years. In the event Respondent complies with all the terms of this

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Stipulation, at the end of the five (5) year period Respondent may apply to the D.E.A. to have his prescription privileges reinstated.

- H. Due to Respondent's admission of violations of NRS 631 and NAC 631 contained in Paragraphs 10 through 15, Respondent agrees to surrender his license No. CB 4016 with the Nevada State Board of Pharmacy to prescribe controlled substances for Class II, Class IIN, Class III, Class III, Class IV, and Class V for a period of five (5) years. In the event Respondent complies with all the terms of this Stipulation, at the end of the five year period Respondent may apply to have his prescription writing privileges with the State Board of Pharmacy reinstated.
- 3. Since entering into the Stipulation, the Board has obtained substantial evidence that the Respondent caused to be issued prescriptions in violation of Paragraph 15 (G) and (H) of the Stipulation.
- 4. On March 28, 2000, a prescription profile was requested from the Controlled Substance Task Force for any prescriptions requested or issued by Respondent from January 1, 1999 to the present. The results of the inquiry indicates no prescriptions were issued in the name of Respondent during this period. On March 28, 2000, a prescription profile was requested from the Controlled Substance Task Force regarding Dr. Ransdell for the periods of May 29, 1999 to June 14, 1999, November 3, 1999 to November 15, 1999, and January 1, 2000 to January 17, 2000.
- 5. As a result, an investigation was conducted wherein it was found that at the direction of Respondent, prescriptions for controlled substances were issued for patients as follows:

PATIENT:	CONTROLLED SUBSTANCE	<u>DATE</u>
Patient "A" Patient "B" Patient "C" Patient "D" Patient "E" Patient "F"	Lorcet APA/Hydrocodone Bitartrate APA/Hydrocodone Bitartrate APA/Hydrocodone Bitartrate APA/Hydrocodone/IBUPRO Diazepam	06/03/99 06/08/99 06/14/99 01/07/00 01/09/00 01/11/00

Patient "G"	APA/Hydrocodone Bitartrate	01/12/00
Patient "H"	APA/Hydrocodone Bitartrate	01/18/00
Patient "I"	APA/Hydrocodone Bitartrate	01/20/00

Respondent has been informed of the names which correspond to the patients identified as A through I.

- 6. On March 28, 2000, the dental records for the patients identified in Paragraph 4 above, were reviewed by the Informal Hearing Officer. Based upon that review the Informal Hearing Officer finds that Respondent caused to be issued controlled substances to the patients identified in Paragraph 4, above, in violation of Paragraph 15 (G) and (H) of the Stipulation entered into with the Board on May 9, 1997.
- 7. The method Respondent used to cause prescriptions to be issued to the patients listed in Paragraph 4 was as follows:

Currently Respondent shares business space with Nevada licensee, Tracy Ransdell, D.D.S. From May 29, 1999 through June 14, 1999, Dr. Ransdell was vacationing outside the geographical jurisdiction of the United States. From January 1, 2000 until January 17, 2000, Dr. Ransdell was vacationing was outside the geographical Jurisdiction of the United States. During the time frames identified above, unbeknownst to Dr. Ransdell, Respondent caused prescriptions to be issued to the patients identified in Paragraph 4 by ordering those prescriptions pursuant to the DEA and Nevada prescription license of Dr. Ransdell.

8. The Informal Hearing Officer having reviewed the prescriptions issued to patients
A through I, identified in Paragraph 4, is of the opinion these prescriptions were related to a valid
dental treatment. After conducting the preliminary investigation, the Informal Hearing Officer

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caused the Board's Executive Director to issue notice to the Respondent requiring him to submit to a hair, urine, and blood sample for illegal and non-prescribed prescription drugs on March 28, 2000. Thereafter, on March 29, 2000, Respondent presented himself for a hair, urine, and blood sample for illegal and non-prescribed prescription drugs. The results of the test were negative for all illegal and non-prescribed prescription drugs.

- 9. Based upon the limited investigation conducted to date, Respondent admits he violated Paragraph 15 (G) and (H) of the Stipulation entered into with the Board on May 9, 1997 when Respondent caused to be issued controlled substances to patients A through I identified in Paragraph 4 of this Amended Stipulation.
- Due to Respondent's admitted violation of the Stipulation entered into with the Board 10. on May 9, 1997, pursuant to NRS 631.350(b), Respondent agrees to the suspension of his license to practice dentistry in the State of Nevada for a period of thirty (30) days. The thirty (30) days suspension shall be effective upon adoption of this Amended Stipulation by the Board. Respondent shall physically deliver his license to the Board's Executive Director, located at 2295-B Renaissance Drive, Las Vegas, Nevada 89119, upon approval of this Amended Stipulation by the Board.
- 11. Due to Respondent's admitted violation of the Stipulation entered into with the Board on May 9, 1997, pursuant to NRS 631.350 (c), Respondent agrees to pay a fine to the Board in the amount of \$1000.00. Said fine shall be due and payable upon adoption of this Amended Stipulation. In the event Respondent fails to pay the fine, Respondent shall cease practicing dentistry in the State of Nevada until such time as the fine has been paid in full.
  - Due to Respondent's admitted violation of the Stipulation entered into with the Board 12.



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on May 9, 1997, Respondent agrees to reimburse the Board the sum of \$2000.00 for the costs of this investigation. Said reimbursement shall be due and payable within sixty (60) days upon adoption of this Amended Stipulation. In the event Respondent fails to reimburse the Board for the costs of this investigation within sixty (60) days of the adoption, Respondent agrees to cease practicing dentistry in the State of Nevada until such time as the reimbursement amount is paid in full.

- 13. Respondent further agrees, in the event the Board has to initiate any legal proceedings to enforce payment of either the fine or the reimbursement amount or is the event the Board has to seek injunctive relief in the event Respondent fails to voluntarily cease practicing dentistry in the State of Nevada, Respondent shall be responsible for legal fees and costs incurred by the Board in any such proceedings.
- Respondent further agrees, in the event the Board's Executive Director has substantial 14. evidence to believe Respondent has either issued or has caused to be issued prescriptions for controlled substances identified as Class II, Class IIN, Class IIIN, Class IV, and Class V during the remaining term of his probationary period as set forth in the Stipulation entered into with the Board on May 9, 1997, the Executive Director without any further hearing or action by the Board shall issue an order revoking Respondent's license to practice dentistry in the State of Nevada. Thereafter, Respondent may request a hearing before the Board but during the pendency of the hearing before the Board, Respondent waives any right to seek judicial review to reinstate his privilege to practice dentistry in the State of Nevada pending a final Board hearing.
- 15. To the best of the Informal Hearing Officer's knowledge, Respondent has complied with all of the other provisions of the Stipulation entered into with the Board on May 9, 1997.

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- 16. Respondent further agrees, by entering into this Amended Stipulation, the Board is not waiving any right to enforce any of the other provisions contained in the Stipulation entered into with the Board on May 9, 1997.
- 17. Respondent further agrees all of the other provisions contained in the Stipulation entered into with the Board on May 9, 1997, shall remain in full force and effect.
- 18. Respondent further agrees, pursuant to NRS 631.350 (h), Respondent's practice shall be supervised. This period of supervision shall commence upon adoption of this Amended Stipulation and shall run concurrently with the Stipulation entered into with the Board on May 9, 1997 to ensure Respondent's prescription practices are in compliance with this Amended Stipulation and all other provisions which have been agreed to pursuant to the Stipulation entered into with the Board on May 9, 1997. During the period of supervision, Respondent shall allow either the Informal Hearing Officer and/or the Executive Director of the Board and/or an agent appointed by the Board to inspect Respondent's records to assure compliance with this Amended Stipulation and the Stipulation entered into with the Board on May 9, 1997. Such inspection shall be performed, without notice, during normal business hours. Respondent shall maintain, during the supervision period, a list of any prescriptions issued to any of Respondent's patients by any other licensed dentist in the State of Nevada. The list of prescriptions issued to Respondent's patients by any other licensed dentist in the State of Nevada shall include the following:
  - a. patient's name;
  - b. date of issuance;
  - c. name of dentist who issued prescription;
  - d. units and amount of controlled substance issued;
    - reason for issuing the controlled substance.

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Respondent's failure to accurately maintain the list of prescriptions issued to his patients by any other licensed dentist in the State of Nevada shall be deemed unprofessional conduct and in violation of this Amended Stipulation and shall be considered by the Board in determining whether or not to revoke Respondent's license to practice dentistry in the State of Nevada.

#### CONSENT

- Respondent has read all of the provisions contained in this Stipulation and agrees with 19. them in their entirety.
- Respondent is aware by entering into this Stipulation he is waiving certain valuable 20. due process rights contained in, but not limited to, NRS 631, NAC 631, NRS 233B and NAC 233B.
- Respondent expressly waives any right to challenge the Board for bias in deciding 21. whether or not to adopt this Stipulation in the event this matter was to proceed to a full Board hearing.
- Respondent acknowledges he has read the Stipulation. Respondent acknowledges 22. he has been advised he has the right to have this matter reviewed by independent counsel and he has had ample opportunity to seek independent counsel. Having been advised of his right to independent counsel, as well as had the opportunity to seek independent counsel, Respondent hereby acknowledges by his own free will, he is consenting to the Stipulation without independent counsel.
- Respondent acknowledges he is consenting to this Stipulation voluntarily, without 23. coercion or duress and in the exercise of his own free will.
- Respondent agrees in the event the Board adopts this Stipulation he hereby waives 24. any and all rights to seek judicial review or otherwise to challenge or contest the validity of the

#### STATE OF NEVADA

## BEFORE THE BOARD OF DENTAL EXAMINERS OF NEVADA

NEVADA STATE BOARD OF DENTAL EXAMINERS,	)
Complainant,	) Case No. 97-37
vs.	ORDER
DUFF W. KASTER, D.D.S.	)
Respondent.	

WHEREAS, on May 17, 2001, the Nevada State Board of Dental Examiners ("Board")

issued an Order suspending the dental license of Duff W. Kaster, D.D.S.

I. On March 6, 1997, Respondent entered into a Temporary Stipulation with the

Board.

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- 2. On May 9, 1997, Respondent entered into a Stipulation with the Board.
- 3. On June 1, 2000, Respondent entered into an Amended Stipulation with the Board.
- 4. Paragraph 15 B of the Stipulation entered into with the Board on May 9, 1997

states:

B. Respondent agrees to submit to random sampling of urine and/or bodily fluids, and/or hair for a period of four (4) years when so ordered by the Executive Director of the Board. In addition to the random drug tests which may be ordered during the four (4) year period, during the one year suspension, Respondent will submit to urinalysis testing on the first day of each month at Associated Pathology Laboratories (APL). Should the first day of the month fall on a Saturday or Sunday, Respondent will submit the monthly sample on the first business day of the month. any test or analysis of bodily fluids taken shall be conducted in such manner that the

testing agency shall preserve enough of the sample to allow for subsequent independent confirmatory tests. The results of any tests or analysis of bodily fluids shall be reported to the Board. Respondent shall be responsible for all costs incurred for the analysis of urine, and/or bodily fluids, and/or hair.

- 5. On May 9, 2001 Respondent was sent notice to present himself to Associated Pathologists Laboratories (APL) for blood, hair and urine testing.
  - 6. On May 15, 2001 Respondent presented himself to APL for testing.
  - .7. The results of the testing indicates Respondent tested "positive" for Amphetamines.
  - 8. Paragraph 15C of the Stipulation entered into with the Board on May 9, 1997 states:
    - C. In the event any test or analysis of bodily fluids taken from Respondent pursuant to the terms of this Stipulation is positive, indicating the presence of controlled substances (not pursuant to a valid prescription), Respondent will be notified and allowed to arrange for a subsequent independent confirmatory test. Should the Respondent test positive, Respondent shall voluntarily cease practicing dentistry in the State of Nevada until such time as a full Board hearing is held to evaluate the positive findings and subsequent independent confirmatory tests.

THEREFORE, it is hereby

ORDERED, ADJUDGED AND DECREED that Duff W. Kaster, D.D.S. shall voluntarily cease practicing dentistry in the State of Nevada until such time as a full Board hearing is held to evaluate the positive findings and any subsequent independent confirmatory tests which Dr. Kaster wishes to have performed.

DATED this 18th day of May, 2001.

Valonne Harmon, Executive Director Nevada State Board of Dental Examiners

STATE OF NEVADA BEFORE THE BOARD OF DENTAL EXAMINERS OF NEVADA YEVADA STATE BOARD OF DENTAL EXAMINERS, Complainant, Case No. 97-37 RECEIPT OF COPY DUFF W. KASTER, D.D.S. Respondent. Receipt of Copy of the Nevada State Board of Dental Examiners Order is hereby acknowledged this 2/ day of May, 2001. 

# STATE OF NEVADA BEFORE THE BOARD OF DENTAL EXAMINERS

IN REGARDS TO:

DUFF W. KASTER, D.D.S.

Case No. 12-02473

CONSENT AGREEMENT & ORDER re: REINSTATEMENT OF LICENSE

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WHEREAS, pursuant to properly noticed meeting conducted by the Nevada State Board of Dental Examiners' (the "Board") on January 24, 2013, pursuant Agenda Item #5(o) the Board considered whether to enter into a Consent Agreement re: Reinstatement of License ("Consent Agreement") to reactivate the retired license of Duff W. Kaster, DDS. After consideration, public comment, motion, the Board unanimously voted, and Ordered that subject to the consent of Dr. Kaster to enter into this Consent Agreement re: Reinstatement of License ("Consent Agreement") pursuant to the following terms and conditions:

1. NAC 631.170 provides, in pertinent part:

3. If a person whose license has been on inactive status for less than 2 years has not maintained an active license or practice outside this State, or if a person's license has been on retired status for less than 2 years, he or she must submit to the Board:

(a) Payment of the appropriate renewal fees;

(b) A written petition for reinstatement that has been signed and notarized;

(c) Proof of his or her completion of an amount of continuing education, prorated as necessary, for the year in which the license is restored to active status; and

(d) A list of his or her employment, if any, during the time the license was on inactive or retired status, before the license may be reinstated.

Page 1 of 10

4. If a person whose license has been on inactive status for 2 years or more has not maintained an active license or practice outside this State, or if a person's license has been on retired status for 2 years or more, he or she must:

(a) Satisfy the requirements set forth in paragraphs (a) to (d), inclusive, of

subsection 3; and

- (b) Pass such additional examinations for licensure as the Board may prescribe, before the license may be reinstated.
- 2. Dr. Kaster stipulates and agrees as follows:
  - A. His Nevada license has been on retired status for two (2) years or more.
  - B. He has not maintained an active license or practice outside of Nevada for two (2) years or more.
- 3. The Fourth Amended Stipulation dated December 8, 2005, and adopted by the Board on

January 25, 2006, in case no. 05-1258, and captioned as Nevada State Board of Dental

Examiners, vs. Duff W. Kaster, D.D.S. provides, in pertinent part, as follows:

- 7. In consideration for granting Respondent's request to have his license to practice dentistry in the State of Nevada placed on inactive status, Respondent acknowledges and agrees to the following:
  - a. The Third Amended Stipulation was adopted by the Board on November 15, 2002. Currently, the Third Amended Stipulation would expire on November 15, 2007, assuming Respondent is in compliance upon the expiration of the Third Amended Stipulation. Respondent agrees in the event he requests that his license to practice dentistry in the State of Nevada be reinstated to active status, Respondent agrees as a condition of reinstatement he would have to comply with the provisions paragraph 24 of the Third Amended Stipulation for an additional three (3) years upon the Board reinstating Respondent license to active status.

Id., at 1:25 to 2:6.

Dr. Kaster shall, pursuant to NRS 631.240, present to the Board a certificate granted by

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26 27 the Joint Commission on National Dental Examinations which contains a notation that he, as the applicant, has passed the National Board Dental Examination with an average score of at least 75.

- 5. Dr. Kaster shall, pursuant to NRS 631.240, successfully pass a clinical examination approved by the Board and the American Board of Dental Examiners; or present to the Board a certificate granted by the Western Regional Examining Board which contains a notation that the applicant has passed, within the 5 years immediately preceding the date of the application, a clinical examination administered by the Western Regional Examining Board.
- 6. Pursuant to NRS 631.240, the Board shall examine Dr. Kaster, as an applicant, in writing on the contents and interpretation of chapter 631 of the Nevada Revised Statutes and the regulations of the Board.
- 7. Dr. Kaster shall, in addition to any and all other applicable fee(s) or cost(s), pay the \$500.00 fee, pursuant to NRS 631.345(1) (reinstatement fee to return a dentist or dental hygienist who is inactive, retired or has a disability to active status).
- 8. Dr. Kaster shall comply with requirements of NAC 631.170, including but not necessarily limited to NAC 631.170(3)(c) which requires the Board be provided proof of his or her completion of an amount of continuing education, prorated as necessary, for the year in which the license is restored to active status.
- 9. As noted above, the Fourth Amended Stipulation provides, in pertinent part, Dr. Kaster stipulated and agreed as a condition of reinstatement he would comply with the provisions contained in paragraph 24 of the Third Amended Stipulation adopted by the Board on November



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15, 2002, in case no. 02-728, and captioned as Nevada State Board of Dental Examiners. vs.:

Duff W. Kaster. D.D.S. for an additional three (3) years upon the Board reinstating Respondent license to active status.

- 10. Upon Dr. Kaster successfully completing the requirements set forth in Paragraphs 4-8 above, and pursuant to the Fourth Amended Stipulation, Dr. Kaster's license shall be reinstated by the Board subject to Respondent being placed on probation for a period of three (3) years from the date of this ORDER pursuant to the following terms and conditions:
  - a. Respondent agrees to enroll in and abide by the rules of the Nevada Dental Wellness Program (the "After-Care Program") for a period of three (3) years from the date of this ORDER. Respondent shall provide an executed copy of the After-Care Program evidencing Respondent enrollment in the program.
  - Respondent agrees pursuant to NRS 631.350(d) and (h), Respondent shall be placed on probation for a period of three (3) years from the date of this ORDER. Respondent agrees during the three (3) year probationary period, Respondent shall allow the Executive Director of the Board and/or an agent appointed by the Board's Executive Director to inspect Respondent's records to ensure compliance with this ORDER. Upon issuance of a DEA and Nevada Controlled substance permits, Respondent shall all agrees to inspections to be performed during normal business hours. All prescriptions issued by Respondent during the probationary period must be in Respondent's handwriting and must have the original signature of Respondent. The prescriptions issued must be done on a form that is in triplicate, scrially numbered, which has been preapproved by the Executive Director. A copy of the prescription must be maintained in the patient's file who has been issued a prescription for controlled substances by Respondent. During the probationary period Respondent shall NOT issue any prescription(s) for more than six (6) units of a controlled substance for each office visit where treatment was rendered. In the event Respondent issues prescriptions using electronic system, Respondent shall maintain a copy of the computer generated prescription and shall sign and date the copy in Respondent's own



handwriting and shall maintain the signed and dated copy in each patient's dental record. Respondent is prohibited from placing telephone prescriptions for controlled substances during the probationary period. In the event of a patient's dental emergency Respondent may phone in a prescription for controlled substances not to exceed six (6) units. Respondent must fax the emergency prescription. Emergency prescriptions for controlled substances must contain Respondent's signature on the fax which must be sent to the pharmacy issuing such prescriptions on the next business day. Respondent shall every six (6) months from the adoption of this Consent Agreement perform a prescription inquiry to the Nevada State Board of Pharmacy and obtain a print out of all controlled substances issued by Respondent during the six month periods. Respondent shall maintain a daily log of prescriptions for controlled substances issued to Respondent's patients and shall include the following:

- a. patient's name;
- b. date of issuance;
- c. units and amount of controlled substance issued;
- d. reason for issuing the controlled substance.

The daily log shall be made available during normal business hours without notice. Failure to maintain and/or provide the daily log upon request by an agent of the Board shall be an admission of unprofessional conduct. Upon receipt of substantial evidence that Respondent has either failed to maintain or has refused to provide the daily log upon request by an agent assigned by the Executive Director: and/or Respondent has refused to allow the agent assigned by the Executive Director observe Respondent rendering treatment to any patient who receives either crown, bridge or, implants treatments; and/or Respondent has refused to provide copies of patient records requested by the agent assigned by the Executive Director. Respondent agrees his license to practice dentistry in the State of Nevada shall be automatically suspended without any further action of the Board other than the issuance? Director. Thereafter, Respondent may Board to reinstate Respondents license. of an Order of Suspension by the Executive Director. request in writing a hearing before the However, prior to the full Board hearing, Respondent waives any right to seek judicial. review, including injunctive relief from either the Nevada Federal District Court or the Nevada State District Court to reinstate his privilege to practice dentistry in the State of Nevada pending a final Board hearing. Respondent shall also be responsible for any costs or attorney's fees incurred in the event the Board has to seek injunctive relief. prevent Respondent from practicing dentistry during the period Respondent's license is automatically suspended.



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c. Following the reinstatement of Respondent's license, Respondent agrees to the following:

- i. Pursuant to NRS 631.350(g), Respondent agrees to submit to random sampling of urine, hair and/or bodily fluids for an additional three (3) years when so ordered by the Executive Director of the Board effective upon execution of the ORDER by the Board's President. This random sampling of urine, hair and/or bodily fluids will be under direct observation. The results of any tests or analysis of bodily fluids shall be reported to the Board. Respondent shall be responsible for all costs incurred for the analysis of urine, hair and/or bodily fluids.
- ii. In the event any test or analysis of bodily fluids taken from Respondent, whether pursuant to the request of the Executive Director or the After-Care Program, is positive, indicating the presence of controlled substances (not pursuant to a valid prescription), Respondent will be notified. Should the Respondent test positive, Respondent's license to practice dentistry in the State of Neyada shall automatically be revoked without any further action of the Board other than the issuance of an appropriate Order of Revocation by the Board's Executive Director.
- iii. Respondent authorizes and shall execute any consent necessary which authorizes reports generated by the urinalysis and/or bodily fluids, and/or hair testing and any substance abuse evaluation reports, and any status reports rendered by individuals treating Respondent to be furnished to the Executive Director of the Board. In the event of a violation in the form of a confirmed, positive test result, all reports previously submitted to the Board will become public record and be available for use by the Board in connection with any subsequent action of the Board.
- iv. Should Respondent fail to present himself for random drug testing when directed by the Executive Director of the Board of the After-Care Program, within twenty-four (24) hours of said direction by the Executive Director or After Care Program, Respondent's license to practice dentistry in the State of Nevada will be revoked indefinitely without any other action by the Board other than the issuance of an appropriate Order of Revocation by

v. Should Respondent fail to complete the After-Care Program or upon receipt of notice that Respondent has failed to comply with the terms of the contract with the After-Care Program, Respondent's license to practice dentistry in the State of Nevada will be revoked indefinitely without any further action by the Board other than the issuance of an appropriate Order of Revocation by the Board's Executive Director.

vi. Respondent agrees to pay the Nevada State Board of Dental Examiners the sum of \$2,500.00, upon execution of this ORDER by the Board's President to reimburse the Board for the costs incurred for this Stipulation and the cost to be incurred in the future to monitor and enforce this Stipulation. Specifically, this amount shall not be deemed a fine and shall not be reported to the National Practitioners Data Bank. This amount is due and payable in two equal installments. The first payment shall be due thirty (30) days after the execution of this ORDER by the Board's President. The second payment shall be due sixty (60) days after the first payment. In the event Respondent fails to pay the agreed upon amount, Respondent agrees his license to practice dentistry in the State of Nevada shall be automatically suspended without any further action of the Board other than issuance of an order by the Executive Director. Upon payment of any default, Respondent's license to practice dentistry in the State of Nevada will be automatically reinstated.

viii. Respondent agrees this Consent Agreement and Order shall be reported to the National Practitioners Data Bank upon execution of this Order by the Board's President.

#### CONSENT

- 11. Respondent has read all of the provisions contained in this Consent Agreement and agrees with them in their entirety.
- 12. Respondent is aware by entering into this Consent Agreement, he is waiving certain



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valuable due process rights contained in, but not limited to, NRS 631, NAC 631, NRS 233B and NAC 233B.

- 13. Respondent has reviewed this Consent Agreement and understands and comprehends each and every provision contained therein.
- 14. Respondent acknowledges he is consenting to this Consent Agreement voluntarily, without coercion or duress and in the exercise of his own free will.
- 15. Respondent acknowledges no other promises in reference to the provisions contained in this Consent Agreement have been made by any agent, employee, counsel or any person affiliated with the Nevada State Board of Dental Examiners.
- 16. Respondent acknowledges the provisions in this Consent Agreement contains the entire agreement between Respondent and the Board and the provisions of this Consent Agreement can only be modified, in writing, with Board approval.
- 17. Respondent agrees in the event the Board adopts this Stipulation Agreement he hereby waives any and all rights to seek judicial review or otherwise to challenge or contest the validity of the provisions contained in the Consent Agreement.
- 18. Respondent and the Board agree none of the parties shall be deemed the drafter of this Consent Agreement. In the event this Consent Agreement is construed by a court of law or equity, such court shall not construe this Consent Agreement or any provision hereof against any party as the drafter of the Consent Agreement. The parties hereby acknowledge all parties have contributed substantially and materially to the preparation of this Consent Agreement.
- 19. Respondent specifically acknowledges by his signature herein and by his initials at the bottom of each page of this Consent Agreement, he has read and understands its ferms and



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acknowledges he has signed and initialed of his own free will and without undue influence. cocrcion, duress, or intimidation. 20.

- Respondent acknowledges he has been advised he has the right to have this matter reviewed by independent counsel and he has had ample opportunity to seek independent counsel. Respondent has been specifically informed he should seek independent counsel and advice of independent counsel would be in Respondent's best interest. Having been advised of his right to independent counsel, as well as had the opportunity to seek. independent counsel, Respondent hereby acknowledges, by his own free will, he is consenting to the Consent Agreement without independent counsel.
- 21. Respondent acknowledges in consideration for the Board entering into this Consent Agreement, Respondent hereby releases, remises, and forever discharges the State of Nevada. the Board, and each of their members, agents, employees and legal counsel in their individual and representative capacities, from any and all manner of actions, causes of action, suits, debts, judgments, executions, claims, and demands whatsoever, known and unknown, in law or equity, that Respondent ever had, now has, may have, or claim to have against any or all of the persons or entities named in this section.

DATED this 16 day of 11/149

DUFF W. KASTER, D.D.S.

APPROVED AS TO FORM AND CONTENT

JOHN HUNT, ESQ.

Page 9 of 10

Raleigh & Hunt, P.C. Board Counsel

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## **ORDER**

ORDERED: that the foregoing Stipulation Agreement re: Reinstatement of License ("Agreement" or "Stipulation Agreement") to reactivate the retired license of Duff W. Kaster, DDS pursuant to the terms and conditions set forth above was approved and Order by the Board at a properly noticed meeting conducted on January 24, 2013, pursuant Agenda Item #5(0) subject to Dr. Kaster consenting and successfully completing all of the terms and conditions set forth above.

ORDERED: that based upon Duff W. Kaster, DDS having consented to all of the terms and conditions set forth above in this Stipulation Agreement, it is hereby ORDERED that Dr. Kaster's retired license to practice dentistry in the State of Nevada is hereby reinstated upon successfully completed all of the terms and conditions set forth Paragraphs 4, 5, 6, 7 and 8 above.

ORDERED that upon reinstatement of Duff W. Kaster's retired license to practice dentistry in the State of Nevada upon successfully completed all of the terms and conditions set forth Paragraphs 4, 5, 6, 7 and 8 above, Duff W. Kaster shall be placed on probation for a period of three (3) years as of the date of this ORDER pursuant to all of the terms and conditions set forth above.

DATED this 28 day of May 2013.

J. GORDON KINARD, DDS - President NEVADA STATE BOARD OF DENTAL EXAMINERS

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#### STATE OF NEVADA

# BEFORE THE BOARD OF DENTAL EXAMINERS OF NEVADA

NEVADA STATE BOARD OF DENTAL EXAMINERS,	)
Complainant,	<i>)</i> }
-VS-	) ) ) <u>STIPULATION</u>
DUFF W. KASTER, D.D.S.	) SHEELAHON
Respondent.	<i>)</i> ) )

IT IS HEREBY STIPULATED AND AGREED between DUFF W. KASTER, D.D.S., (hereinafter "Respondent") and his counsel, NEIL BELLER, ESQ. and the NEVADA STATE BOARD OF DENTAL EXAMINERS (hereinafter "Board") by and through its counsel, JOHN A. HUNT, ESQ. of the law firm of RALEIGH, HUNT & McGARRY, P.C. as follows:

- 1. On December 9, 1996, the Nevada State Board of Dental Examiners received a verified complaint from Keith W. McDonald, Executive Secretary of the Nevada State Board of Pharmacy regarding the prescription practices of Respondent dated December 5, 1996.
- 2. On January 21, 1997, the Respondent was informed by the Nevada State Board of Dental Examiners of the verified complaint of Keith W. McDonald, Executive Secretary of the Nevada State Board of Pharmacy.
- 3. On January 28, 1997, Respondent was directed to present himself for drug testing at Associated Pathologists Laboratories.
- 4. On January 29, 1997, Respondent presented himself for hair, blood and urine drug analysis.

- 5. Thereafter, Respondent's attorney, Neil Beller, Esq. and the Board's attorney,
  John A. Hunt, Esq. entered into negotiations in order to protect the interests of the citizens of the
  State of Nevada and Respondent's due process rights. As a result of these negotiations, Dr.
  Kaster, by and through his attorney, Neil Beller, Esq. Respondent informally agreed to refrain
  from writing any prescriptions for controlled substances for Class II, Class II-N, Class III, Class
  III-N, Class IV and Class V. In addition, Respondent agreed to informally temporarily refrain
  from practicing dentistry in the State of Nevada.
- 6. On February 24, 1997, a voluntary stipulation was entered between the Nevada State Board of Dental Examiner's and Dr. Duff W. Kaster, wherein Respondent surrendered his right to prescribe controlled substances, for Class II, Class III-N, Class III, Class III-N, Class IV, and Class V, until such time as a written agreement was entered into between Respondent and the Board. In the event the Board does not reach a written agreement or in the event a full Board hearing is not convened within three (3) months of the Board adopting the Stipulation, Respondent will be free to resume prescribing controlled substances as identified above.

  Respondent's resumption of prescription privileges was conditioned on the fact that the Board has not obtained injunctive relief from the Eighth Judicial District Court, Clark County, State of Nevada or that Respondent's privileges have not either been suspended and/or revoked by either the D.E.A. or the Nevada State Board of Pharmacy.
- 7. In addition, pursuant to the Stipulation of February 24, 1997, Respondent voluntarily agreed not to practice dentistry in the State of Nevada as set forth in NRS 631 et sec. and NAC 631 et sec. until such time as a written agreement is entered into between the Respondent and the Nevada State Board of Dental Examiners. In the event that the Board does not enter into a written agreement with Respondent and/or in the event that the Board does not

convene a full Board hearing within three (3) months of the Board adopting the Stipulation,
Respondent may resume the practice of dentistry in the State of Nevada. Respondent's
resumption of practice is conditioned on the fact that the Board has not obtained injunctive relief
preventing Dr. Kaster from practicing dentistry from the Eighth Judicial District, County of
Clark, State of Nevada. Respondent agreed to cease practicing dentistry in the State of Nevada
fourteen (14) days from executing the Stipulation.

- 8. A formal Complaint and Notice of Hearing was issued by the Nevada State Board of Dental Examiners on March 24, 1997. (A true and correct copy of said Complaint is attached hereto as Exhibit "1".)
- 9. Respondent admits to prescribing controlled substances to non patients on more than one occasion, thereby violating NRS 631.3475(5), NRS 631.075, NRS 631.095, NRS 631.3475(4), and NAC 631.230(c).
- 10. Respondent admits that on more than one occasion his charting and record keeping practices violated NRS 631.075, NRS 631.095, NRS 631.3475(4), and NAC 631.230(c).
- Respondent admits to prescribing medication outside of the field of dentistry on more than on occasion, thereby violating NRS 631.075, NRS 631.095, NRS 631.3475(4), NRS 631.3475(5) and NAC 631.230(c).
- 12. Respondent admits to prescribing controlled substances in excessive quantities on more than one occasion, thereby violating NRS 631.3475(5), NRS 631.095, NRS 631.075, NRS 631.3475(4), NAC 631.230(b) and NAC 631.230(c).
- 13. Respondent admits his testing positive for cocaine in his urine and hair on January 39, 1997.

- 14. In light of Respondent's admissions to violations of the provisions of NRS

  Chapter 631 and NAC Chapter 631, Respondent agrees to suspension of his license to practice dentistry in the State of Nevada for a period of one (1) year, effective from the date the Board approves this Stipulated Settlement. Respondent, however, shall be given credit for the time he has not been practicing dentistry from January 28, 1997, until May 9, 1997, assuming the Board adopts this Stipulation. Therefore, assuming the Board does adopt this Stipulation, Respondent's suspension would be for a period of two hundred and sixty three (263) days commencing May 9, 1997. Respondent would then be allowed to resume the practice of dentistry, assuming he has complied with all of the provisions of this Stipulation on January 29, 1998. Respondent shall physically deliver his license to the Board's Executive Director, located at 2225-E Renaissance Drive, Las Vegas, Nevada, 89119, upon approval of the Stipulation by the Board.
- 15. In addition to complying with the suspension agreed upon in Paragraph 14, Respondent also agrees to abide by the following conditions:
- A. In addition to complying with the continuing education requirements of NAC 631:173, Respondent agrees to obtain sixteen (16) hours of supplemental education in the field of pharmacology and pain management prior to January 29, 1998. The additional sixteen (16) hours must receive the approval of the Board's Executive Director prior to attendance. All costs relating to continuing education will be borne by the Respondent.
- B. Respondent agrees to submit to random sampling of urine and/or bodily fluids, and/or hair for period of four (4) years when so ordered by the Executive Director of the Board. In addition to the random drug tests which may be ordered during the four (4) year period, during the one year suspension, Respondent will submit to urinallysis testing on the first day of each month at Associated Pathology Laboratories (APL). Should the first day of the month fall

on a Saturday or Sunday, Respondent will submit the monthly sample on the first business day of the month. Any test or analysis of bodily fluids taken shall be conducted in such manner that the testing agency shall preserve enough of the sample to allow for subsequent independent confirmatory tests. The results of any tests or analysis of bodily fluids shall be reported to the Board. Respondent shall be responsible for all costs incurred for the analysis of urine, and/or bodily fluids, and/or hair.

- C. In the event any test or analysis of bodily fluids taken from Respondent pursuant to the terms of this Stipulation is positive, indicating the presence of controlled substances (not pursuant to a valid prescription), Respondent will be notified and allowed to arrange for a subsequent independent confirmatory test. Should the Respondent test positive, Respondent shall voluntarily cease practicing dentistry in the State of Nevada until such time as a full Board hearing is held to evaluate the positive findings and subsequent independent confirmatory tests.
- D. Should Respondent fail to present himself for random drug testing when directed by the Executive Director of the Board within twenty four (24) hours of said direction by the Executive Director, Respondent's license to practice dentistry in the State of Nevada will be revoked indefinitely without any other action by the Board other than the entry of an appropriate Order of Revocation.
- E. Respondent authorizes reports generated by the urinalysis and/or bodily fluids, and/or hair testing and any substance abuse evaluation reports, and any status reports rendered by individuals treating Respondent to be furnished to the Executive Director of the Board. All reports submitted to the Executive Director of the Board shall remain confidential and for use by the Board's Executive Director only. In the event of a violation in the form of a confirmed, positive test result, all reports previously submitted to the Executive Director of the Board will

be available for use by the Board in connection with any subsequent disciplinary action of the Board.

- F. Respondent shall notify in writing, each of his active patients that he will not be practicing dentistry until January 29, 1998, and will inform his patients that their records will be made available so they can procure alternate dental services, if they so desire.
- G. Due to Respondent's admission of violations of NRS 631 and NAC 631 contained in Paragraphs 10 through 15, Respondent agrees to surrender his Registration Certificate No. BK 065578 with the United States Department of Justice, D.E.A., to prescribe controlled substances for Class II, Class III N, Class III N, Class IV, and Class V for a period of five (5) years. In the event Respondent complies with all the terms of this Stipulation, at the end of the five year period Respondent may apply to the D.E.A. to have his prescription privileges reinstated.
- H. Due to Respondent's admission of violations of NRS 631 and NAC 631 as set forth in Paragraphs 10 through 15, Respondent hereby agrees to surrender his license No. CS 4016 with the Nevada State Board of Pharmacy to prescribe controlled substances identified as Class II, Class III, Class III, Class III, Class IV, and Class V for a period of five (5) years. In the event Respondent complies with all of the terms of the provisions contained in this Stipulation, at the end of the five year period Respondent may apply to have his prescription writing privileges with the Nevada State Board of Pharmacy reinstated.
- I. Due to Respondent's admission of violations of NRS 631 and NAC 631 as set forth in Paragraphs 10 through 15. Respondent agrees to submit to a drug evaluation to be performed by Nevada Health Professional Foundation, headed by Dr. F. Victor Rueckl. The Nevada Health Professional Foundation shall submit the result of the evaluation to the Executive

Director of the Nevada State Board of Dental Examiners as well as Board's counsel. All costs relating to the evaluation performed by the Nevada Health Professional Foundation shall be paid by Respondent. Respondent agrees that any recommendations made by Nevada Health Professional Foundation regarding future treatment and/or counseling will be complied with by the Respondent. However, in the event Respondent feels that alternative treatment could be obtained, he is allowed to present those options to the Board's Executive Director. Respondent agrees that the decision by the Executive Director is final and may not be appealed to either the Board through judicial review.

- 16. Due to Respondent's admission of numerous violations contained in Paragraphs
  10 through 15, Respondent agrees to pay a fine to the Nevada State Board of Dental Examiners
  in the sum of Twenty Five Thousand Dollars (\$25,000.00). Assuming the Board adopts the
  Stipulation, Respondent shall make payments on the fine pursuant to the following schedule:
  - 1. A payment of \$5,000.00 is due no later than October 9, 1997.
  - 2. A payment of \$5,000.00 is due no later than April 9, 1998.
  - 3. A payment of \$5,000.00 is due no later than October 9, 1998.
  - 4. A payment of \$5,000.00 is due no later than April 9, 1999.
  - 5. A payment of \$5,000.00 is due no later than October 9, 1999.

Respondent agrees in the event he is delinquent on any of the payments as set forth above, Respondent shall cease practicing dentistry until such time as the payments are brought current. Respondent further agrees in the event the Board has to initiate any legal proceedings to enforce the payment schedule as identified above, or to seek injunctive relief if Respondent fails to voluntarily cease practicing dentistry until such time as payments are made current,

Respondent will be responsible for legal fees and costs incurred by the Board in any such

proceedings.

- 17. Upon approval of this Stipulation by the Board, Respondent agrees to pay to the Board the sum of Ten Thousand Dollars (\$10,000.00) to reimburse the Board for the costs of this investigation, payable at the time the Board approves this Stipulation.
- 18. Respondent acknowledges he has read all of the provisions contained in this Stipulation and agrees to all of the provisions in their entirety. Respondent is aware that by entering into this Stipulation, he is waiving certain valuable due process rights contained in, but not limited to, NRS 631, NAC 631, NRS 233B and NAC 233B. Respondent has expressly waived any right to challenge the Board for bias should the Board reject this Stipulation and this matter were to proceed to a full board hearing. Respondent acknowledges that he has reviewed every one of the provisions of this Stipulation with his attorney, Neil S. Beller. Respondent acknowledges he is agreeing to all of the provisions of the Stipulation voluntarily, without coercion or duress, and in the exercise of his own free will. In the event the Board adopts this Stipulation, Respondent specifically waives his right to seek judicial review.
- 19. This Stipulation will be considered by the Board in an open meeting. It is understood by Respondent and stipulated the Board is free to accept to accept or reject this

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Stipulation, and, if the Stipulation is rejected by the Board, further disciplinary action may be implemented. This Stipulation will only become effective when the Board has approved the same in writing.

DATED this O day of April , 1997.

DUFF M. KASTER, D.D.S.

SUBSCRIBED and SWORN to before me this O day of April 1997.

Linday D. Areas UNDAS, KREGER Notary Public Cick County D. Deninis Arch, Disciplinary Screening Approved Approved Approved Approved April 1997.

Approved As TO FORM & CONTENT: APPROVED AS TO FORM & CONTENT

NEIL BELLER, ESQ.

Respondent's attorney

JOHN A. HUNT, ESQ.

Complainant's attorney

The foregoing Stipulation was approved disapproved by a vote of the Nevada State Board of Dental Examiners at a properly noticed meeting.

DATED this 9 day of May, 1997.

NEVADA STATE BOARD OF DENTAL EXAMINERS

SUSAN JANCAR, President

D.K.

EXHIBIT "1"

#### STATE OF NEVADA

#### BEFORE THE BOARD OF DENTAL EXAMINERS OF NEVADA

DENTAL EXAMINERS,	) )
Complainant,	
-V\$-	STIPULATION
DUFF W. KASTER, D.D.S.	
Respondent.	) )

IT IS HEREBY STIPULATED AND AGREED between DUFF W. KASTER, D.D.S., (hereinafter "Respondent") and his counsel, NEIL BELLER, ESQ. and the NEVADA STATE BOARD OF DENTAL EXAMINERS (hereinafter "Board") by and through its counsel, JOHN A. HUNT, ESQ. of the law firm of RALEIGH, HUNT & McGARRY, P.C. as follows:

- 1. On December 9, 1996, the Nevada State Board of Dental Examiners received a verified complaint from Keith W. McDonald, Executive Secretary of the Nevada State Board of Pharmacy regarding the prescription practices of Respondent dated December 5, 1996.
- 2. On January 21, 1997, the Respondent was informed by the Nevada State Board of Dental Examiners of the verified complaint of Keith W. McDonald, Executive Secretary of the Nevada State Board of Pharmacy.
- 3. On January 28, 1997, Respondent was directed to present himself for drug testing at Associated Pathologists Laboratories.
- 4. On January 29, 1997, Respondent presented himself for hair, blood and urine drug analysis.

- 5. Thereafter, Respondent's attorney, Neil Beller, Esq. and the Board's attorney,

  John A. Hunt, Esq. entered into negotiations in order to protect the interests of the citizens of the

  State of Nevada and Respondent's due process rights. As a result of these negotiations, Dr.

  Kaster, by and through his attorney, Neil Beller, Esq. Respondent informally agreed to refrain

  from writing any prescriptions for controlled substances for Class II, Class III-N, Class III, Class

  III-N, Class IV and Class V. In addition, Respondent agreed to informally temporarily refrain

  from practicing dentistry in the State of Nevada.
- 6. On February 24, 1997, a voluntary stipulation was entered between the Nevada
  State Board of Dental Examiner's and Dr. Duff W. Kaster, wherein Respondent surrendered his
  right to prescribe controlled substances, for Class II, Class III-N, Class III, Class III-N, Class IV,
  and Class V, until such time as a written agreement was entered into between Respondent and
  the Board. In the event the Board does not reach a written agreement or in the event a full Board
  hearing is not convened within three (3) months of the Board adopting the Stipulation,
  Respondent will be free to resume prescribing controlled substances as identified above.
  Respondent's resumption of prescription privileges was conditioned on the fact that the Board
  has not obtained injunctive relief from the Eighth Judicial District Court, Clark County, State of
  Nevada or that Respondent's privileges have not either been suspended and/or revoked by either
  the D.E.A. or the Nevada State Board of Pharmacy.
- 7. In addition, pursuant to the Stipulation of February 24, 1997, Respondent voluntarily agreed not to practice dentistry in the State of Nevada as set forth in NRS 631 et sec. and NAC 631 et sec. until such time as a written agreement is entered into between the Respondent and the Nevada State Board of Dental Examiners. In the event that the Board does not enter into a written agreement with Respondent and/or in the event that the Board does not

convene a full Board hearing within three (3) months of the Board adopting the Stipulation,
Respondent may resume the practice of dentistry in the State of Nevada. Respondent's
resumption of practice is conditioned on the fact that the Board has not obtained injunctive relief
preventing Dr. Kaster from practicing dentistry from the Eighth Judicial District, County of
Clark, State of Nevada. Respondent agreed to cease practicing dentistry in the State of Nevada
fourteen (14) days from executing the Stipulation.

- 8. A formal Complaint and Notice of Hearing was issued by the Nevada State Board of Dental Examiners on March 24, 1997. (A true and correct copy of said Complaint is attached hereto as Exhibit "1".)
- 9. Respondent admits to prescribing controlled substances to non patients on more than one occasion, thereby violating NRS 631.3475(5), NRS 631.075, NRS 631.095, NRS 631.3475(4), and NAC 631.230(c).
- 10. Respondent admits that on more than one occasion his charting and record keeping practices violated NRS 631.075, NRS 631.095, NRS 631.3475(4), and NAC 631.230(c).
- 11. Respondent admits to prescribing medication outside of the field of dentistry on more than on occasion, thereby violating NRS 631.075, NRS 631.095, NRS 631.3475(4), NRS 631.3475(5) and NAC 631.230(c).
- 12. Respondent admits to prescribing controlled substances in excessive quantities on more than one occasion, thereby violating NRS 631.3475(5), NRS 631.095, NRS 631.075, NRS 631.3475(4), NAC 631.230(b) and NAC 631.230(c).
  - 13. Respondent admits his testing positive for cocaine in his urine and hair on January

39, 1997.

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- 14. In light of Respondent's admissions to violations of the provisions of NRS

  Chapter 631 and NAC Chapter 631, Respondent agrees to suspension of his license to practice
  dentistry in the State of Nevada for a period of one (1) year, effective from the date the Board
  approves this Stipulated Settlement. Respondent, however, shall be given credit for the time he
  has not been practicing dentistry from January 28, 1997, until May 9, 1997, assuming the Board
  adopts this Stipulation. Therefore, assuming the Board does adopt this Stipulation, Respondent's
  suspension would be for a period of two hundred and sixty three (263) days commencing May 9,
  1997. Respondent would then be allowed to resume the practice of dentistry, assuming he has
  complied with all of the provisions of this Stipulation on January 29, 1998. Respondent shall
  physically deliver his license to the Board's Executive Director, located at 2225-E Renaissance
  Drive, Las Vegas, Nevada, 89119, upon approval of the Stipulation by the Board.
- 15. In addition to complying with the suspension agreed upon in Paragraph 14, Respondent also agrees to abide by the following conditions:
- A. In addition to complying with the continuing education requirements of NAC 631.173, Respondent agrees to obtain sixteen (16) hours of supplemental education in the field of pharmacology and pain management prior to January 29, 1998. The additional sixteen (16) hours must receive the approval of the Board's Executive Director prior to attendance. All costs relating to continuing education will be borne by the Respondent.
- B. Respondent agrees to submit to random sampling of urine and/or bodily fluids, and/or hair for period of four (4) years when so ordered by the Executive Director of the Board. In addition to the random drug tests which may be ordered during the four (4) year period, during the one year suspension, Respondent will submit to urinally sis testing on the first day of each month at Associated Pathology Laboratories (APL). Should the first day of the month fall

on a Saturday or Sunday, Respondent will submit the monthly sample on the first business day of the month. Any test or analysis of bodily fluids taken shall be conducted in such manner that the testing agency shall preserve enough of the sample to allow for subsequent independent confirmatory tests. The results of any tests or analysis of bodily fluids shall be reported to the Board. Respondent shall be responsible for all costs incurred for the analysis of urine, and/or bodily fluids, and/or hair.

- C. In the event any test or analysis of bodily fluids taken from Respondent pursuant to the terms of this Stipulation is positive, indicating the presence of controlled substances (not pursuant to a valid prescription), Respondent will be notified and allowed to arrange for a subsequent independent confirmatory test. Should the Respondent test positive, Respondent shall voluntarily cease practicing dentistry in the State of Nevada until such time as a full Board hearing is held to evaluate the positive findings and subsequent independent confirmatory tests.
- D. Should Respondent fail to present himself for random drug testing when directed by the Executive Director of the Board within twenty four (24) hours of said direction by the Executive Director, Respondent's license to practice dentistry in the State of Nevada will be revoked indefinitely without any other action by the Board other than the entry of an appropriate Order of Revocation.
- E. Respondent authorizes reports generated by the urinalysis and/or bodily fluids, and/or hair testing and any substance abuse evaluation reports, and any status reports rendered by individuals treating Respondent to be furnished to the Executive Director of the Board. All reports submitted to the Executive Director of the Board shall remain confidential and for use by the Board's Executive Director only. In the event of a violation in the form of a confirmed, positive test result, all reports previously submitted to the Executive Director of the Board will

be available for use by the Board in connection with any subsequent disciplinary action of the Board.

- F. Respondent shall notify in writing, each of his active patients that he will not be practicing dentistry until January 29, 1998, and will inform his patients that their records will be made available so they can procure alternate dental services, if they so desire.
- G. Due to Respondent's admission of violations of NRS 631 and NAC 631 contained in Paragraphs 10 through 15, Respondent agrees to surrender his Registration Certificate No. BK 065578 with the United States Department of Justice, D.E.A., to prescribe controlled substances for Class II, Class III N, Class III N, Class IV, and Class V for a period of five (5) years. In the event Respondent complies with all the terms of this Stipulation, at the end of the five year period Respondent may apply to the D.E.A. to have his prescription privileges reinstated.
- H. Due to Respondent's admission of violations of NRS 631 and NAC 631 as set forth in Paragraphs 10 through 15, Respondent hereby agrees to surrender his license No. CS 4016 with the Nevada State Board of Pharmacy to prescribe controlled substances identified as Class II, Class III, Class III, Class III N, Class IV, and Class V for a period of five (5) years. In the event Respondent complies with all of the terms of the provisions contained in this Stipulation, at the end of the five year period Respondent may apply to have his prescription writing privileges with the Nevada State Board of Pharmacy reinstated.
- I. Due to Respondent's admission of violations of NRS 631 and NAC 631 as set forth in Paragraphs 10 through 15, Respondent agrees to submit to a drug evaluation to be performed by Nevada Health Professional Foundation, headed by Dr. F. Victor Rueckl. The Nevada Health Professional Foundation shall submit the result of the evaluation to the Executive

Director of the Nevada State Board of Dental Examiners as well as Board's counsel. All costs relating to the evaluation performed by the Nevada Health Professional Foundation shall be paid by Respondent. Respondent agrees that any recommendations made by Nevada Health Professional Foundation regarding future treatment and/or counseling will be complied with by the Respondent. However, in the event Respondent feels that alternative treatment could be obtained, he is allowed to present those options to the Board's Executive Director. Respondent agrees that the decision by the Executive Director is final and may not be appealed to either the Board through judicial review.

- 16. Due to Respondent's admission of numerous violations contained in Paragraphs
  10 through 15, Respondent agrees to pay a fine to the Nevada State Board of Dental Examiners
  in the sum of Twenty Five Thousand Dollars (\$25,000.00). Assuming the Board adopts the
  Stipulation, Respondent shall make payments on the fine pursuant to the following schedule:
  - 1. A payment of \$5,000.00 is due no later than October 9, 1997.
  - 2. A payment of \$5,000.00 is due no later than April 9, 1998.
  - 3. A payment of \$5,000.00 is due no later than October 9, 1998.
  - 4. A payment of \$5,000.00 is due no later than April 9, 1999.
  - 5. A payment of \$5,000.00 is due no later than October 9, 1999.

Respondent agrees in the event he is delinquent on any of the payments as set forth above, Respondent shall cease practicing dentistry until such time as the payments are brought current. Respondent further agrees in the event the Board has to initiate any legal proceedings to enforce the payment schedule as identified above, or to seek injunctive relief if Respondent fails to voluntarily cease practicing dentistry until such time as payments are made current,

Respondent will be responsible for legal fees and costs incurred by the Board in any such

proceedings.

- 17. Upon approval of this Stipulation by the Board, Respondent agrees to pay to the Board the sum of Ten Thousand Dollars (\$10,000.00) to reimburse the Board for the costs of this investigation, payable at the time the Board approves this Stipulation.
- 18. Respondent acknowledges he has read all of the provisions contained in this Stipulation and agrees to all of the provisions in their entirety. Respondent is aware that by entering into this Stipulation, he is waiving certain valuable due process rights contained in, but not limited to, NRS 631, NAC 631, NRS 233B and NAC 233B. Respondent has expressly waived any right to challenge the Board for bias should the Board reject this Stipulation and this matter were to proceed to a full board hearing. Respondent acknowledges that he has reviewed every one of the provisions of this Stipulation with his attorney, Neil S. Beller. Respondent acknowledges he is agreeing to all of the provisions of the Stipulation voluntarily, without coercion or duress, and in the exercise of his own free will. In the event the Board adopts this Stipulation, Respondent specifically waives his right to seek judicial review.
- 19. This Stipulation will be considered by the Board in an open meeting. It is understood by Respondent and stipulated the Board is free to accept to accept or reject this

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D.K.

Stipulation, and, if the Stipulation is rejected by the Board, further disciplinary action may be implemented. This Stipulation will only become effective when the Board has approved the same in writing.

DATED this Oday of April, 1997.

SUBSCRIBED and SWORN to before me this // day of A syel 1997.

APPROVED AS TO FORM & CONTENT

Notary Public

Notory Public, State of Nevodo Dr. Dennis Arch, Disciplinary Screening

Appointment No. 9633051Officer My Appt, Explose July 10, 2000

APPROVED AS TO FORM & CONTENT

NEIL BELLER, ESO. Respondent's attorney

JOHN A. HUNT, ESO.

Complainant's attorney

The foregoing Stipulation was approved disapproved by a vote of the Nevada State Board of Dental Examiners at a properly noticed meeting.

DATED this 2 day of May

NEVADA STATE BOARD OF DENTAL **EXAMINERS** 

EXHIBIT "2"

Case No. 00-329

2787-92 15

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#### STATE OF NEVADA

## BEFORE THE BOARD OF DENTAL EXAMINERS OF NEVADA

NEVADA STATE BOARD

OF DENTAL EXAMINERS,

Complainant,

AMENDED STIPULATION

DUFF KASTER, D.D.S.,

Respondent.

IT IS HEREBY STIPULATED AND AGREED by and between DUFF KASTER, D.D.S. (hereinafter 'Respondent'), in Proper Person, and THE NEVADA STATE BOARD OF DENTAL EXAMINERS (hereinafter 'Board') by and through its counsel JOHN A. HUNT, ESQ., of the law firm of RALEIGH, HUNT & McGARRY, P.C., as follows:

- 1. On May 9, 1997, at a properly noticed meeting, Respondent entered into a Stipulation with the Nevada State Board of Dental Examiners. Attached as Exhibit "1".
  - 2. Paragraph 15 (G) and (H) of the Stipulation states:
    - G. Due to Respondent's admission of violations of NRS 631 and NAC 631 contained in Paragraphs 10 through 15, Respondent agrees to surrender his Registration Certificate No. BK 065578 with the United States Department of Justice, D.E.A., to prescribe controlled substances for Class II, Class III, Class III, Class IV, and Class V for a period of five (5) years. In the event Respondent complies with all the terms of this

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Stipulation, at the end of the five (5) year period Respondent may apply to the D.E.A. to have his prescription privileges reinstated.

- H. Due to Respondent's admission of violations of NRS 631 and NAC 631 contained in Paragraphs 10 through 15, Respondent agrees to surrender his license No. CB 4016 with the Nevada State Board of Pharmacy to prescribe controlled substances for Class II, Class IIIN, Class IIII, Class IIIIN, Class IV, and Class V for a period of five (5) years. In the event Respondent complies with all the terms of this Stipulation, at the end of the five year period Respondent may apply to have his prescription writing privileges with the State Board of Pharmacy reinstated.
- 3. Since entering into the Stipulation, the Board has obtained substantial evidence that the Respondent caused to be issued prescriptions in violation of Paragraph 15 (G) and (H) of the Stipulation.
- 4. On March 28, 2000, a prescription profile was requested from the Controlled Substance Task Force for any prescriptions requested or issued by Respondent from January 1, 1999 to the present. The results of the inquiry indicates no prescriptions were issued in the name of Respondent during this period. On March 28, 2000, a prescription profile was requested from the Controlled Substance Task Force regarding Dr. Ransdell for the periods of May 29, 1999 to June 14, 1999, November 3, 1999 to November 15, 1999, and January 1, 2000 to January 17, 2000.
- 5. As a result, an investigation was conducted wherein it was found that at the direction of Respondent, prescriptions for controlled substances were issued for patients as follows:

PATIENT:	CONTROLLED SUBSTANCE	DATE
Patient "A"	Lorcet	06/03/99
Patient "B"	APA/Hydrocodone Bitartrate	06/08/99
Patient "C"	APA/Hydrocodone Bitartrate	06/14/99
Patient "D"	APA/Hydrocodone Bitartrate	01/07/00
Patient "E"	Hydrocodone/IBUPRO	01/09/00
Patient "F"	Diazepam	01/11/00

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Patient "G" 1 APA/Hydrocodone Bitartrate Patient "H" APA/Hydrocodone Bitartrate Patient "I" 3 4 A through I. 5 б. б 7 8 9 10 into with the Board on May 9, 1997. 11 7. 12 13 in Paragraph 4 was as follows: 16 17 18 19 20 21 prescription license of Dr. Ransdell. 22 8. 23 24 25 26 27 3 28

01/18/00 APA/Hydrocodone Bitartrate 01/20/00 Respondent has been informed of the names which correspond to the patients identified as

01/12/00

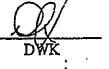
On March 28, 2000, the dental records for the patients identified in Paragraph 4 above, were reviewed by the Informal Hearing Officer. Based upon that review the Informal Hearing Officer finds that Respondent caused to be issued controlled substances to the patients identified in Paragraph 4, above, in violation of Paragraph 15 (G) and (H) of the Stipulation entered

The method Respondent used to cause prescriptions to be issued to the patients listed

Currently Respondent shares business space with Nevada licensee, Tracy Ransdell, D.D.S. From May 29, 1999 through June 14, 1999, Dr. Ransdell was vacationing outside the geographical jurisdiction of the United States. From January 1, 2000 until January 17, 2000, Dr. Ransdell was vacationing was outside the geographical Jurisdiction of the United States. During the time frames identified above, unbeknownst to Dr. Ransdell, Respondent caused prescriptions to be issued to the patients identified in Paragraph 4 by ordering those prescriptions pursuant to the DEA and Nevada

The Informal Hearing Officer having reviewed the prescriptions issued to patients A through I, identified in Paragraph 4, is of the opinion these prescriptions were related to a valid dental treatment. After conducting the preliminary investigation, the Informal Hearing Officer

- 9. Based upon the limited investigation conducted to date, Respondent admits he violated Paragraph 15 (G) and (H) of the Stipulation entered into with the Board on May 9, 1997 when Respondent caused to be issued controlled substances to patients A through I identified in Paragraph 4 of this Amended Stipulation.
- 10. Due to Respondent's admitted violation of the Stipulation entered into with the Board on May 9, 1997, pursuant to NRS 631.350(b), Respondent agrees to the suspension of his license to practice dentistry in the State of Nevada for a period of thirty (30) days. The thirty (30) days suspension shall be effective upon adoption of this Amended Stipulation by the Board. Respondent shall physically deliver his license to the Board's Executive Director, located at 2295-B Renaissance Drive, Las Vegas, Nevada 89119, upon approval of this Amended Stipulation by the Board.
- 11. Due to Respondent's admitted violation of the Stipulation entered into with the Board on May 9, 1997, pursuant to NRS 631.350 (c), Respondent agrees to pay a fine to the Board in the amount of \$1000.00. Said fine shall be due and payable upon adoption of this Amended Stipulation. In the event Respondent fails to pay the fine, Respondent shall cease practicing dentistry in the State of Nevada until such time as the fine has been paid in full.
  - 12. Due to Respondent's admitted violation of the Stipulation entered into with the Board



on May 9, 1997, Respondent agrees to reimburse the Board the sum of \$2000.00 for the costs of this investigation. Said reimbursement shall be due and payable within sixty (60) days upon adoption of this Amended Stipulation. In the event Respondent fails to reimburse the Board for the costs of this investigation within sixty (60) days of the adoption, Respondent agrees to cease practicing dentistry in the State of Nevada until such time as the reimbursement amount is paid in full.

- 13. Respondent further agrees, in the event the Board has to initiate any legal proceedings to enforce payment of either the fine or the reimbursement amount or is the event the Board has to seek injunctive relief in the event Respondent fails to voluntarily cease practicing dentistry in the State of Nevada, Respondent shall be responsible for legal fees and costs incurred by the Board in any such proceedings.
- 14. Respondent further agrees, in the event the Board's Executive Director has substantial evidence to believe Respondent has either issued or has caused to be issued prescriptions for controlled substances identified as Class II, Class III, Class III, Class IIIN, Class IV, and Class V during the remaining term of his probationary period as set forth in the Stipulation entered into with the Board on May 9, 1997, the Executive Director without any further hearing or action by the Board shall issue an order revoking Respondent's license to practice dentistry in the State of Nevada. Thereafter, Respondent may request a hearing before the Board but during the pendency of the hearing before the Board, Respondent waives any right to seek judicial review to reinstate his privilege to practice dentistry in the State of Nevada pending a final Board hearing.
- 15. To the best of the Informal Hearing Officer's knowledge, Respondent has complied with all of the other provisions of the Stipulation entered into with the Board on May 9, 1997.

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- Respondent further agrees, by entering into this Amended Stipulation, the Board is 16. not waiving any right to enforce any of the other provisions contained in the Stipulation entered into with the Board on May 9, 1997.
- 17. Respondent further agrees all of the other provisions contained in the Stipulation entered into with the Board on May 9, 1997, shall remain in full force and effect.
- 18. Respondent further agrees, pursuant to NRS 631.350 (h), Respondent's practice shall be supervised. This period of supervision shall commence upon adoption of this Amended Stipulation and shall run concurrently with the Stipulation entered into with the Board on May 9, 1997 to ensure Respondent's prescription practices are in compliance with this Amended Stipulation and all other provisions which have been agreed to pursuant to the Stipulation entered into with the Board on May 9, 1997. During the period of supervision, Respondent shall allow either the Informal Hearing Officer and/or the Executive Director of the Board and/or an agent appointed by the Board to inspect Respondent's records to assure compliance with this Amended Stipulation and the Stipulation entered into with the Board on May 9, 1997. Such inspection shall be performed, without notice, during normal business hours. Respondent shall maintain, during the supervision period, a list of any prescriptions issued to any of Respondent's patients by any other licensed dentist in the State of Nevada. The list of prescriptions issued to Respondent's patients by any other licensed dentist in the State of Nevada shall include the following:
  - a. patient's name;
    - date of issuance;
  - b. name of dentist who issued prescription; c.
  - units and amount of controlled substance issued; đ.
    - reason for issuing the controlled substance.

Respondent's failure to accurately maintain the list of prescriptions issued to his patients by any other licensed dentist in the State of Nevada shall be deemed unprofessional conduct and in violation of this Amended Stipulation and shall be considered by the Board in determining whether or not to revoke Respondent's license to practice dentistry in the State of Nevada.

## CONSENT

- 19. Respondent has read all of the provisions contained in this Stipulation and agrees with them in their entirety.
- 20. Respondent is aware by entering into this Stipulation he is waiving certain valuable due process rights contained in, but not limited to, NRS 631, NAC 631, NRS 233B and NAC 233B.
- 21. Respondent expressly waives any right to challenge the Board for bias in deciding whether or not to adopt this Stipulation in the event this matter was to proceed to a full Board hearing.
- 22. Respondent acknowledges he has read the Stipulation. Respondent acknowledges he has been advised he has the right to have this matter reviewed by independent counsel and he has had ample opportunity to seek independent counsel. Having been advised of his right to independent counsel, as well as had the opportunity to seek independent counsel, Respondent hereby acknowledges by his own free will, he is consenting to the Stipulation without independent counsel.
- 23. Respondent acknowledges he is consenting to this Stipulation voluntarily, without coercion or duress and in the exercise of his own free will.
- 24. Respondent agrees in the event the Board adopts this Stipulation he hereby waives any and all rights to seek judicial review or otherwise to challenge or contest the validity of the

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EXHIBIT "3"

APL Group Fax Report

<sup>p</sup>age 2 of 3

ASSOCIATED PATHOLOGISTS LABORATORIES

Facsimile Copy

4230 Bumham Avenue, Sura 230 Las Vegas, NV 88119 (702) 733-7866

PATIENT KASTER, DUFF W

99X

REFERRED BY

PHONET 1486

· --.. ·--.

NV STATE ERD OF DENTAL EXMNRS. 2295-B RENAISSANCE DR.

COLLECTED RECEIVED

AGE/SEX

05/15/2001 12:5 MED. RECORD # 05/15/2001 22:18

3500442921 CHART# 828704

01301314

LAS VEGAS, NV 89119

TOX NO.

010142334 XM S.S.# 530-64-3771

test hame — epecimen

TOXICOLOGY REPORT

ACCESSION #

(010142335)

PROFILE 800 - URINE

RESULTS:

2000年20年8年末民间共享组织成立立中年2222

Reason: Random

Amphatamines Negative Barbiturates Negativa Cocaine Matab (Benzoylecgonine) Negative Opiates Negative Phencyclidine (PCP) Negative THC Carboxylic Acid (Marijuana) Negative Alcohol (Ethanol) Negative Negative Nitrite Adulteration Chromium Adulteration Negative

This specimen was screened by enzyma immunoassay (except for alcohol, which is acreened by enzymatic assay). Positives were confirmed by gas chromatography-mass spectromatry (GC/MS) (except for alcohol, which is confirmed by gas chromatography) at the following screening/confirmation cutoffs:

Drug	Screen/Confirm		
Amphetamines	500/S00 ng/ml		
Barbiturates	500/500 ng/mL		
Cocaine Metabolite	300/150 ng/mL		
Delta-9-THC COOH	50/15 ng/mL		
Opiates	300/300 ng/mL		
Phencyclidina (PCP)	25/25 ng/mL		
Alcohol (Ethanol)	0.02/5.02 gml		

In addition to the above, urine samples are screened and confirmed for the presence of common adulterants.

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AFL Group Fax Report

Page 1 of 3

Thu Hay 17 10:51:11 2001

ASSOCIATED PATHOLOGISTS LABORATORIES

Facsimile Copy

Las Vegas, NV 89119 (702) 733-7666

PATIENT KASTER, DUFF W

PHONET

REFERRED BY NV STATE BRD OF DENTAL EXMNRS.

AGE/SEX 99Y М

01301314

3486

ACCESSION # COLLECTED 05/15/2001 12:5MED. RECORD# 3500442921

RECEIVED 05/15/20c1 22:18

CHART# 828704 LAS VEGAS, NV 89119

2295-B RENAISSANCE DR.

TOX NO. 010142334 XM 5.5.# 530-64-3771

TOXICO ROCKERINORI

Test name - specimen

(010142334)

PROFILE 870 - HAIR

Reason: Random

RESULTS:

Amphetamines (Group) Cocaine/Metabolite(s) ... Opiates (Group) Phencyclidine (PCP)

\*\*POSITIVE \*\* Negativa Negative

Cannabinoids (Marijuana)

Negative: Negative

\*FINDINGS: - HDMA (Ecstasy) IDENTIFIED

This specimen was screened by immunoassay (IA): Positives were confirmed by gas chromatography-mass spectrometry (GC/MS) or GC/MS/MS at the following screening/confirmation cutoffs:

Drug	Screen/Confirm
Methamphetamine/Amphetamine/MDMA	300/300 ·pg/mg
Cocaine/Hetabolite	300/300 pg/mg
Identified Opiates	500/500 pg/mg
THC	5/5 pg/mg
THC~COOH	/0.1 pg/mg
Phencyclidine (PCP)	.300/300 pg/mg

If a drug was reported negative, it means that either no drug was detected, or if a drug was detected, it was present at a concentration less than the laboratory's established cutoff level.

EXHIBIT "4"

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### STATE OF NEVADA

## BEFORE THE BOARD OF DENTAL EXAMINERS OF NEVADA

NEVADA STATE BOARD	)	
OF DENTAL EXAMINERS,	)	
	)	
Complainant,	)	Case No. 97-37
-	)	
vs.	)	ORDER
:	)	
DUFF W. KASTER, D.D.S.	)	
· ·	)	
Respondent.	)	
	)	

Board.

WHEREAS, on May 17, 2001, the Nevada State Board of Dental Examiners ("Board") issued an Order suspending the dental license of Duff W. Kaster, D.D.S.

- 1. On March 6, 1997, Respondent entered into a Temporary Stipulation with the
  - 2. On May 9, 1997, Respondent entered into a Stipulation with the Board.
    - 3. On June 1, 2000, Respondent entered into an Amended Stipulation with the Board.
- 4. Paragraph 15 B of the Stipulation entered into with the Board on May 9, 1997 states:
  - B. Respondent agrees to submit to random sampling of urine and/or bodily fluids, and/or hair for a period of four (4) years when so ordered by the Executive Director of the Board. In addition to the random drug tests which may be ordered during the four (4) year period, during the one year suspension, Respondent will submit to urinalysis testing on the first day of each month at Associated Pathology Laboratories (APL). Should the first day of the month fall on a Saturday or Sunday, Respondent will submit the monthly sample on the first business day of the month. any test or analysis of bodily fluids taken shall be conducted in such manner that the

testing agency shall preserve enough of the sample to allow for subsequent independent confirmatory tests. The results of any tests or analysis of bodily fluids shall be reported to the Board. Respondent shall be responsible for all costs incurred for the analysis of urine, and/or bodily fluids, and/or hair.

- 5. On May 9, 2001 Respondent was sent notice to present himself to Associated Pathologists Laboratories (APL) for blood, hair and urine testing.
  - 6. On May 15, 2001 Respondent presented himself to APL for testing.
  - The results of the testing indicates Respondent tested "positive" for Amphetamines.
  - 8. Paragraph 15C of the Stipulation entered into with the Board on May 9, 1997 states:
    - C. In the event any test or analysis of bodily fluids taken from Respondent pursuant to the terms of this Stipulation is positive, indicating the presence of controlled substances (not pursuant to a valid prescription), Respondent will be notified and allowed to arrange for a subsequent independent confirmatory test. Should the Respondent test positive, Respondent shall voluntarily cease practicing dentistry in the State of Nevada until such time as a full Board hearing is held to evaluate the positive findings and subsequent independent confirmatory tests.

THEREFORE, it is hereby

ORDERED, ADJUDGED AND DECREED that Duff W. Kaster, D.D.S. shall voluntarily cease practicing dentistry in the State of Nevada until such time as a full Board hearing is held to evaluate the positive findings and any subsequent independent confirmatory tests which Dr. Kaster wishes to have performed.

DATED this 18th day of May, 2001.

VaLonne Harmon, Executive Director Nevada State Board of Dental Examiners

STATE OF NEVADA BEFORE THE BOARD OF DENTAL EXAMINERS OF NEVADA IEVADA STATE BOARD OF DENTAL EXAMINERS, Complainant, Case No. 97-37 RECEIPT OF COPY OUFF W. KASTER, D.D.S. Respondent. Receipt of Copy of the Nevada State Board of Dental Examiners Order is hereby acknowledged this 2/ day of May, 2001. 

## **Nevada State Board of Dental Examiners**



6010 S. Rainbow Blvd., Bldg. A, Ste.1 • Las Vegas, NV 89118 • (702) 486-7044 • (800) DDS-EXAM • Fax (702) 486-7046

August 6, 2014

John Bocchi, DDS 5465 Kietzle Lane Reno, NV 89511

Re. Request an Advisory Opinion

Dear Dr. Bocchi.

The Nevada State Board of Dental Examiners is in receipt of your request for an advisory opinion regarding whether investing in a medical marijuana dispensary would violate NRS 631.3475 and NRS 631.349. This matter will be noticed before the Board at the next regularly scheduled meeting to be held on Friday October 3, 2014 at 9.30 a.m. This meeting will be held at the office of the Nevada State Board of Medical Examiners located at 1150 Terminal Way, Suite 301, Reno, Nevada 89502.

Your present is required to address any questions the Board Members may have regarding this request and any supporting documentation submitted.

Should you have additional questions, please do not hesitate to contact me at (702) 486-7044 ext. 23.

Sincerely,

Debra Shaffer-Kugel, Executive Director Nevada State Board of Dental Examiners

Cc. File



#### Nevada Board of Dental Examiners 6010 S. Rainbow Blvd., Bldg. A, Ste. 1 • Las Vegas, NV 89118 (702) 486-7044 • (800) DDS-EXAM • Fax (702) 486-7046

	PEHIK	ON FOR ADVISORY OPINIO	N	
Applicant/Licensee:	John Bocchi	500	Da	te: 8/6/14
Address: 5465 Kie	take Ln		Sui	ite No.:
City: Kens		State: NV	Zip	Code: 89511
Telephone: 775-786	-1911 Fax: 7	75-786-8149 Email:	John & Sicr	rasmiles, com
In the matter of the	e petition for an ac	lvisory opinion of NRS	& NAC Chapter	631:
Note: If you require addition	spect thereof to which anal space you may attacl	separate pages to the netition	form.	
Whether a	licensee wa	uld bring reproa	ch to the	no Anotheo
of dentistry p	ursuant to	NRS 631, 3475 juana dispensary	by he counis	an and
nuestor in a	medical mari	inche dispensant	J 4 3 13	d in
ousiness.	. 150511-01-1	Journa ouspersary	- and cull	TURTION
The substance and	nature of this requ	ost is as follows:	······	4987897017
(State clearly and concis	sely petitioner's question	n.)		2348t
Note: If you require addition	nal space you may attach	separate pages to the petition	form.	E 116 2014
				A HEAR
·				(d)
			·	.8532A2ECC
			···	
Please submit any addit	ional supporting docum	entation with the petition fo	<del>m</del> )	
Wherefore, applicant/li	censee requests that advisory opinion in t	the Nevada State Board	of Dental Examin	ers grant this
	-	$\langle \rangle$	7	5

# State of Nevada Gaming Control Board Medical Marijuana Establishments



#### STATE OF NEVADA

#### GAMING CONTROL BOARD

1919 College Parkway, P.O. Box 8003, Carson City, Nevada 89702
555 E. Washington Avenue, Suite 2600, Las Vegas, Nevada 89101
3650 S. Pointe Circle, Suite 203, P.O. Box 31109, Laughlin, Nevada 89028
557 W. Silver Street, Suite 207, Elko, Nevada 89801
9790 Gateway Drive, Suite 100, Reno, Nevada 89521
750 Pilot Road, Suite H, Las Vegas, Nevada 89119

A.G. BURNETT, Chairman SHAWN R. REID, Member TERRY JOHNSON, Member

### **NOTICE TO LICENSEES**

Notice #2014-39

DATE:

May 6, 2014

TO:

All Gaming Licensees & Applicants

FROM:

Terry Johnson, Esq., Board Member Jemy Kunson

**SUBJECT:** 

Medical Marijuana Establishments

The Gaming Control Board (Board) has received questions regarding whether a person who has received a gaming approval or has applied for a gaming approval may invest in or otherwise participate in medical marijuana establishments approved under Nevada laws and regulations. While the Nevada Legislature has made certain medical marijuana establishments legal, the Controlled Substances Act (CSA) makes it illegal under federal law to manufacture, distribute, dispense or possess marijuana. See 21 U.S.C. § 801, et seq. The federal government has also reiterated that the illegal distribution, possession, and sale of marijuana are serious crimes that provide a significant source of revenue to criminal enterprises, and that there is an expectation that states with some form of legalized marijuana will have strong regulatory practices that are strictly enforced.

The Board is charged with considering and determining whether certain activities by persons or entities involved in gaming implicate the character or integrity of the licensee or would pose a threat to the effective regulation and control of gaming. Further, the Board must also determine whether any such activity by a gaming licensee or applicant that violates federal law would reflect or tend to reflect discredit upon the State of Nevada or its gaming industry.

Accordingly, unless the federal law is changed, the Board does not believe investment or any other involvement in a medical marijuana facility or establishment by a person who has received a gaming approval or has applied for a gaming approval is consistent with the effective regulation of gaming. Further, the Board believes that any such investment or involvement by gaming licensees or applicants would tend to reflect discredit upon gaming in the State of Nevada.



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#### **Nevada Board of Dental Examiners**

6010 S. Rainbow Blvd., Bldg A, Ste. 1 • Las Vegas, NV 89118 (702) 486-7044 • (800) DDS-EXAM • Fax (702) 486-7046

1<sup>ST INSPECTION</sup> Inspector Initials \_\_\_\_\_ Licensee Initials \_\_\_

INFECTION CONTROL INSPECTION/SURVEY FORM				Date of Inspection:			
Licensee Name:				ACKNOWLEDGEMENT AND RECEIPT OF COPY BY OWNER/AUTHORIZED AGENT			
Owner Dentist:  Dental Office Name:				The owner of the dental practice hereby acknowledges that by executing this document below and initialing each page's lower right hand corner on the line "Licensee Initials," receipt of a copy of this inspection/survey form is acknowledged.			
Address:				In the event the dental practice has satisfactorily completed the inspection, as noted in to inspection/survey form, the owner/licensee will receive from the Board's Executive Direction representative, written notice of satisfactorily completing the inspection conduction.			
City: State: Zip Code: Nevada		Zip Code:	If an owner/licensee has commenced the practice of dentistry prior to an Initial Inspection (NAC 631.1785) at any given location that inspection shall be deemed to be a Random				
INSPECTOR(S) / PURPOSE OF INSPECTI	of the real states		KMBUDAKA SELE	Inspection pursuant to NAC 631.179.			
Inspector(s): (1)	(1)	ction Inspecto		If the inspection indicates "critical" deficiencies (items listed as "#1's") the owner/licensee will receive written notice from the Board's Executive Director and/or representative of the "critical" deficiencies and that a re-inspection will be conducted within seventy-two (72)			
(2)	(2)			hours of the written notice. However in the event the "critical" deficiencies noted, pose an			
Initial Inspection: Random Inspection: Re-Inspection Date:				immediate threat to the public health, safety and/or welfare the President of the Board, may without any further action of the Board, issue an Order of Summary Suspension pursuant to NAC 631.179(4).			
IDENTIFIED DEFICIENCIES (List Numbers from the following pages 2-4 where deficiency is noted) # 1 - "Critical" deficiencies: # 2 - "Remedial Action Required" deficiencies:			y is noted)	In the event the inspection indicates "remedial action required" deficiencies (items listed a "#2's"), the owner/licensee will receive written notice from the Board's Executive Director and/or representative of the "remedial action required" deficiencies and that a reinspection will be conducted within seven (7) days of the written notice.			
#3 - "Action Required" deficiencies:				In the event the inspection indicates "action required" deficiencies (items listed with a			
# 4 - "Action Recommended" deficiencie	es:			"#3"), the owner/licensee will receive written notice from the Board's Executive Director and/or representative of the "action required" deficiencies and that a re-inspection will be			
<b>IDENTIFIED DEFICIENCIES FROM RE-</b>	-INSPECT	TON		conducted within thirty (30) days of the written notice.			
				Receipt of a copy of the foregoing is hereby acknowledged;			
COMPLIANCE LEVEL CRITERIA – LEVEL #	# 1-4			By this day of, 20 at:m.			
# 1 - CRITICAL: MUST BE MET. COULD RESULT IN IMMEDIATE TERMINATION OF PATIENT CARE AND EXTENDED OFFICE INABILITY TO TREAT PATIENTS.				Print name:  Title and/or position/capacity:			
# 2 - REMEDIAL ACTION REQUIRED: REQUIRES CORRECTIVE COMPLIANCE WITHIN 7 DAYS.				Re-Inspection Receipt of copy of the foregoing is hereby acknowledged;			
# 3 - ACTION REQUIRED: REQUIRES CORRECTIVE COMPLIANCE WITHIN 30 DAYS.				By this day of, 20 at:m.			
#4-ACTION RECOMMENDED: NOT REQU							
COMPLIANCE REQUIREMENTS SUBJECT		NGE AS CENTE	ER FOR DISEASE	Print name:			
CONTROL (CDC) REQUIREMENTS MAY	CHANGE.			Title and/or position/capacity:			

RE-INSPECTION Inspector Initials \_\_\_\_\_ Licensee Initials \_\_\_\_

	F	Re-Inspection Only - Mark Box With: Y = Corrected/	Complia	int	N = 1
Y	N F	RECORD KEEPING – EACH PRACTICE MUST	LEVEL 1-4	Y	N
R	1	Review the written infection control plan at least annually to ensure compliance with best practices. Review must be documented	3	Υ	N
R	2	Document training at date of hire and annually thereafter of Bloodborne Pathogen <b>for this location</b> .	3	Υ	N
R	3	Document training of health-care employees in selection and use of PPE	3	Υ	N
R	4	Document corrective actions for all deviations from written policy	3	Υ	N
R	6	Maintain up-to-date confidential employee health records and records must be kept since opening date:			
1	7	Injury / Incident records	3	Υ	N
R	8	Emergency telephone numbers posted	3	Υ	N
R	9	Training records kept for 3+ years	3	γ	N
R	11	Maintain logs for equipment repair and maintenance logs for all equipment (i.e. Steralization).		Υ	N
R	12	Maintain biological weekly monitoring & testing logs and be kept for a minimum of 2yrs or since opening date:	1	Y	N
R	13	Post exposure evaluation and follow-up records	3	γ	N
		Has a written infection control program specific to site			
R	17	Includes a written procedure for steralization montoring and a written process for managing semi critical and critical items to include semi critical & critical instruments, the instrument processing area, preparation and packaging of instruments, sterilization, and storage of sterilized instruments and clean dental items.	3	Υ	N
R	18	Includes a written process for managing failed chemical, heat or biological monitoring	3	γ	N
R	19	Includes written policies for use of personal protective equipment (PPE)	3	γ	N
R	20	Maintains documentation of vaccinations offered to HCW with infectious exposure risk (Hepatits B, Infuenza) or declined by HWC documentaion indicating the acceptance or decline by HWC infectious exposure rick.	3	Υ	N

Y/N	На	s a written infection control program	LEVEL 1-4	Υ	N
R	25	Written policies and procedures regarding all occupational exposures which include post exposure medical evaluation plan (use CDC: needle stick/sharps injury/exposure protocol) and 24/7 contact telephone number for qualified designated health care provider.  Provide access to Sharps injury log.	3	Y	N
		Provide access to Exposure and Incident reporting forms.			
R	26	Maintain documentation of post exposure follow-up for all sharps injuries involving contaminated instruments	3	Υ	N
R	27	Includes written policies and procedures for medical waste management	3	Υ	N
R	28	Maintain current name and telephone number of Licensed waste hauler used for regulated waste		N	
R	29	Includes written policies and procedures for aseptic management during patient care	3	Υ	N
R	30	Includes written policies and procedures for surface disinfection and environmental barrier protection	3	Υ	N
R	31	Includes written policies and procedures for laboratory procedures	3	Υ	N
R	32	Includes written policy and procedure for patients known to have communicable disease on arrival (TB, Influenza)	3	Υ	2
R	33	A Comprehensive medical history form is use to evaluate patients and routinely reviewed and updated	3	Υ	N
		Has employee training and monitoring program			
R	35	Provide and document education and training that is appropriate to the assigned duties of the specific DHCP and includes hands-on training for all staff assigned to process semi critical and critical instruments	3	Υ	N
R	37	Monitors and documents compliance with use of PPE	3 Y		N
7.0	38	Provides and documents training in hand hygiene, including selection of antiseptic agents	2	Ý	N
P	39	Provides annual infection control training		Υ	N
R	40	Single use or sterilization for critical items	1	Y	N
	41	Multi - dose vials used		Υ	N
R	42	a) if yes, vials are only entered with new, sterile syringe with a new, sterile needle	1   Y	N	n/a

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1 inspector initials	Licensee initials

N

3

Includes written policies and procedures for handling and

management of sharps

Includes a Sharps Injury log exist

24

R 23

		Ö

Y/N	Co	ommunicable Disease control Procedures	LEVEL 1-4	Υ	N	N/ A
R	43	b) Cap of multi-dose vial cleaned with alcohol based wipe before being accessed	2	Υ	N	N/ A
R	44	c) Are multi-use vials discarded when expired or 28 days after initial access (as applicable) - Must have date when first accessed	2	Υ	N	N/ A
R	45	d) is initial access dated on the multi-use vials		γ	N	N/ A
R	46	Fluid infusion and administration sets (IV bags, tubing and connectors) used?		Υ	N	
R	47	a) if yes, used only on one patient	1	γ	Al	16/ A
1	48	b) Disposed of after single use?	1	Υ	N	N/ A
R	49	c) Single IV bag is <u>not</u> used to mix medications for more than one patient	1	Y	N	11/ A
R	50	d) Single dose medication/infusions are used for only one patient and discarded after use	1	¥	N	M/ A
ł	51	Personnel wear utility gloves when processing contaminated instruments - Not latex type for patient care		γ	Ν	
R	52	Supplies for hand hygiene accessible to employees at point of need		Y	N	
R	53	Soap and water easily accessible	2	Υ	N	
	54	Alcohol based rubs easily accessible-if used	2	Υ	Ν	
R	55	Team members display appropriate hand hygiene techniques	1	Y	N	
		Appropriate PPE supplies accessible for licensees & employed	es with	expos	ure r	sks
R	56	Gloves (Latex and latex free or just latex free) Sterile Surgical Glovesfor surgical procedures ( Examples:)	1	Υ	N	
R	57	Masks	1	Y	N	
3	59	Safety glasses with side shield or full face shields	1	Y	N	
R	60	Disposable gowns/laundered gowns offered	1	Y	N	
R	61	Health care workers display appropriate use of PPE barriers		Υ	N	
	62	Running water eye wash station accessible	3	Υ	N	
	63	Appropriate barrier products available ( dental dams, protective eyewear, other)	2	Υ	N	
R	64	Basic first aid products and equipment available (Recommended to include: nitrogylerin, Benadryl, epipen, oxygen, aspirin, albuterol, glucose, glucagon)	4	Υ	N	

Y/ N		propriate PPE supplies accessible for licensees & ployees with exposure risks	LEVEL 1-4	Υ	N	N/ A
R	65	Dental unit water lines flushed between patients for a minimum of 20 seconds	2	Υ	M	
R	66	Dental unit water lines are treated to remove biofilm	4	Y	N	
R	67	Maintain documentation of dental unit water line testing to meet the potable water standard of EPA (< 500 CFU/ml)	4	Υ	N	
R	68	Maintain documentaion of dental unit water lines not meeting the potable water standard of EPA are treated and retested	4	Υ	N	N/ A
		Cleaning, Disinfection and Sterilization of patient care d	evices,	instr	umer	its
R	69	Biofilm and organic matter are removed from critical and semi-critical instruments using detergents or enzymatic cleaners prior to sterilization	2	Υ	N	
R	70	Sterilization equipment available and fully functional	1	Y	N	
R	71	1 Number of working autoclaves:		γ	N	N/ A
R	72	72 Number of working chemiclaves:		γ	N	N/
R	73	73 Number of working dry heat sterilizers:		Y	M	N/
R	74	Number of working Flash steam sterilizers (Statim):		Y	N	N/
R	75	Number of working ultrasonic cleaners:	1	γ	N	
R	76	Endodontic files/instrumentation sterilized or disposed	1	¥	N	
R	77	Is Biological testing of sterilizer completed weekly	1	γ	N	
R	78	If independent biological testing service, Name:		Υ	N	N/ A
R	79	If in-office biological testing, is control processed?	2	Y	N	N/ A
R	80	Sterilization cycles are verified with chemical/heat		γ	N	
R	81	Critical items (any instrument that penetrates soft tissue or bone) instruments are sterilized after each use	1	Y	N	
R	82	Use a biological indicator for every sterilizer load that contains a non-sterile Implantable device. Verify results before using the implantable device, whenever possible.	1	Υ	N	N/A
	83	Proper sterilization loading technique, not overloading	2	Υ	N	

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Y/N		aning, Disinfection and Sterilization of patient e devices, instruments	LEVEL 1-4	Υ	N	N/ A
R	84	Heat Tolerant Handpieces are sterilized after each use (including high & low speed handpieces, prophylaxis angles, ultrasonic and sonic scaling tips, air abrasion devices, air and water syringe tips, and motorswith exception of electric type models)	1	Y	N	
R	85	Sterile packs are inspected for integrity, compromised packs are reprocessed	2	Υ	1	
R	86	Event-related monitoring is used to monitor package integrity and packages are appropriately stored with a minimum of an initial date stamp	2	Υ	N	
R	87	Single use instruments or devices are not processed and re-used	1	¥	EV	
R	88	Semi-critical items are sterilized after each use if not heat sensitive	1	¥	N	
R	89	Heat sensitive semi-critical are at a minimum high level disinfected after each use or chemical sterilized after each use	1	٧	N	
R	90	Practice is using an FDA approved chemical sterilant	2	Y	N	ady 3
R	91	All applicable label instruction are followed on EPA- registered chemical sterilant (dilution, shelf life, storage, safe use, disposal and material compatibility	2	Y	N	50) 70
R	92	Practice is using an FDA approved high level disinfectant	2	Υ	N	
R	93	Chemical used for high level disinfection are prepared according to manufacturer's instructions (dilution, shelf life, storage, safe use, disposal and material compatibility)	2	γ	N	
R	94	Chemical used for high level disinfection are dated with expiration dates and discarded before expiration dates	2	Υ	Street,	
		Aseptic Techniques:				
R	95	Splash shields and equipment guards used on dental laboratory lathes	4	γ	N	N/ A
R	96	Fresh pumice and a sterilized, or new rag wheel used for each patient	2	Υ	N	N/ A
R	97	Are devices used to polish, trim or adjust contaminated intraoral devices being disinfected or sterilized	2	Y	22	N/ A
R	98	Intraoral items such as impressions, bite registrations, prostheses and orthodontic appliances are cleaned and disinfected	2	Υ	day Case	
R	99	Includes written policies and procedures to prevent cross comtamination when taking and processing dental radiographs	3	Υ	N	

Y/N	En	vironmental Infection Control	LEVEL 1-4	Y	N
R	100	Semi-critical environmental surfaces (frequently touched surface that could potentially allow secondary transmission to HCW or patients) are decontaminated between patients using a high level surface disinfectant	2	Υ	N
R	101	Noncritical environmental surfaces are decontaminated between patients		Υ	N
R	102	Objects and environmental surfaces are disinfected with an EPA registered tuberculocidal disinfectant at beginning of day	2	γ	N
R	103	Objects and environmental surfaces are disinfected with an EPA registered tuberculocidal disinfectant between patients	2	Υ	N
R	Objects and environmental surfaces are disinfected with an EPA registered tuberculocidal disinfectant at the end of the day		2	Υ	N
R	105	EPA registered tuberculocidal disinfectants are used at the dilution specified by the manufacturer	2	¥	N
R	All clinical contact surfaces are protected with barriers (especially areas that are difficult to clean)		2	Υ	N
R	107	Clinical contact barriers are changed between patients	2	Υ	N
R	108	Decontamination and clean areas separated in the instrument processing area	2	Υ	N
R	109	Biohazardous waste is disposed of properly	2	Y	N
		Sharps			
R	110	Approved sharps containers utilized and accessible	2	Υ	N
R	111	Sharps container taken out of service and processed appropriately	2	Υ	N
R	112	Safe recapping techniques/devices used	2	Υ	N
R	113	Sharps (needles, blades) are single use	1	Y	N
R	114	Employees use engineering controls (e.g., forceps) to retrieve contaminated sharps from trays or containers	2	Υ	N

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RE-INSPECTION Inspector Initials \_\_\_\_\_ Licensee Initials \_\_\_\_\_

# Healthcare Personnel Vaccination Recommendations<sup>1</sup>

Vaccine	Recommendations in brief
Hepatitis B	Give 3-dose series (dose #1 now, #2 in 1 month, #3 approximately 5 months after #2). Give IM. Obtain anti- HBs serologic testing 1-2 months after dose #3.
Influenza	Give 1 dose of influenza vaccine annually. Give inactivated injectable vaccine intramuscularly or live attenuated influenza vaccine (LAIV) intranasally.
MMR	For healthcare personnel (HCP) born in 1957 or later without serologic evidence of immunity or prior vaccination, give 2 doses of MMR, 4 weeks apart. For HCP born prior to 1957, see below. Give SC.
Varicella (chickenpox)	For HCP who have no serologic proof of immunity, prior vaccination, or history of varicella disease, give 2 doses of varicella vaccine, 4 weeks apart. Give SC.
Tetanus, diphtheria, pertussis	Give a dose of Tdap as soon as feasible to all HCP who have not received Tdap previously and to pregnant HCP with each pregnancy (see below). Give Td boosters every 10 years thereafter. Give IM.
Meningococcal	Give 1 dose to microbiologists who are routinely exposed to isolates of <i>N. meningitidis</i> and boost every 5 years if risk continues. Give MCV4 IM; if necessary to use MPSV4, give SC.

Hepatitis A, typhoid, and polio vaccines are not routinely recommended for HCP who may have on-the-job exposure to fecal material.

#### **Hepatitis B**

Healthcare personnel (HCP) who perform tasks that may involve exposure to blood or body fluids should receive a 3-dose series of hepatitis B vaccine at 0-, 1-, and 6-month intervals. Test for hepatitis B surface antibody (anti-HBs) to document immunity 1-2 months after dose #3.

- If anti-HBs is at least 10 mIU/mL (positive), the patient is immune. No further serologic testing or vaccination is recommended.
- If anti-HBs is less than 10 mIU/mL (negative), the patient is unprotected from hepatitis B virus (HBV) infection; revaccinate with a 3-dose series. Retest anti-HBs 1-2 months after dose #3.
- If anti-HBs is positive, the patient is immune. No further testing or vaccination is recommended.
- If anti-HBs is negative after 6 doses of vaccine, patient is a non-responder. For non-responders: HCP who are non-responders should be considered susceptible to HBV and should be counseled regarding precautions to prevent HBV infection and the need to obtain HBIG prophylaxis for any known or probable parenteral exposure to hepatitis B surface antigen (HBsAg)-positive blood or blood with unknown HBsAg status. It is also possible that non-responders are people who are HBsAg positive. Testing should be considered. HCP found to be HBsAg positive should be counseled and medically evaluated.

Note: Anti-HBs testing is not recommended routinely for all previously vaccinated HCP who were not tested 1–2 months after their original vaccine series. However, pre-exposure testing may be preferred for trainees, certain occupations, and HCP working in certain populations. For details see reference 2.

#### Influenza

All HCP, including physicians, nurses, paramedics, emergency medical technicians, employees of nursing homes and chronic care facilities, students in these professions, and volunteers, should receive annual vaccination against influenza. Live attenuated influenza vaccine (LAIV) may be given only to non-pregnant healthy HCP age 49 years and younger. Inactivated injectable influenza vaccine (IIV) is preferred over LAIV for HCP who are in close contact with severely immunosuppressed people (e.g., stem cell transplant patients) when patients require protective isolation.

#### Measles, Mumps, Rubella (MMR)

HCP who work in medical facilities should be immune to measles, mumps, and rubella.

 HCP born in 1957 or later can be considered immune to measles, mumps, or rubella only if they have documentation of (a) laboratory confirmation of disease or immunity or (b) appropriate vaccination against measles, mumps, and rubella (i.e., 2 doses of live measles and mumps vaccines given on or

- after the first birthday and separated by 28 days or more, and at least 1 dose of live rubella vaccine). HCP with 2 documented doses of MMR are not recommended to be serologically tested for immunity; but if they are tested and results are negative or equivocal for measles, mumps, and/or rubella, these HCP should be considered to have presumptive evidence of immunity to measles, mumps, and/or rubella and are not in need of additional MMR doses.
- Although birth before 1957 generally is considered acceptable evidence of measles, mumps, and rubella immunity, healthcare facilities should consider recommending 2 doses of MMR vaccine routinely to unvaccinated HCP born before 1957 who do not have laboratory evidence of disease or immunity to measles and/or mumps, and should consider 1 dose of MMR for HCP with no laboratory evidence of disease or immunity to rubella. For these same HCP who do not have evidence of immunity, healthcare facilities should recommend 2 doses of MMR vaccine during an outbreak of measles or mumps and 1 dose during an outbreak of rubella.

#### Varicella

It is recommended that all HCP be immune to varicella. Evidence of immunity in HCP includes documentation of 2 doses of varicella vaccine given at least 28 days apart, history of varicella or herpes zoster based on physician diagnosis, laboratory evidence of immunity, or laboratory confirmation of disease.

#### Tetanus/Diphtheria/Pertussis (Td/Tdap)

All HCPs who have not or are unsure if they have previously received a dose of Tdap should receive a dose of Tdap as soon as feasible, without regard to the interval since the previous dose of Td. Pregnant HCP need to get repeat doses during each pregnancy. All HCPs should then receive Td boosters every 10 years thereafter.

#### Meningococcal

Vaccination with MCV4 is recommended for microbiologists who are routinely exposed to isolates of *N. meningitidis*.

#### References

- CDC. Immunization of Health-Care Personnel: Recommendations of the Advisory Committee on Immunization Practices (ACIP). MMWR, 2011; 60(RR-7).
- CDC. CDC Guidance for Evaluating Health-Care Personnel for Hepatitis B Virus Protection and for Administering Postexposure Management, MMWR, 2013; 62(10):1-19.

For additional specific ACIP recommendations, refer to the official ACIP statements published in MMWR. To obtain copies, visit CDC's website at www.cdc.gov/vaccines/pubs/ACIP-list.htm; or visit the Immunization Action Coalition (IAC) website at www.immunize.org/acip

Technical content reviewed by the Centers for Disease Control and Prevention



## **Nevada State Board of Dental Examiners**

6010 S. Rainbow Blvd., Bldg. A, Ste. 1 Las Vegas, NV 89118 (702) 486-7044 • (800) DDS-EXAM • Fax (702) 486-7046

# CONSCIOUS SEDATION INSPECTION AND EVALUATION ▼ ON-SITE/ADMINISTRATOR ☐ SITE ONLY

Name of Practitioner:	Proposed Dates:
	Sept 5 2014
Location to be Inspected:	Telephone Number:
	. , —
Date of Evaluation:	Time of Evaluation:
Syr 5 2014	8 An
Evaluators	
1.	1
2.	
3.	

# INSTRUCTIONS FOR COMPLETING CONSCIOUS SEDATION ON-SITE INSPECTION AND EVALUATION FORM:

- 1. Prior to evaluation, review criteria and guidelines for Conscious Sedation (CS) On-Site/Administrator and Site Only Inspection and Evaluation in the Examiner Manual.
- 2. Each evaluator should complete a CS On-Site/Administrator or Site Only Inspection and Evaluation form independently by checking the appropriate answer box to the corresponding question or by filling in a blank space.
- 3. Answer each question. (For Site Only Inspections complete sections A, B, and C)
- 4. After answering all questions, each evaluator should make a separate overall "pass" or "fail" recommendation to the Board. "Fail" recommendations must be documented with a narrative explanation.
- 5. Sign the evaluation report and return to the Board office within ten (10) days after evaluation has been completed.

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## A. OFFICE FACILITIES AND EQUIPMENT

1.	Operating Theater	YES	NO
a	. Is operating theater large enough to adequately accommodate the patient	125	110
	on a table of in an operating chair?	🏌	
b	Does the operating theater permit an operating team consisting of at least	<del>                                     </del>	<del> </del>
L	uree individuals to freely move about the patient?	X	
2.	Operating Chair or Table		<del>-</del>
	Does operating chair or table permit the patient to be positioned so the operating team can maintain the airway?	K	
	Does operating chair or table permit the team to quickly alter the patient's position in an emergency?	K	
C.	Does operating chair or table provide a firm platform for the management of cardiopulmonary resuscitation?	X	
3. ]	Lighting System		
	Does lighting system permit evaluation of the patient's skin and mucosal color?	K	
	Is there a battery powered backup lighting system?	2/	
c.	Is backup lighting system of sufficient intensity to permit completion of any operation underway at the time of general power failure?	X	
4. S	uction Equipment		
a.	Does suction equipment permit aspiration of the oral and pharyngeal Cavities?	*	-
b.	Is there a backup suction device available which can operate at the time of General power failure?	<b>K</b> .	
5. C	xygen Delivery System	·	
a.	Does oxygen delivery system have adequate full face masks and appropriate connectors and is capable of delivering oxygen to the patient under positive pressure?	*	
b.	Is there an adequate backup oxygen delivery system which can operate at the Time of general power failure?	X	
6. R	ecovery Area (Recovery area can be operating theater)		
a.	Does recovery area have available oxygen?	V.	
Ъ.	Does recovery area have available adequate suction?	K	
С.	Does recovery area have adequate lighting?	*	
d.	Does recovery area have available adequate electrical outlets?	*	

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7. Ancillary Equipment in Good Operating Condition?	YES	NO
a. Are there oral airways?	*	
b. Is there a tonsilar or pharyngeal type suction tip adaptable to all office outlets?	4	
c. Is there a sphygmomanometer and stethoscope?	₩ ₩	-
d. Is there adequate equipment for the establishment of an intravenous infusion?	X X	
e. Is there a pulse oximeter?	1	

# B. RECORDS - Are the following records maintained?

1.	An adequate medical history of the patient?		<del></del> ,
2.	An adequate physical evaluation of the patient?	K J	
3.	Sedation records show blood pressure reading?	X	<del></del>
4.	Sedation records show pulse reading?		
5.	Sedation records listing the drugs administered, amounts administered, and time administered?	Y.	
6.	Sedation records reflecting the length of the procedure?	1	· · · · · · · · · · · · · · · · · · ·
7.	Sedation records reflecting any complications of the procedure, if any?	*	
8.	Written informed consent of the patient, or if the patient is a minor, his or her parent or guardian's consent for sedation?	K	· · ·

### C. DRUGS

	DRUG NAME	EXPIRES	YES	NO
Vasopressor drug available?	CPi 1:1000	5/15	Ķ	
2. Corticosteroid drug available?	Sola Cornt	3115	K	
3. Bronchodilator drug available?	Albukrol	12/15	<del>\</del>	
<ol> <li>Appropriate drug antagonists available?</li> </ol>	Wal day	15/15	WI	CEIVE

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5 Antihintamin's Jun 1711.0	DRUG NAME	EXPIRES	YES	NO
5. Antihistaminic drug available?	Diplen hydronia	7/15	K	
6. Anticholinergic drug available?	ATROPINE	12/15	K	<del> </del>
7. Coronary artery vasodilator drug available?	niteostate	3/15	k.	
3. Anticonvulsant drug available?	Di Azepan	2.115	<i>X</i>	<del> </del> -
Oxygen available?		10/2015	1/	_
		7 2 015		_

## D. DEMONSTRATION OF CONSCIOUS SEDATION

1	. Who administered conscious collections		·
L	Dentist's Name:		
2.	sedation?		<del>-;</del> "
3.	with a pulse oximeter?	K	<del> </del>
	If not, what type of monitoring was utilized?		
4.		<del>                                     </del>	<del></del>
	Monitored by whom:	1	
	Is this person a licensed health professional experienced in the care and resuscitation of patients recovering from conscious sedation?	4	
	Were personnel competent?	1,1	
7.	Are all personnel involved with the care of patients certified in basic cardiac life support?	T X	
8.	Was dentist able to perform the procedure without any action or omission that could have resulted in a life threatening situation to the patient?		
9,	What was the length of the case demonstrated?		

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# E. SIMULATED EMERGENCIES – Was dentist and staff able to demonstrate knowledge and ability in recognition and treatment of:

-	1 Airrent 1	YES	NO
	1. Airway obstruction laryngospasm?		
	2. Bronchospasm?		11_
_			/
¥	3. Emesis and aspiration of foreign material under anesthesia?	_	X
<u> </u>	4. Angina pectoris?		
		*	
	5. Myocardial infarction?	-	<del> </del> -
	6. Hypotension?		
*	o. Hypotension:		\ \ \ \
N-	7. Hypertension?		
`   <u></u>	8. Cardiac arrest?	X	
	5. Cardiac arrest?	1	
	9. Allergic reaction?	<u>                                   </u>	
		1	
1	O. Convulsions?	1.	
$\frac{1}{1}$	I. Hypoglycemia?	1×	<del> </del>
	· · · · · · · · · · · · · · · · · · ·	K	
12	2. Asthma?	1	<del> </del>
13	3. Respiratory depression?	<u> </u>	
		Ιχ	
14	. Allergy to or overdose from local anesthesia?		<del> </del>
15	Hyparyontilation 1 0	A	
^-	. Hyperventilation syndrome?	1.	
16	. Syncope?	+ *	<del> </del> -
		1 *	,

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	Evaluator Overall Recommendation Pass Fail	
Comments: DR	WAS Able TO Demonsmark	A CS. Wittin
very good guidlines.	She was not Able to Pass	her or al Emerge 4
Scenerius on 1,2,3	£6. I Reformed She S	and the makial
and ve take her Emergeny	sunais section only Ever	4, Min Else WAL
Exlelling!	· · · · · · · · · · · · · · · · · · ·	
Signa syeluator		5 14



## Nevada State Board of Dental Examiners

6010 S. Rainbow Bivd., Bldg. A, Ste. 1 Las Vegas, NV 89118 (702) 486-7044 • (800) DDS-EXAM • Fax (702) 486-7046

# CONSCIOUS SEDATION INSPECTION AND EVALUATION ON-SITE/ADMINISTRATOR SITE ONLY

Name of Practitioner:	Proposed Pates:
	9/5/14
Location to be Inspected:	Telephorie Number:
	· ,
Date of Evaluation:	Time of Evaluation:  8.00 An.
Evaluators	
1. <b>DD</b> .	
2. DR.	
3.	

# INSTRUCTIONS FOR COMPLETING CONSCIOUS SEDATION ON-SITE INSPECTION AND EVALUATION FORM:

- 1. Prior to evaluation, review criteria and guidelines for Conscious Sedation (CS) On-Site/Administrator and Site Only Inspection and Evaluation in the Examiner Manual.
- Each evaluator should complete a CS On-Site/Administrator or Site Only Inspection and Evaluation form independently by checking the appropriate answer box to the corresponding question or by filling in a blank space.
- 3. Answer each question. (For Site Only Inspections and Evaluations, complete sections A, B, and D)
- 4. After answering all questions, each evaluator should make a separate overall "pass" or "fail" recommendation to the Board. "Fail" recommendations must be documented with a narrative explanation.
- Sign the evaluation report and return to the Board office within ten (10) days after evaluation has been completed.

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# -\. OFFICE FACILITIES AND EQUIPMENT

1. Operating Theater		
a. Is operating theater large enough to adequately accommodate the patient	YES	NO
on a table or in an operating chair?	$\sqrt{\chi}$	
b. Does the operating theorem and the state of the state		
b. Does the operating theater permit an operating team consisting of at least	V	
three individuals to freely move about the patient?  2. Operating Chair or Table		
2. Operating chair of 14018		
a. Does operating chair or table permit the patient to be positioned so the		<del></del>
( Operating team tan maintain the aircrass)		
b. Does operating chair or table permit the team to quickly alter the patient's		
f bonnon in an emergency	l Å.	
c. Does operating chair or table provide a firm platform for the management	$\forall$	<u> </u>
of cardiopunitoliary resuscitation?	lλ	
3. Lighting System		
a. Does lighting system permit evaluation of the patient's skin and mucosal	<b></b>	ļ-
00101:	X	
b. Is there a battery powered backup lighting system?	10	
<u></u>	V	
c. Is backup lighting system of sufficient intensity to permit completion of any		
operation underway at the time of general power failure?	<b>Y</b>	
4. Suction Equipment	<del>                                     </del>	
a. Does suction equipment permit aspiration of the oral and pharyngeal	\ <u>`</u>	
Cavities?	X	
b. Is there a backup suction device available which can operate at the time of	7/5	
General power failure?	ΙX	
5. Oxygen Delivery System	1	·
a. Does oxygen delivery system have allowed 6.11.6		
a. Does oxygen delivery system have adequate full face masks and appropriate		
connectors and is capable of delivering oxygen to the patient under positive pressure?	Y	·
	$\Delta$	
b. Is there an adequate backup oxygen delivery system which can operate at the		
Time of general power failure?	$\mathcal{N}$	
6. Recovery Area (Recovery area can be operating theater)		<del></del>
a. Does recovery area have available oxygen?	1	
	$\mathcal{L}$	
b. Does recovery area have available adequate suction?	*/>	_
	′Χ	
c. Does recovery area have adequate lighting?	1	
	$\chi$	
d. Does recovery area have available adequate electrical outlets?	/\	•
- Vision of the control and the control of the cont	X	
	$-\sqrt{V}$	· · · <u> </u>

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1. 1	Ancillary Equipment in Good Operating Condition?	YES	NO
a.	Are there oral airways?	TES T	NO
b.	Is there a tonsilar or pharyngeal type suction tip adaptable to all office outlets?	V	
c.	Is there a sphygmomanometer and stethoscope?		
đ.	Is there adequate equipment for the establishment of an intravenous infusion?		-
e.	Is there a pulse oximeter?		

## B. RECORDS - Are the following records maintained?

1	An adequate westign bird and Cal		^	
	An adequate medical history of the patient?	1	$\int_{-\infty}^{\infty}$	
2.	An adequate physical evaluation of the patient?	TX	7	-
	Sedation records show blood pressure reading?	卜		<u> </u>
4,	Sedation records show pulse reading?	1		<del></del>
5.	Sedation records listing the drugs administered, amounts administered, and time administered?		<i>₩</i>	
6.	Sedation records reflecting the length of the procedure?	+		
7.	Sedation records reflecting any complications of the procedure, if any?	†*X	<u> </u>	<u> </u>
8.	Written informed consent of the patient, or if the patient is a minor, his or her parent or guardian's consent for sedation?	K	<del>}</del>	
		<del>'/</del>	<del></del>	<u></u>

#### C. DRUGS

	DRUG NAME	EXPIRES	YES	NO
Vasopressor drug available?	en: 1:1000	5/15	X	
2. Corticosteroid drug available?	Sold OR bF	3/15	X	<del> </del>
3. Bronchodilator drug available?	Al boken	12/15	X	
4. Appropriate drug antagonists available?	NALOYONO	10/15	X	<del> </del>

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DRUG NAME	EXPIRES	YES	NO
Dipher htdramp	7/15	V	
Λ1.	1/2/10	X	
	3/15	X	
DIA LEBAN "	2/10	1	
101-17	16/15	Y	
	DRUGNAME Dipher hydramic Alrophe MHROSIAT DIALEPAM	Dipher hydramie 7/15 Alpophe 12/15	Dipher htdrame 7/15 X Alpophe 12/15 X

## D. DEMONSTRATION OF CONSCIOUS SEDATION

1 1	Who administered conscious sedation?		
	Dentist's Name:		
2.	Was sedation case demonstrated within the definition of conscious	<del></del>	
	sedation?	_ _X	
3.	While sedated, was patient continuously monitored during the procedure with a pulse oximeter?		
	If not, what type of monitoring was utilized?	X	
4.	Was the patient monitored while recovering from sedation?	V	
	Monitored by whom:	$A \cap A \cap A$	
5.	Is this person a licensed health professional experienced in the care and resuscitation of patients recovering from conscious sedation?	V	
6.	Were personnel competent?	1	<u>-</u> -
7.	Are all personnel involved with the care of patients certified in basic cardiac life support?	1	
8.			
9,	What was the length of the case demonstrated? 45 minutes	1/	

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SIMULATED EMERGENCIES – Was dentist and staff able to demonstrate knowledge and ability in recognition and treatment of:

	YES	NO
1. Airway obstruction laryngospasm?		X
2. Bronchospasm?		1
3. Emesis and aspiration of foreign material under anesthesia?		1
4. Angina pectoris?		
5. Myocardial infarction?		
6. Hypotension?		<del>  X                                   </del>
7. Hypertension?		11
8. Cardiac arrest?		<u> </u>
9. Allergic reaction?		
10. Convulsions?		
11. Hypoglycemia?		
12. Asthma?		
13. Respiratory depression?		-
14. Allergy to or overdose from local anesthesia?	- \\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\	<b>-</b>
15. Hyperventilation syndrome?		
6. Syncope?	/\	

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Evaluator Overall	Recommendation
	The state of the s
Pass	W Fail
	<del></del>
<u> </u>	10

Comments: DR. Needs to Review Scenerios, She did well with admirishedry her meds and monitority her pottent. DR.

- needed Coaching throughout the scenerios and needed clarification on the difference between Branchosposm and larlyngosposm along unto emeris and hypotensium. If DR. could perform on her own and be allowed to Detart only the area portion of the examination, that would be sufficient.

Sign For Evaluator

9/16/14 Date

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## **Nevada State Board of Dental Examiners**

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## **VOLUNTARY SURRENDER OF LICENSE**

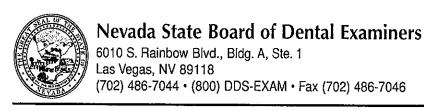
STATE OF Nevada	
COUNTY OF Clark	
Dental Dental Hygiene (circle one) license number  August, 2014.	, hereby surrender my Nevada
By signing this document, I understand, pursuant to I	Nevada Administrative Code (NAC)
631.160, the surrender of this license is absolute and	irrevocable. Additionally, I
understand that the voluntary surrender of this licens	e does not preclude the Board from
hearing a complaint for disciplinary action filed again	nst this licensee.
Licensee Signature	ALIPTIA I ENMA
8/22/2014	Notary Semmission Expires Notary Semmission Expires
Notary Signature Notary Signature	
Licensee Current Mailing Address:	
Home Phone	

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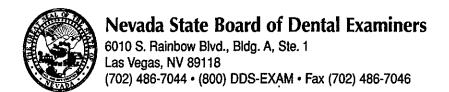
02/2013

**NSBDE** 



## **VOLUNTARY SURRENDER OF LICENSE**

STATE OF NEVOLO	
COUNTY OF _ (1) (1) (1)	
I, JEAN CHRISTINE THOMPSON, hereby surrender my Nevada	
	y of
July , 20 14.	
By signing this document, I understand, pursuant to Nevada Administrative Code (N	AC)
631.160, the surrender of this license is absolute and irrevocable. Additionally, I	
understand that the voluntary surrender of this license does not preclude the Board fr	om
hearing a complaint for disciplinary action filed against this licensee.	
Licensee Signature  Notary Seal  Notary Seal  Notary Seal  NOTARY PUBLIC  STATE OF NEVADA  County of Clark  No: 10-1627-1  My Appointment Expires June 21, 2016	
Licensee Current Mailing Address:  Home Phone  Cell Phone:	<del>-</del>
12 12 12 12 12 12 12 12 12 12 12 12 12 1	02/2013
2585110188183	



## **VOLUNTARY SURRENDER OF LICENSE**

STATE OF ONIO	
COUNTY OF Butler	
I, Michelle Pefley Dental (Dental Hygiene) (circle one) license  July 2014.	number 101624 on 29th day of
By signing this document, I understand, pur	suant to Nevada Administrative Code (NAC)
631.160, the surrender of this license is absorbed.	olute and irrevocable. Additionally, I
understand that the voluntary surrender of the	his license does not preclude the Board from
hearing a complaint for disciplinary action is	filed against this licensee.
Licensee Signature  7-29-(4  Date  Notary Signature J. BLAND  Notary Signature J. BLAND  Notary Signature J. BLAND  Notary Public STATE OF OHIO  Recorded in Butler County  My commission expires Mar. 12, 2018  Licensee Current Mailing Address:	TARY PUBLICATION TO THE OF ORIGINAL PROPERTY ORIGINAL PROPERTY OF ORIGINAL PROPERTY ORIGINAL PROPERTY ORIGINAL PROPERTY ORIGINAL PROPERTY ORIGINAL PROPERTY
Home Phone	Cell Phone:





MY APPT. EXPIRES JULY 12, 2017

## **Nevada State Board of Dental Examiners**

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Name	RICHARD M.	Vielens, and	Current Phor	RETIRED LICENSE
Complete	Mailing Address			
I, <u>Rou</u>	AND M. Trevens	, wish to read	tivate my inactive Dental/ De	ntal Hygiene (circle one) license num
33-42,	which was placed on in	active retired status on 1 Jul	ארבין I certify (choose or	ne below):
	•		, , ,	
	have maintained an act ny Nevada license has b		license and working) outside	the state of Nevada during the period
	uirements for reactivation		4	
ı	confirmation of the cor	nton tee of \$300.00 in addition to	the current active license fees. Y	ou will need to contact the Board office for
2	. Provide a list of employ	yment during the time the Nevada	license was inactive;	
	<ul> <li>Submit proof of current</li> <li>Submit proof of complete</li> </ul>	t CPR certification (online certific	ation is NOT acceptable);	d
7	a. For Dentists reactive 2 must be in infecti	vating, 20 credit hours are required on control);	l (of those 20, a minimum of 14 l	ompleted within the previous 12 months): MUST be live-instruction and a minimum
5	of 2 must be in infe	ctivating, 15 credit hours are requi ection control); uery report from the National Prac		0.5 MUST be live-instruction and a minim
6	<ul> <li>Provide certification from</li> </ul>	om each jurisdiction in which you	currently hold a license (expired,	inactive, retired, etc.) to practice dentistry
	dental hygiene, that the	license is in good standing and th	at no proceedings which may affe	ect that standing are pending;
,	. Provide letters of recoil	nmendation from two (2) licensed	denusis;	
<u>×</u> 1	have not maintained an	active license and practice (no	active license and not working	ng) for one or more years outside the
S	tate of Nevada during th	ne period my Nevada license ha	s been inactive or retired;	
Req	uirements for reactivation  For licenses on inactive	on are: e/retired status for less than 2 ye	3.03 th C 4	
	<ul> <li>a. Complete items (1) t</li> </ul>	hrough (5) above.		
2.	For licenses on inactiv	e/retired status for 2 years or m	ore:	
	a. Complete items (1) t b. Pass such additional	hrough (5) above; examinations for licensure as the	Roard may preseribe	
			,	
I certify th	at during the period of	1 JUN 2013 through	18 SEPT 2014 (the perio	d my license was inactive/retired), I h
- C NT 1-	_ filing(s) or service or	claim(s) or complaint(s) of ma	practice or disciplinary action	n(s) in any jurisdiction outside the Stat
APPLICA	. FULL DISCLUSUR TION	E OF EACH SUCH CASE N	TUST BE ENCLOSED WIT	'H THIS REACTIVATION
Al I Dica	X1101%			
I authoriz	e and empower the Ne	evada State Board of Dental 1	Examiners or its agent to co	ntact any person, firm, service,
agency, or	r the like to obtain info	rmation deemed necessary o	r desirable by the Board to	verify any information contained in
my applic	ation to reactivate my	inactive/retired license base	l upon this affidavit. I ackn	owledge I have a continuing
responsib	шгу to update all infor n - Foilure of an appli	mation contained in this app	lication until such time as the	ne Board takes action on this Board is grounds for subsequent
disciplina	n. Tandie of all apple rv action.	cant to upuate me shormatic	n prior to linai action of the	Board is grounds for subsequent
-		-1/1/1		9 (17/14
SIGNATU	URE OF LICENSEE	Phylony	DA'	re
SUBSCRI	BED TO AND SWOR	N BEFORE ME his	7th day of SEPT	EMBER 20_14
PK				RECEIVI
Γ	SIR WILLIA	AM CAMAT		
11	NOTARY	PUBLIC NOTARY	PUBLIC IN AND FOR SA	ID COUNTY AND STATE 2014
1 1		F NEVADA 14-12445-1	DAR	3EF 1 0 2014

Rev 10/2013